

Towards a multi-platform assimilative system for North Sea biogeochemistry

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Key Points:

- We successfully developed a multi-platform assimilative system for biogeochemistry in the North Sea.
- We tested the impact of the different assimilative system components on the ecosystem reanalysis.
- The multi-platform assimilation will become an essential part of future operational research.

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Abstract

Oceanography has entered an era of new observing platforms, such as biogeochemical Argo floats and gliders, some of which will provide three-dimensional maps of essential ecosystem variables on the North-West European (NWE) Shelf. In a foreseeable future operational centres will use multi-platform assimilation to integrate those valuable data into ecosystem reanalyses and forecast systems. Here we address some important questions related to glider biogeochemical data assimilation and introduce multi-platform data assimilation in a (pre)operational model of the NWE Shelf-sea ecosystem. We test the impact of the different multi-platform system components (glider vs satellite, physical vs biogeochemical) on the simulated biogeochemical variables. To characterize the model performance we focus on the period around the phytoplankton spring bloom, since the bloom is a major ecosystem driver on the NWE Shelf. We found that the timing and magnitude of the phytoplankton bloom is insensitive to the physical data assimilation, which is explained in the study. To correct the simulated phytoplankton bloom one needs to assimilate chlorophyll observations from glider or satellite Ocean Color (OC) into the model. Although outperformed by the glider chlorophyll assimilation, we show that OC assimilation has mostly desirable impact on the sub-surface chlorophyll. Since the OC assimilation updates chlorophyll only in the mixed layer, the impact on the sub-surface chlorophyll is the result of the model dynamical response to the assimilation. We demonstrate that the multi-platform assimilation combines the advantages of its components and always performs comparably to its best performing component.

Plain Language Summary

North-West European (NWE) Shelf is a region of major importance for both European economy and climate. Observational oceanography has entered an important era of new observing biogeochemical platforms, such as Biogeochemical Argos and gliders. Gliders are being currently deployed to measure three-dimensional distributions of some essential biogeochemical variables on the NWE Shelf. This work establishes a multi-platform assimilative system on the NWE Shelf which will be used to combine multiple different types of observing platforms (e.g. satellite, gliders) with our up-to-date models in order to optimize our estimate and forecast of the NWE Shelf ecosystem state. We provide an understanding for how the different components of the system interact. We demonstrate that the assimilative system is skilled to combine physical data with satellite and glider data for chlorophyll, as well as the glider data for oxygen. The work establishes the foundations of a system that is planned to be used in the future operational oceanography on the NWE Shelf.

1 Introduction

Understanding the state and the future of shelf-sea ecosystems is essential from the point of view of economy, conservation and the global carbon cycle (*Pauly et al.* [2002]; *Borges et al.* [2006]; *Friedlingstein et al.* [2006]; *Jahnke* [2010]). Reanalyses provide our best estimate of the ocean state by optimally combining the state-of-the-art knowledge from models with the most up-to-date observations. In marine biogeochemistry the prevailing approach is to assimilate satellite products into models, either for Ocean Color (OC) derived total chlorophyll (e.g. *Ishizaka* [1990]; *Carmillet et al.* [2001]; *Natvik and Evensen* [2003]; *Hoteit et al.* [2005]; *Triantafyllou et al.* [2007]; *Nerger and Gregg* [2007, 2008]; *Gregg* [2008]; *Fontana et al.* [2010]; *Ford et al.* [2012]; *Ciavatta et al.* [2011, 2016]; *Kalaroni et al.* [2016]; *Ford and Barciela* [2017]; *Pradhan et al.* [2019]), Phytoplankton Functional Type (PFT)-specific chlorophyll (*Ciavatta et al.* [2018, 2019]; *Skákala et al.* [2018, 2020]), or surface radiances (*Shulman et al.* [2013]; *Ciavatta et al.* [2014]; *Jones et al.* [2016]; *Gregg and Rousseaux* [2017]; *Skákala et al.* [2020]). Additionally a number of studies have assimilated biogeochemical data from in situ measurements, either using

69 single-location profiles (e.g. *Allen et al.* [2003]; *Hoteit et al.* [2003]; *Torres et al.* [2006];
70 *Lenartz et al.* [2007]), or using surface data from ships, floats and buoys (e.g. *Anderson*
71 *et al.* [2000]; *Cossarini et al.* [2009]; *Song et al.* [2016]). The typical disadvantage of the
72 traditionally assimilated biogeochemical data-sets is that they are either constrained to the
73 ocean surface (e.g. in the case of satellite data), or they are typically limited to a single
74 location (in the case of vertically-measured data). Assimilating such data into the model
75 has either only local impact, or its impact on biogeochemical fields is typically constrained
76 to the upper oceanic layer, with uncertain impact on the vertical profiles of biomass, or
77 nutrients.

78 However, the situation on the data-front is rapidly changing, with new programmes
79 (e.g. AtlantOS, *Visbeck et al.* [2015]) aiming at revolutionizing biogeochemical oceanog-
80 raphy with novel observing platforms covering large parts of the ocean both horizontally
81 and vertically, such as floats deployed in the Biogeochemical-Argo programme (e.g. *John-*
82 *son and Claustre* [2016]; *Johnson* [2016]; *Germaineaud et al.* [2019]), and gliders with
83 optical and biogeochemical sensors (*Telszewski et al.* [2018]). Some of the Argo float
84 oxygen data were already assimilated to constrain the biogeochemistry in the Southern
85 Ocean (*Verdy and Mazloff* [2017]) and Argo-measured chlorophyll was assimilated to im-
86 prove phytoplankton dynamics in the Mediterranean Sea (*Cossarini et al.* [2019]). This
87 new observational activity quite understandably focuses on regions of high importance
88 for fisheries, economy and climate, such as the North-West European (NWE) Shelf (e.g.
89 *Legge et al.* [2020]), where a number of gliders have been deployed as a part of the Al-
90 ternative Framework to Assess Marine Ecosystem Functioning in Shelf Seas (AlterECO)
91 programme (<http://projects.noc.ac.uk/altereco/>). The rapid development of these new au-
92 tonomous observation systems opens up an entirely new range of possibilities on how to
93 optimally integrate multi-platform observing networks with our present oceanographic
94 models (*Lellouche et al.* [2013]; *Bell et al.* [2015]). The observational work on the NWE
95 Shelf from the AlterECO project is coupled to a sister programme, the CAMPUS (Com-
96 bining Autonomous observations and Models for Predicting and Understanding Shelf seas,
97 <https://www.campus-marine.org/>) project, aiming to consistently combine the different
98 sources of information, such as gliders, satellite OC data and models, in order to improve
99 our capability to understand, represent and forecast the NWE Shelf biogeochemistry (e.g.
100 spring bloom, carbon and nutrient cycle, oxygen depletion events). Future plans, based
101 on CAMPUS and in line with the European Copernicus Marine Environment Monitoring
102 Service (CMEMS), are to have a multi-platform assimilative system on the NWE Shelf,
103 where the autonomous vehicles will navigate to specific locations using a combination of
104 Artificial Intelligence (AI) and model forecast, to observe important processes such as the
105 onset of the phytoplankton bloom, or hypoxic events.

106 Trying to establish glider data assimilation as part of such a multi-platform assim-
107 ilative system often leads to two non-trivial problems: a) how to consistently combine
108 high resolution glider data with much coarser model resolution, b) how to achieve rea-
109 sonable consistency between the assimilation-corrected variables and the coupled physical-
110 biogeochemical model dynamics. The problem of dynamical consistency needs special
111 mention, since both physical and biogeochemical fields have typically much larger gradi-
112 ents in the vertical than in the horizontal dimension. The vertical correlation length scales
113 have large spatio-temporal variability and model dynamics can be quite sensitive to spu-
114 rious vertical gradients (*Doney* [1999]; *Oschlies and Garçon* [1999]; *Doney et al.* [2004]).
115 Such model sensitivity is often noticed when physical data (such as sea surface height, or
116 temperature and salinity) are assimilated into the model, as the spurious vertical mixing
117 introduced by such assimilation is known to often degrade the skill of the biogeochemi-
118 cal model (e.g. *Berline et al.* [2007]; *While et al.* [2010]; *El Moussaoui et al.* [2011]; *Holt*
119 *et al.* [2014]; *Raghukumar et al.* [2015]; *Park et al.* [2018]). However, similar issues can be
120 easily overlooked when we assimilate surface biogeochemical data (except extreme regions
121 with substantial small-scale horizontal variability, such as the Gulf Stream, *Anderson et al.*
122 [2000]), since the biogeochemical fields have smaller gradients in the horizontal direction

123 than in the vertical, which means they are more dynamically stable in the horizontal than
 124 in the vertical direction. For the gliders, it is of vital interest to understand the potentially
 125 complex interaction between the physical and the biogeochemical data assimilation, or the
 126 interplay between the different biogeochemical variables updated by the assimilative sys-
 127 tem.

128 In this study we extend the operational assimilative system on the NWE Shelf to
 129 successfully produce a multi-platform reanalysis including both physical (satellite sea sur-
 130 face temperature, temperature and salinity from in situ platforms and an AlterEco glider)
 131 and biogeochemical (total chlorophyll *a* and oxygen from an AlterECO glider, and chloro-
 132 phyll *a* from a satellite OC product) variables. The main focus of the paper is to assess
 133 the impact of the different multi-platform assimilative system components (satellite vs
 134 glider, physical vs biogeochemical) on the simulated ecosystem processes in relation to
 135 the phytoplankton spring bloom. Being able to estimate the impact of the different sys-
 136 tem components is important, since it indicates what the assimilation impact will be on
 137 the simulated biogeochemistry in regions where only a specific type of data (e.g. satellite
 138 OC, physical variables) is available. The focus on the processes around the spring bloom
 139 is a natural choice due to a) the availability of high quality chlorophyll glider data, and b)
 140 because the spring bloom is a key driver of the ecosystem dynamics on the NWE Shelf
 141 (*Lutz et al.* [2007]; *Henson et al.* [2009]). The results of this study should form a basis for
 142 an integrated multi-platform assimilative system, that will optimize the available informa-
 143 tion from observations and models in order to improve our understanding of the NWE
 144 Shelf biogeochemistry. The assimilated biogeochemical glider variables were selected
 145 based on the data availability, but both chlorophyll and oxygen are expected to play an
 146 important role in the future multi-platform operational assimilation: chlorophyll is a proxy
 147 for phytoplankton biomass, which forms the base of the marine food web, while oxygen
 148 needs to be monitored and forecast in order to identify oxygen depletion events (i.e. hy-
 149 poxia, *Vaquar-Sunyer and Duarte* [2008]), which can have disastrous impacts on marine
 150 life.

151 2 Methods

152 The paper uses a hindcast version of the operational modelling system for the NWE
 153 Shelf run by the Met Office in the framework of the CMEMS, i.e. the physical model Nu-
 154 cleus for European Modelling of the Ocean (NEMO, *Madec et al.* [2015]) coupled through
 155 the Framework for Aquatic Biogeochemical Models (FABM, *Bruggeman and Bolding*
 156 [2014]) with the biogeochemical model European Regional Seas Ecosystem Model (ERSEM,
 157 *Baretta et al.* [1995]; *Blackford* [1997]; *Butenschön et al.* [2016]). We used measurements
 158 from an AlterEco glider that operated in the central North Sea between May-August 2018
 159 providing data for temperature, salinity, chlorophyll (derived from fluorescence) and oxy-
 160 gen concentrations. In multi-platform assimilation the glider data were complemented with
 161 the Ocean Colour-Climate Change Initiative (OC-CCI) satellite product of the European
 162 Space Agency (ESA) for total chlorophyll (version 3.1, *Sathyendranath et al.* [2019]),
 163 Sea Surface Temperature (SST) data from the GCOM-W1/AMSR-2, NOAA/AVHRR,
 164 MetOp/AVHRR, MSG/SEVIRI, Sentinel-3/SLSTR, and Suomi-NPP/VIIRS satellite prod-
 165 ucts, and the temperature and salinity in situ data from the EN4 dataset (*Good et al.* [2013]),
 166 which includes profiles from Argo floats, fixed moored arrays, XBTs, CTDs, gliders, and
 167 marine mammals. The physical and biogeochemical data were assimilated on a daily basis
 168 into NEMO-FABM-ERSEM using NEMOVAR (the assimilative system used operationally
 169 by the Met Office, *Mogensen et al.* [2009, 2012]; *Waters et al.* [2015]; *King et al.* [2018]).

170 The model free simulation was run from 01/09/2017 until the end of the year 2018
 171 and was initialized from a 2016-2018 run of a very similar model configuration presented
 172 in *Skákala et al.* [2020]. The free run outputs have been analysed for the period of the
 173 glider data availability (08/05-15/08, 2018). The assimilative runs used identical model
 174 settings as the free run, only with the added assimilation components. The different as-

175 simulative runs compared in this study are (see also Table 1): a) physical data assimila-
 176 tion (satellite SST, temperature and salinity from EN4 data and the AlterEco glider), b)
 177 satellite OC total chlorophyll *a* assimilation, c) AlterEco glider chlorophyll *a* assimilation,
 178 d) AlterEco glider oxygen assimilation and e) multi-platform assimilation combining all
 179 the data from a)-d). Note that wherever we mention the assimilation of specific data (e.g.
 180 glider chlorophyll) we mean a simulation where only those data have been assimilated (as
 181 opposed to multi-platform assimilation, which assimilates all the available data). All the
 182 assimilative runs were started from the initial value conditions produced by the free simu-
 183 lation for 08/05/2018.

184 **Table 1.** The observations assimilated in the different data assimilation (DA) experiments. The table uses
 185 some of the following abbreviations: temperature (T), salinity (S) and ‘EN4’ means the EN4 in situ data-set.

Experiment	satellite SST	EN4 T&S	glider T&S	satellite OC	glider chl <i>a</i>	glider O ₂
physical DA	Yes	Yes	Yes	No	No	No
satellite OC DA	No	No	No	Yes	No	No
glider chl <i>a</i> DA	No	No	No	No	Yes	No
glider O ₂ DA	No	No	No	No	No	Yes
Multi-platform DA	Yes	Yes	Yes	Yes	Yes	Yes

186 2.1 The physical component: NEMO

187 The NEMO ocean physics component (OPA) is a finite difference, hydrostatic, primi-
 188 tive equation ocean general circulation model (*Madec et al.* [2015]). The NEMO config-
 189 uration used in this study is similar to the one used by *Ford et al.* [2017]; *Skákala et al.*
 190 [2018], and almost identical to *Skákala et al.* [2020]: we use the CO6 NEMO version,
 191 based on NEMOv3.6, a development of the CO5 configuration explained in detail by
 192 *O’Dea et al.* [2017]. The model has 7 km spatial resolution on the Atlantic Margin Model
 193 (AMM7) domain using a terrain-following $z^* - \sigma$ coordinate system with 51 vertical levels
 194 (*Siddorn and Furner* [2013]). The lateral boundary conditions for physical variables at the
 195 Atlantic boundary were taken from the outputs of the Met Office operational 1/12° North
 196 Atlantic model (NATL12, *Storkey et al.* [2010]); the Baltic boundary values were derived
 197 from a reanalysis produced by the Danish Meteorological Institute for CMEMS. We use
 198 annually varying river discharge based on data from *Lenhart et al.* [2010]. The model was
 199 forced at the surface by atmospheric fluxes provided by an hourly and 31 km resolution
 200 realisation (HRES) of the ERA5 data-set (<https://www.ecmwf.int/>).

201 2.2 The biogeochemical component: ERSEM

202 ERSEM (*Baretta et al.* [1995]; *Butenschön et al.* [2016]) is a lower trophic level
 203 ecosystem model for marine biogeochemistry, pelagic plankton, and benthic fauna (*Black-*
 204 *ford* [1997]). The model splits phytoplankton into four functional types largely based on
 205 their size (*Baretta et al.* [1995]): picophytoplankton, nanophytoplankton, diatoms and di-
 206 noflagellates. ERSEM uses variable stoichiometry for the simulated plankton groups (*Gei-*
 207 *der et al.* [1997]; *Baretta-Bekker et al.* [1997]) and each Phytoplankton Functional Type
 208 (PFT) biomass is represented in terms of chlorophyll, carbon, nitrogen and phosphorus,
 209 with diatoms also represented by silicon. ERSEM predators are composed of three zoo-
 210 plankton types (mesozooplankton, microzooplankton and heterotrophic nanoflagellates),
 211 with organic material being decomposed by one functional type of heterotrophic bacteria
 212 (*Butenschön et al.* [2016]). The ERSEM inorganic component consists of nutrients (nitrate,

213 phosphate, silicate, ammonium and carbon) and dissolved oxygen. The carbonate system is
 214 also included in the model (*Artioli et al. [2012]*).

215 We used in this study a similar ERSEM configuration to *Skákala et al. [2020]*, but
 216 unlike *Skákala et al. [2020]* we implemented an updated ERSEM version (v20.10), with
 217 a notable upgrade to the benthic code. The ERSEM parametrization is identical to the
 218 one described in *Butenschön et al. [2016]*. The Atlantic boundary values for nitrate, phos-
 219 phate, silicate and oxygen were taken from World Ocean Atlas (*Garcia et al. [2013]*) and
 220 dissolved inorganic carbon from the GLODAP gridded dataset (*Key et al. [2015]*; *Lauvset*
 221 *et al. [2016]*), while plankton and detritus variables were set to have zero fluxes at the At-
 222 lantic boundary. The ERSEM irradiance was calculated using a new bio-optical module
 223 implemented in the NEMO-FABM-ERSEM AMM7 configuration by *Skákala et al. [2020]*.
 224 The bio-optical module resolves light spectrally and distinguishes between downwelling
 225 direct and diffuse streams. The module is forced by ERA5 atmospheric inputs ([https://](https://www.ecmwf.int/)
 226 www.ecmwf.int/) for total vertically integrated ozone, water vapour, cloud cover, cloud
 227 liquid water and sea-level air pressure, as well as by a satellite product for aerosol opti-
 228 cal thickness (MODerate resolution Imaging Spectroradiometer, MODIS, [https://modis.-](https://modis.gsfc.nasa.gov/data/dataproduct)
 229 [gsfc.nasa.gov/data/dataproduct](https://modis.gsfc.nasa.gov/data/dataproduct)).

230 2.3 The assimilative system: NEMOVAR

231 NEMOVAR is a variational Data Assimilation (DA) system (*Mogensen et al. [2009,*
 232 *2012]*; *Waters et al. [2015]*) used for operational ocean DA at the Met Office. Via the as-
 233 similation of satellite OC derived (total, or PFT) chlorophyll concentrations, NEMOVAR
 234 has been demonstrated as being highly successful in improving the phytoplankton com-
 235 munity structure (PFT chlorophyll assimilation), phytoplankton seasonal cycle and the
 236 timing and magnitude of the spring bloom (*Skákala et al. [2018, 2020]*). There are also
 237 indications that satellite OC assimilation can improve the carbon cycle (*Skákala et al.*
 238 *[2018, 2020]*). When it comes to the non-assimilated variables, satellite OC reanalysis
 239 typically has a comparable skill to the free run (*Skákala et al. [2018, 2020]*). The satel-
 240 lite OC chlorophyll assimilation using NEMOVAR on the NWE Shelf has been thoroughly
 241 validated on bi-decadal time-scales (*Kay et al. [2019]*), showing a good overall skill and
 242 no spurious trends in biogeochemical tracer concentrations.

243 In this study the observations are assimilated on a daily basis. The model is first
 244 run for the day and background values are calculated in observation space by interpolating
 245 the model fields to the observation locations at the nearest model time step (300 seconds)
 246 to the observation time, an approach known as First Guess at Appropriate Time (FGAT).
 247 NEMOVAR is then run, calculating a set of increments for each updated variable on the
 248 model grid. After the assimilation step the model is re-run with the increments applied
 249 to the model variables gradually at each model time-step using incremental analysis up-
 250 dates (IAU, *Bloom et al. [1996]*). For the physical variables the increments are calculated
 251 for temperature, salinity, sea surface height and the horizontal velocity components, by
 252 accounting for their correlations by transforming those variables through a set of linear
 253 balancing equations into an independent set of variables that is assimilated separately. For
 254 biogeochemical variables, the increments are initially calculated for the observed variable.
 255 For total chlorophyll the assimilation is applied in log-space, since chlorophyll is typically
 256 log-normally distributed (*Campbell [1995]*). After calculating the total chlorophyll incre-
 257 ments, we use a balancing module to split those increments into the model state variables.
 258 The applied scheme (*Skákala et al. [2018, 2020]*) redistributes total chlorophyll increments
 259 into the 4 ERSEM PFTs based on background PFT-to-total chlorophyll ratios. The PFT
 260 chlorophyll is used to update the remaining PFT components (carbon, phosphorus, nitro-
 261 gen for all PFTs, silicon for diatoms) following the background stoichiometric ratios. In
 262 the case of oxygen assimilation the only updated variable is the simulated oxygen con-
 263 centration. There were attempts to extend the currently applied balancing scheme to other
 264 ERSEM variables (e.g nutrients), but so-far this produced sub-optimal results degrading

the biogeochemical model skill (see discussion in *Skákala et al. [2018]*). Any combined physical-biogeochemical assimilation in NEMOVAR is weakly coupled, which means that the physical and the biogeochemical variables are assimilated separately, with physical assimilation impacting biogeochemistry only through the model dynamics, and no feedback from biogeochemistry to physics.

The multi-platform assimilation is based on the development from *Waters et al. [2015]* extended to biogeochemical variables by *Ford [2020]*, i.e. the combined assimilation of satellite OC and glider chlorophyll data is performed by following a scheme previously applied to temperature by *Waters et al. [2015]*. The satellite and in situ glider data are combined to calculate a single set of 3D increments, while allowing for different observation errors to be specified for the different data sources (for the details see *Waters et al. [2015]*; *Ford [2020]*). Since each of the physical data, chlorophyll and oxygen assimilation provides increments for different variables, the multi-platform assimilation simply aggregates the increments from the physical, chlorophyll and oxygen assimilative components.

The background covariances are represented as a product of background variances and a diffusion operator (*Mirouze and Weaver [2010]*; *King et al. [2018]*). Within the diffusion operator, the same length-scales are set for all the assimilated (physical, biogeochemical) variables. The horizontal correlation length-scales are specified a-priori, and are based on two different length scales, a longer 100 km correlation scale and a shorter length-scale based on the first baroclinic Rossby radius of deformation (*King et al. [2018]*). The vertical length-scales use the scheme from *Waters et al. [2015]*; *King et al. [2018]*; *Ford [2020]*, where NEMOVAR calculates directly the set of 3D increments (we call this scheme a “3D variant”) using flow-dependent vertical length-scales (ℓ), which are the following function of depth (d):

$$\begin{aligned} \ell(d) &= \frac{d_{\text{ml}}}{2} - \left(\frac{1}{2} - \frac{2G(d_{\text{ml}})}{d_{\text{ml}}} \right) \cdot d, & 0 \leq d \leq d_{\text{ml}}, \\ \ell(d) &= 2G(d), & d > d_{\text{ml}}, \end{aligned} \quad (1)$$

where d_{ml} is the mixed layer depth (MLD) and $G(d)$ is the vertical grid spacing as a function of depth. Equation 1 means the surface length-scale is equal to half of the MLD, the length scale decreases linearly with depth until the MLD, while beneath MLD the length-scales are two times the local vertical grid resolution. Such vertical correlation length-scales are designed to minimise any spurious mixing of surface increments beneath the mixed layer (*King et al. [2018]*). It should be noted that satellite OC data assimilation in some previous studies (e.g. *Skákala et al. [2018, 2020]*) used a “2D variant”, where surface chlorophyll increments were applied throughout the mixed layer. Both 2D and 3D variants were tested in this study and we have found that they produced almost identical results (not shown here). In this study we will present the outputs of the 3D variant, but these are representative of both methods.

NEMOVAR has two important drawbacks: (i) the background errors (square-root of background variances) have to be specified mostly a priori and those do not always capture how the reanalysis approximates the true state, (ii) it does not account for the observational error correlations. Both (i) and (ii) tend to artificially increase the impact of the assimilated observations (especially when there is high density of observations) and likely contribute to the fact that biogeochemical reanalyses on the NWE Shelf are relatively insensitive to the precise value of the background-to-observational error ratio (e.g. *Skákala et al. [2018]*). Then, provided that the reanalysis state is sufficiently internally consistent, NEMOVAR reanalyses on the NWE Shelf tend to converge for a wide interval of background-to-observational error ratios towards the assimilated observations (*Skákala et al. [2018, 2020]*). Improvements could be achieved by using hybrid methods (e.g. background errors calculated as a weighted combination of the parameterised component and a flow-dependent component calculated from an ensemble), or flow-dependent iterative

314 methods based on error diagnostics, such as the scheme of *Hollingsworth and Lönnberg*
 315 [1986]; *Andersson* [2003]; *Desroziers et al.* [2005] (e.g. *Mattern et al.* [2018]; *Cossarini*
 316 *et al.* [2019]). For physical assimilation (*King et al.* [2018]) the background errors were
 317 estimated using the innovation method of *Hollingsworth and Lönnberg* [1986] applied to
 318 innovations from an existing reanalysis by *O’Dea et al.* [2017], with background errors
 319 between 1-3.5 times larger than the observational errors (Table 2). For biogeochemical as-
 320 similation the background errors, $\Sigma\{Q_{\text{bkg}}\}$, were estimated from the observational-to-free
 321 run differences and observational errors, $\Sigma\{Q_o\}$, (Q_{bkg} , Q_m and Q_o stand subsequently
 322 for the background, model free run and observed concentrations), along the scheme of
 323 *Skákala et al.* [2020]:

$$\Sigma\{Q_{\text{bkg}}\} = \sqrt{[(Q_m - Q_o)^2] - \Sigma\{Q_o\}^2}, \quad (2)$$

324 which assumes that for a suitable spatio-temporal binning the model and observational er-
 325 rors are uncorrelated (*Skákala et al.* [2020]). In the case of the glider data the total obser-
 326 vational errors (including representation error) were estimated from the difference between
 327 variances of the observations, $V\{Q_o\}$, and the variances of the true state, $V\{Q_t\}$:

$$\Sigma\{Q_o\} = \sqrt{V\{Q_o\} - V\{Q_t\}}, \quad (3)$$

328 where the variances of the true state were estimated from the model outputs. This scheme
 329 assumes that the observations have zero bias and that (for the limited spatio-temporal
 330 range of glider data) the observational errors and the true state deviations from the mean
 331 are uncorrelated. After estimating the observational errors for gliders, one proceeds with
 332 the equation 2 to estimate the corresponding background errors. The methods based on
 333 equation 2 and equation 3 produced background and observational errors with compara-
 334 ble values, with background-to-observational error ratios on average between 0.77-2.3 (see
 335 Table 2). For the two different chlorophyll observational products, the estimate of glider
 336 chlorophyll error (using equation 3) turned out to be on average 22% lower than the satel-
 337 lite OC chlorophyll error.

328 **Table 2.** The Table shows parts of the multi-platform assimilative system with the list of the updated
 329 physical-biogeochemical variables and the mean values of the background-to-observational error ratio (B-O
 330 error ratio, with error understood as standard deviation). The physical variables are abbreviated as temper-
 331 ature (T), salinity (S), sea surface height (SSH) and horizontal velocity components (U,V).

component	updated variables	B-O error ratio
satellite OC chl <i>a</i>	PFT components	2.3
glider chl <i>a</i>	PFT components	1.4
glider O ₂	oxygen	0.77
satellite T	T,S,SSH,U,V	1.55
in situ T	T,S,SSH,U,V	1.04
in situ S	T,S,SSH,U,V	3.42

339 chlorophyll error (using equation 3) turned out to be on average 22% lower than the satel-
 340 lite OC chlorophyll error.
 341

342 2.4 Glider data

343 The study used data from a Slocum glider (Teledyne Webb Research, Falmouth,
 344 USA) named Cabot (Unit 345, National Oceanography Centre, Southampton) deployed
 345 during the AlterEco mission (deployment 454). The glider sampling transect was situated
 346 in the Central North Sea (see Figure 1), between May-August 2018, collecting data for

347 temperature and salinity (Seabird SBE42 CTD), colored dissolved organic matter, particu-
 348 late backscattering, chlorophyll *a* fluorescence (Wetlabs ECOpuck), and oxygen (Aanderaa
 349 AA4831 optode). After Quality Control (QC) the quenching-corrected chlorophyll (de-
 350 rived from fluorescence) and oxygen concentrations were available for slightly different
 351 periods: chlorophyll for 08/05 - 15/08, 2018 and oxygen for a shorter period of 08/05 -
 352 30/06, 2018. The Cabot glider was chosen because it provided high-quality data, but the
 353 period of the glider mission was also of special interest for assimilation, since it marks
 354 a known discrepancy between the timing of the spring bloom in the model and observa-
 355 tions, with the model biased towards a late bloom (see *Skákala et al.* [2020]). The QC
 356 glider outputs contained a substantial number of data-points ($2 \cdot 10^6$ for chlorophyll and
 357 $3 \cdot 10^5$ for oxygen) which were mapped to the model AMM7 grid (each observation to
 358 the nearest model grid point). The observations that were mapped on the same day into
 359 the same model grid point were then averaged into a single value. The grid-averaging of
 360 glider observations is a practice adopted in the physical DA to avoid assimilating many
 361 observations at higher resolution than the model can represent. However, our tests have
 362 shown that the impact of grid-averaging on the biogeochemical reanalysis was negligible.
 363 During each day the glider typically covered 3 model horizontal grid-cells and for each
 364 model horizontal location the glider scanned nearly the full vertical water column.

373 The glider data (publicly available from *www.bodc.ac.uk*) were processed by the Na-
 374 tional Oceanography Centre (NOC) AlterECO team using the GEOMAR glider toolbox
 375 for salinity and oxygen lag corrections (following *Bittig et al.* [2014]). The glider was
 376 fitted with a standard non-pumped SBE CT sensor, a WETLabs ECOpuck to measure
 377 chlorophyll fluorescence, and an Aanderaa 4330 oxygen optode. Oxygen data were cor-
 378 rected based on comparisons between Winkler samples and local crossings with the rest of
 379 the AlterEco glider fleet.

380 The fluorescence sensor on Cabot (454) was calibrated prior to deployment, and
 381 recovered data were converted to chlorophyll concentration from raw voltages using the
 382 manufacturer supplied calibration routine. The derived chlorophyll record was filtered
 383 such that negative values were set to zero. Multiple quenching corrections were tested, in-
 384 cluding: *Hemsley et al.* [2015]; *Swart et al.* [2015]; *Biermann et al.* [2015] and *Xing et al.*
 385 [2012]. The former three methods rely on the use of algal particle scattering to correct
 386 for quenching. However, these approaches proved unsatisfactory for use in case-2 waters
 387 (e.g. the North Sea). Consequently, the *Xing et al.* [2012] method was adopted. Under this
 388 approach the maximum value of chlorophyll concentration above the mixed layer depth
 389 (MLD) is extrapolated to the surface for daytime profiles. Night-time chlorophyll profiles
 390 are not corrected. MLD is calculated from glider CTD profiles according to the method of
 391 *Holte and Talley* [2009].

392 2.5 Used metrics (definitions)

393 The paper uses two metrics: a) model-to-observation bias (ΔQ_{mo}) defined as

$$394 \Delta Q_{mo} = \langle Q_m - Q_o \rangle, \quad (4)$$

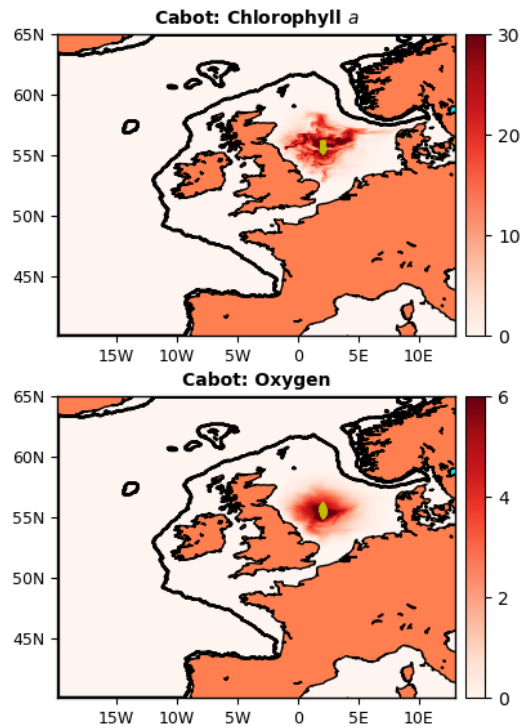
394 where, as before, Q_m are the model free run and Q_o the observed concentrations (by the
 395 observations we will automatically mean the glider data), and b) Bias-Corrected Root
 396 Mean Square Difference (BC RMSD, $\Delta_{RD} Q_{mo}$) defined as

$$397 \Delta_{RD} Q_{mo} = \sqrt{\langle [Q_m - Q_o - \Delta Q_{mo}]^2 \rangle}. \quad (5)$$

397 The BC RMSD metric is applied in two different contexts: as a “spatial BC RMSD” and
 398 as a “temporal BC RMSD”.

399 In the case of spatial BC RMSD, we calculate for each day (t_d) the difference be-
 400 tween the model and the observed daily mean, which we call model-to-observation daily
 401 bias:

$$402 \Delta Q_{mo}(t_d) = \langle Q_m(t_d) - Q_o(t_d) \rangle, \quad (6)$$



365 **Figure 1.** The panels show the NEMO-FABM-ERSEM (AMM7) domain with the Cabot glider data loca-
 366 tions (chlorophyll data locations for the full 08/05-15/08, 2018 mission, oxygen data for a shorter period of
 367 08/05-29/06, 2018) marked by yellow dots, as well as glider horizontal area of impact on the reanalysis. The
 368 color scale in the two panels shows the weekly (23-29-th June 2018) mean percentage (%) difference between
 369 reanalysis and free run in the surface chlorophyll (upper panel) and surface oxygen (bottom panel) concentra-
 370 tions, and reveals the horizontal extent of the glider's impact on the assimilation. The percentage difference is
 371 calculated by dividing the absolute value of the difference between reanalysis and the free run, with the free
 372 run. The black lines show the boundary of the NWE Shelf (< 200 m bathymetry).

402 where $Q_m(t_d)$ and $Q_o(t_d)$ are the model free run and the observation data from the day t_d ,
 403 and the model free run is taken only from the spatial locations visited by the glider (about
 404 150 model grid points per day). Then we calculate “daily BC RMSD”, $\Delta_{RD}Q_{mo}(t_d)$, by
 405 applying equation 5 on each day using the model and the observation daily data, as well
 406 as their daily biases:

$$\Delta_{RD}Q_{mo}(t_d) = \sqrt{\langle [Q_m(t_d) - Q_o(t_d) - \Delta Q_{mo}(t_d)]^2 \rangle}. \quad (7)$$

407 The spatial BC RMSD, $\Delta_{RD}^S Q_{mo}$, is then obtained as a time-average of the daily BC RMSD,
 408 i.e. averaging $\Delta_{RD}Q_{mo}(t_d)$ through the glider data availability period (100 days for chloro-
 409 phyll and 53 days for oxygen):

$$\Delta_{RD}^S Q_{mo} = \langle \Delta_{RD}Q_{mo}(t_d) \rangle_{t_d}, \quad (8)$$

410 where $\langle \rangle_{t_d}$ means averaging through the interval of t_d values. Since the glider moves on
 411 the model grid dominantly in the vertical dimension, the spatial BC RMSD mostly mea-
 412 sures how well the model simulation represents the vertical profile of the glider observa-
 413 tions.

414 The temporal BC RMSD, $\Delta_{RD}^T Q_{mo}$, is based on calculating a time-series, δ , of the
 415 daily mean values (for both model, δ_m , and the observations, δ_o), averaged through the
 416 spatial locations visited by the glider:

$$\delta_m(t_d) = \langle Q(t_d) \rangle, \quad \delta_o(t_d) = \langle Q_o(t_d) \rangle, \quad (9)$$

417 then applying equation 5 to those time-series, with bias understood as the model-to-observation
 418 difference in the temporal mean of the time-series data:

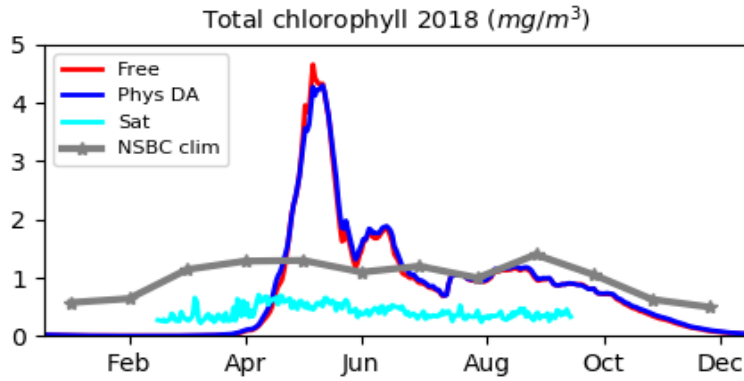
$$\Delta_{RD}^T Q_{mo} = \sqrt{\langle [\delta_m(t_d) - \delta_o(t_d) - \langle \delta_m(t_d) - \delta_o(t_d) \rangle]^2 \rangle_{t_d}}. \quad (10)$$

419 The temporal BC RMSD is designed to capture how the model represents the observed
 420 phytoplankton phenology.

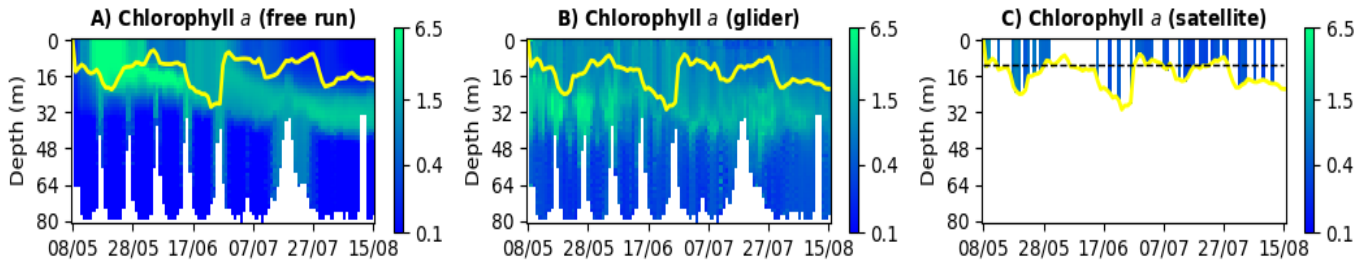
421 It should be noted that the metrics discussed in this section are used to measure
 422 “the skill” of the assimilative runs by comparing the simulation outputs to the assimi-
 423 lated glider data, rather than to an independent validation data-set. There are two reasons
 424 for this: firstly, to get sufficient validation data for the limited spatio-temporal region of
 425 this study is nearly impossible, however, most importantly, this study has no ambition to
 426 produce a skill-assessed reanalysis, its ambition is to test the impact of the assimilative
 427 system components on the simulated variables. Since the NEMOVAR reanalyses tend to
 428 converge under optimal conditions to the assimilated observations (*Skákala et al. [2018,*
 429 *2020]*), the performance of the assimilative system can be measured by comparing the
 430 model to the assimilated data.

431 **3 Results and Discussion**

432 The model free run shows a late and intense spring bloom, with a timing about 1
 433 month later than the bloom observed in the satellite OC and in situ data (Figure 2 and
 434 *Skákala et al. [2020]*). The late timing of the model bloom is most likely influenced by the
 435 interplay between the model vertical mixing scheme and the simulated irradiance (see the
 436 discussion in *Skákala et al. [2020]*). The results from the study of *Skákala et al. [2020]* are
 437 confirmed by Figure 3, which shows the chlorophyll concentrations in the region measured
 438 by the glider between May and August 2018. When the assimilation starts in early May
 439 (Figure 3), the glider is in the post-bloom period showing some deep chlorophyll max-
 440 ima, whereas the model free run has yet to see the onset of the bloom with chlorophyll
 441 concentrations predominantly in the mixed layer. Since the North Atlantic sees substantial
 442 seasonal patterns in primary productivity (e.g. *Henson et al. [2009]*), the late and intense
 443 model bloom has a large impact on the biogeochemical model skill (*Skákala et al. [2020]*).



444 **Figure 2.** The mean daily surface chlorophyll concentrations averaged across the NWE Shelf for the year
 445 2018. We compare a model free run used in this study with the physical data assimilation (the physical data
 446 assimilation started on 01/09 2017 from the model free run initial values), the satellite OC and the North Sea
 447 Biogeochemical Climatology (NSBC) in situ data set (*Hinrichs et al. [2017]*). The satellite OC chlorophyll
 448 values are masked for the October-February period when there is sparsity of data due to the extensive cloud
 449 cover and the low solar zenith angle. The model is shown to have an intense and late spring bloom: the ob-
 450 served bloom is much less pronounced than the bloom in the model and the timing of the observed bloom is
 451 around the early April, as opposed to the early-mid May bloom simulated by the model.

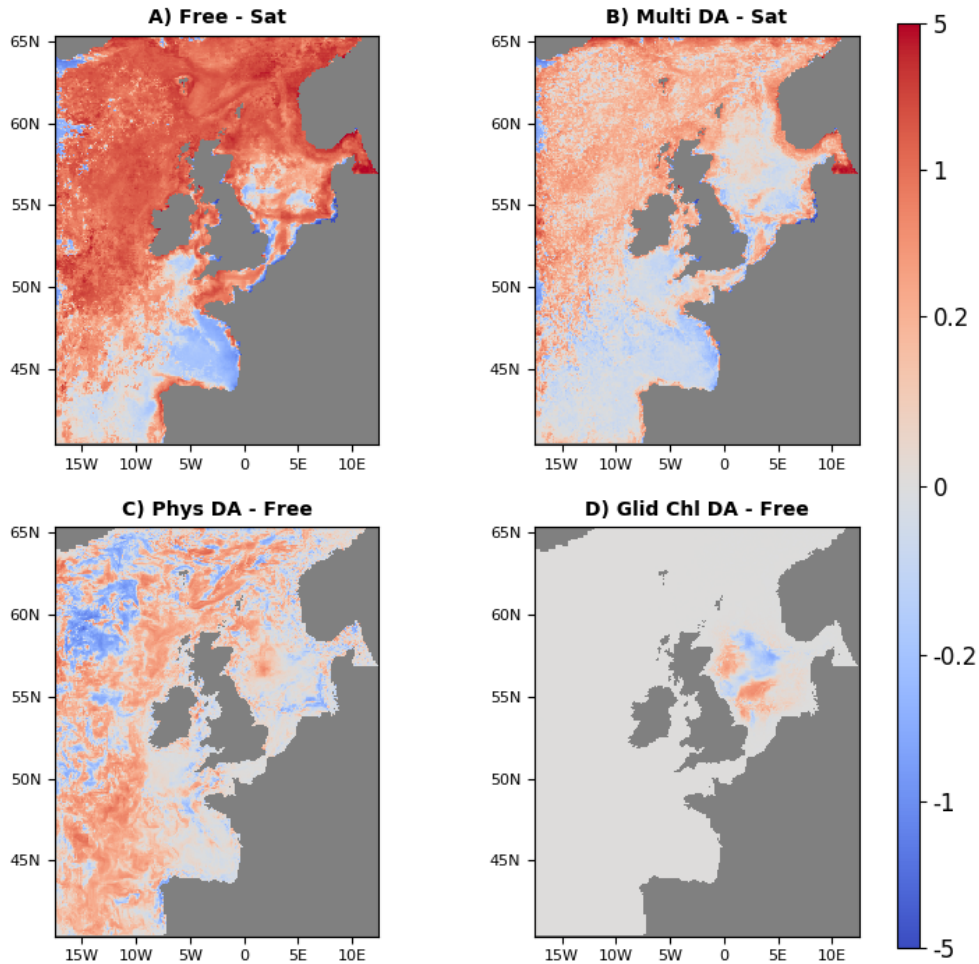


452 **Figure 3.** Hovmöller diagrams for the model free run and the observations. The left panel (A) shows the
 453 model free run outputs for total chlorophyll a (mg m^{-3}) horizontally averaged through the area covered by
 454 the glider during each day (the plot is depth vs time). The middle panel (B) shows the same for the glider-
 455 observed chlorophyll concentrations and the right panel (C) shows the satellite OC chlorophyll observations at
 456 the glider locations. The yellow lines mark the mixed layer depth of the model free run (left-hand panel) and
 457 of the physics-assimilative run (the middle and right-hand panels). The satellite observations are plotted in the
 458 mixed layer, with the dotted black line broadly corresponding to the average satellite optical depth (*Skákala*
 459 *et al. [2020]*). The several missing data in the right hand plot are due to the cloud cover. The missing data at
 460 the bottom of panels A-B are due to the varying bathymetry along the horizontal glider trajectory.

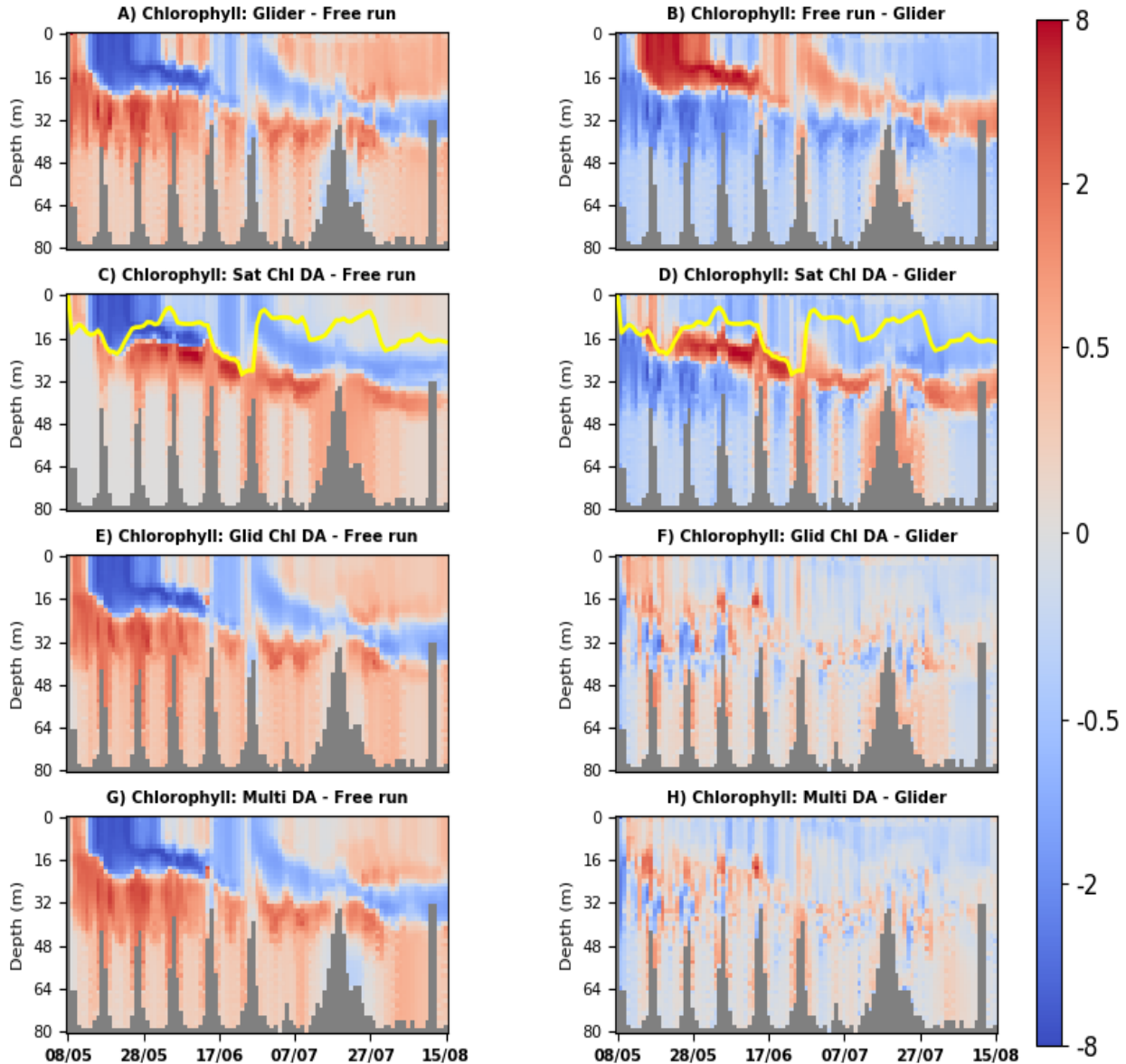
461 The simulated surface chlorophyll on the NWE Shelf is typically corrected by the
462 assimilation of OC satellite data (*Skákala et al. [2018, 2020]*) and the positive impact of
463 satellite OC assimilation on the simulated NWE Shelf surface chlorophyll is shown in
464 Figure 4:A-B. Around the glider locations, it is shown that both satellite OC and glider
465 chlorophyll assimilation remove the late simulated bloom and improve the surface phyto-
466 plankton phenology (Figure 5:D,F, Figure 6:A-B). However, unlike the satellite OC com-
467 ponent, the glider chlorophyll assimilation has a limited impact on the model domain (Fig-
468 ure 4:D). The horizontal spatial impact of glider assimilation varies with time (Figure 7A-
469 B), but any substantial impact of glider assimilation on the simulated chlorophyll (on the
470 level of >10%) is typically constrained to a 50 km radius around the glider location (Fig-
471 ure 7:A).

472 Since glider chlorophyll *a* data were assimilated across the whole water column, the
473 glider chlorophyll assimilation is also able to substantially improve the sub-surface chloro-
474 phyll concentrations (Figure 5:F). The three skill metrics (bias, spatial and temporal BC
475 RMSD) capturing how the simulated chlorophyll *a* matches with the glider observations
476 were all substantially improved by the glider chlorophyll assimilation: the model bias was
477 reduced by almost 50% (Table 3 and Figure 6:D), the spatial BC RMSD by 60% (Table
478 3) and the temporal BC RMSD by 70% (Table 3). Unlike glider chlorophyll assimila-
479 tion, satellite OC assimilation updates chlorophyll concentrations only in the mixed layer,
480 but the model dynamics propagates the updates to chlorophyll beneath the mixed layer
481 and gradually spreads the impact of assimilation across the whole water column (Figure
482 5:C). It is encouraging to see that the model dynamics acting on the satellite OC assim-
483 ilation increments produces a qualitatively similar change to the sub-surface chlorophyll
484 as the glider assimilation (Figure 5:C and Figure 5:E). We propose a simple explana-
485 tion based on chlorophyll dynamics: The satellite-only assimilative run removes the in-
486 tense late model bloom in May, removing chlorophyll from the mixed layer and increas-
487 ing the light penetrating into the water column. The increased irradiance combined with
488 nutrient availability produces deep chlorophyll maxima around the pycnocline (Figure
489 5:C). Furthermore, the removal of the late (mid-May) bloom in the satellite OC reanal-
490 ysis means the assimilation also removes the gradually deepening chlorophyll maxima
491 (the July-August period in Figure 3:B and Figure 4:C), as the nutrients become confined
492 deeper in the water column. The satellite OC assimilation improves both temporal BC
493 RMSD (by 55%, Table 3) and spatial BC RMSD (by 15%, Table 3). Although the im-
494 provement of BC RMSD is in both cases outperformed by the glider chlorophyll assimila-
495 tion, the substantial reduction of temporal BC RMSD by 55% in the satellite OC rean-
496 alysis is non-trivial, and it is only possible due to (i) a relative consistency between the
497 satellite OC data and the glider surface measurements (Figure 3, Figure 6:A-B), and (ii) a
498 realistic update to sub-surface chlorophyll driven by the model dynamics.

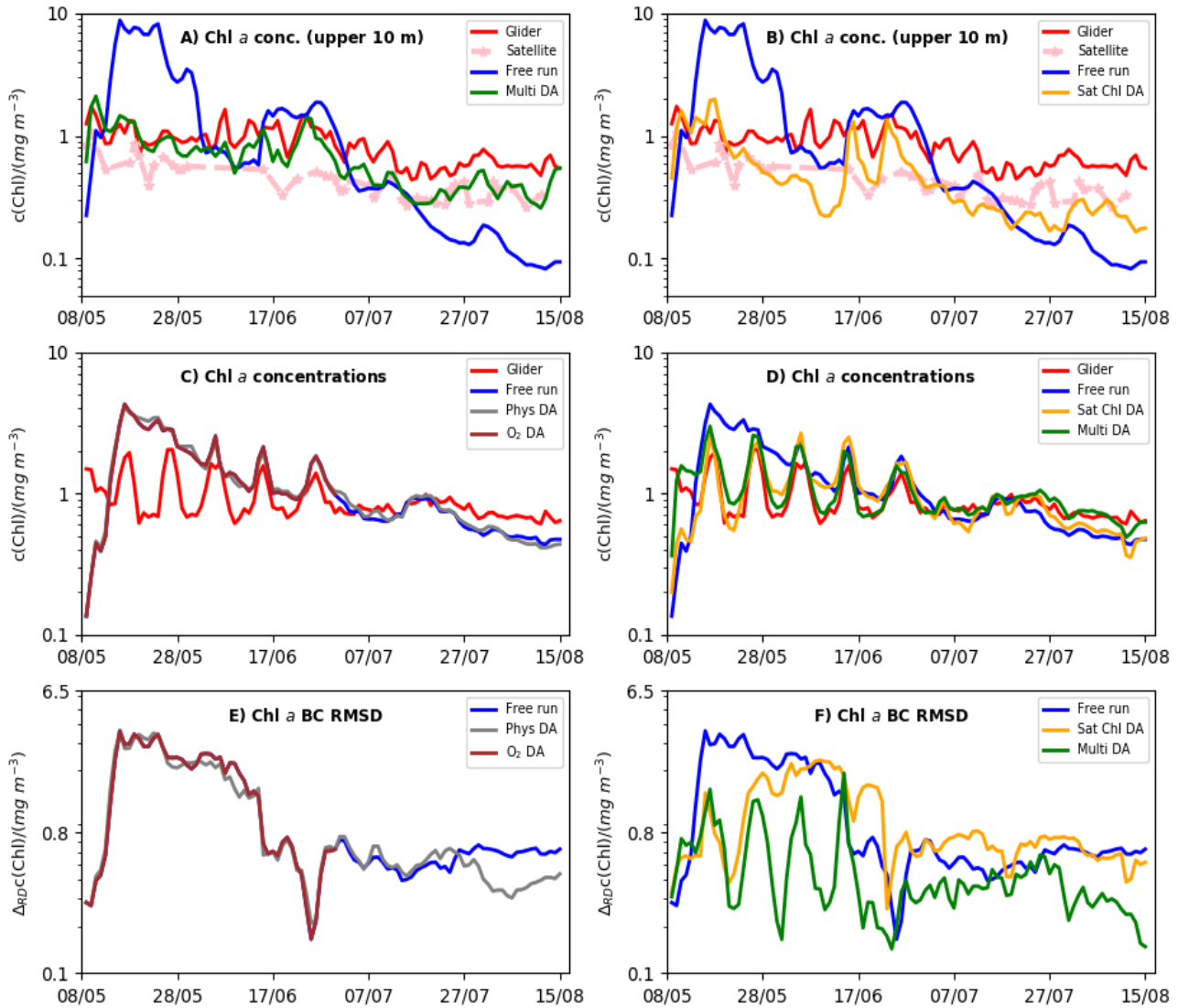
545 Whilst the physical data assimilation improves the model representation of both tem-
546 perature and salinity (Figure 6), it is unable to correct the late model spring bloom (Figure
547 2) and has a relatively modest impact on chlorophyll concentrations (Figure 3:C, Figure
548 5:C,E, Figure 8:E). This can be understood as follows: As the pycnocline is primarily con-
549 trolled by temperature and salinity, we expect that assimilating the physical variables may
550 improve vertical gradients in water density and consequently vertical mixing. However,
551 in the well-mixed nutrient-rich waters the onset of the spring bloom depends on the inter-
552 play between vertical mixing in the upper oceanic layer and the irradiance (e.g. *Huisman*
553 *et al. [1999]*; *Waniek [2003]*; *Smyth et al. [2014]*). Such interplay is closely related to the
554 model atmospheric forcing product for the wind stress and the net incoming short-wave
555 radiation, but an even greater issue is the model response to the used atmospheric forc-
556 ing product, which consists here mostly of the ERSEM underwater light attenuation, the
557 phytoplankton response to specific light conditions and the model vertical mixing scheme.
558 The ERSEM response to the atmospheric forcing is known to be sensitive to the forcing
559 temporal resolution, leading to shifts of up to one week in the timing of the phytoplankton
560 bloom (*Powley et al. [2020]*). Since the assimilation does not alter the atmospheric forc-



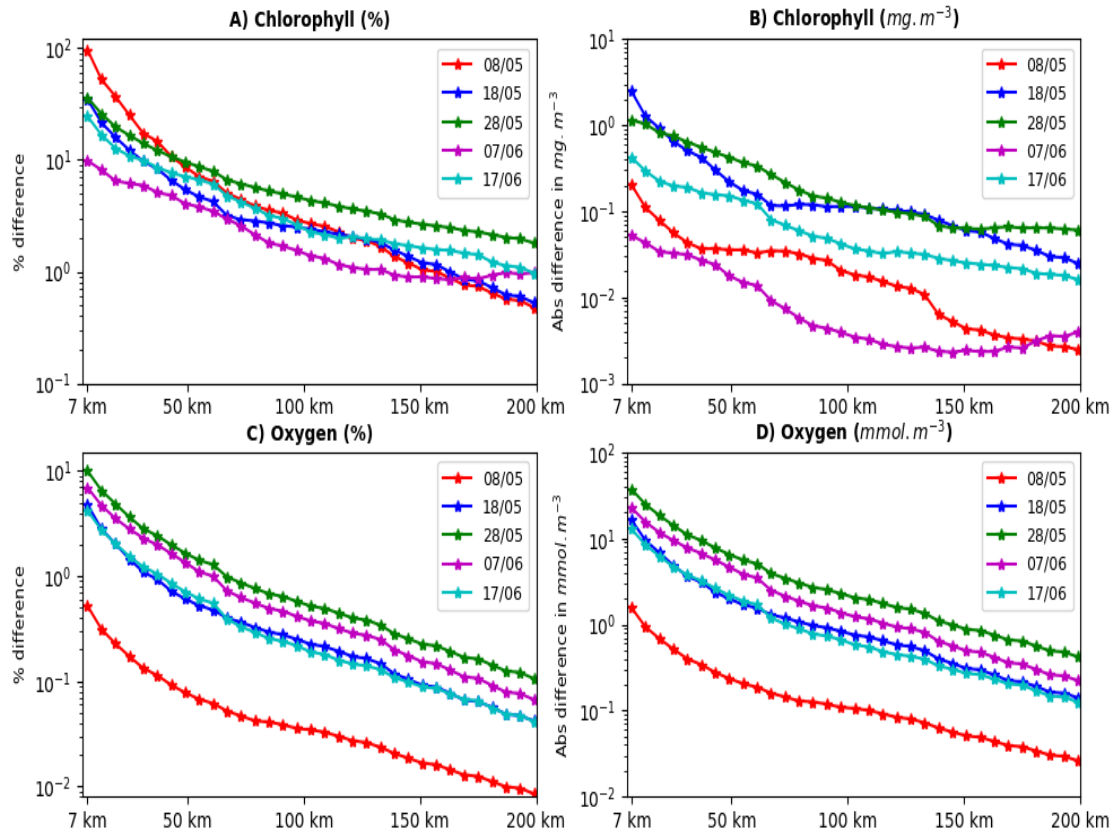
499 **Figure 4.** Comparison of the time median surface chlorophyll a distributions (mg m^{-3}) for the simulation
 500 period (08/05 - 15/08, 2018) and the AMM7 domain. The upper two panels show differences in the mean
 501 concentrations between the free run (panel A), the multi-platform reanalysis (panel B) and the assimilated
 502 satellite OC product (the differences are simulated minus observed chlorophyll). The bottom two panels dis-
 503 play the impact of the physical (panel C) and the glider chlorophyll (panel D) assimilation on the simulated
 504 surface chlorophyll a concentrations by showing the differences between the two reanalyses and the free run
 505 (reanalysis minus free run). The NWE Shelf-wide impact of the multi-platform assimilation on the surface
 506 chlorophyll a concentrations is dominated by the satellite OC assimilation component (not shown here). The
 507 multi-platform reanalysis (panel B) is therefore almost identical to satellite OC reanalysis.



508 **Figure 5.** The left hand panels (A,C,E,G) demonstrate the spatio-temporal impact of the multi-platform
 509 system components on the simulated chlorophyll *a* concentrations (mg m^{-3}) by comparing different simu-
 510 lations to the free run. One major advantage of the left-hand side panels is that they demonstrate how the
 511 changes introduced by the assimilation propagate vertically with the model dynamics, e.g. for the satellite OC
 512 assimilation (panel C) that updates the model only in the mixed layer (the MLD is marked in panels C-D by a
 513 yellow line). The right hand panels (B,D,F,H) show the skill of each component by comparing the simulations
 514 to the glider observations. The first row shows the skill of the free run (panel B) and the required changes to
 515 the free run in order to better match the glider observations (panel A). The rows beneath the first row compare
 516 the chosen reference (free run or glider) with a range of system components: i) the reanalysis assimilating
 517 satellite OC chlorophyll (panels C and D), ii) the reanalysis assimilating glider chlorophyll (panels E and
 518 F) and iii) the multi-platform assimilation (joint physical data, glider chlorophyll and oxygen, and satellite
 519 chlorophyll assimilation, panels G and H).



520 **Figure 6.** The impact of different multi-platform system components on the model chlorophyll concen-
 521 trations. The panels A-B compare the daily chlorophyll values spatially averaged throughout the upper 10
 522 meters of the water column, within the part of the model domain visited by the glider. The panels C-D show
 523 the daily values spatially averaged throughout the whole water column, within the part of the model domain
 524 visited by the glider (the daily time series from equation 9), and the remaining panels E-F show the daily BC
 525 RMSD (equation 7) for the same part of the model domain as the panels C-D. The panels display the skill of
 526 the following system components: physical data assimilation (grey color), satellite OC chlorophyll assimi-
 527 lation (orange) and oxygen assimilation (brown). These components are compared with the multi-platform
 528 assimilative run (joint physical data, glider chlorophyll and oxygen, and satellite OC chlorophyll assimilation,
 529 green color), the free run (blue), the glider observations (red) and the satellite OC data (pink).



530 **Figure 7.** The horizontal scales for the impact of the glider chlorophyll (panels A-B) and the glider oxygen
 531 (panels C-D) assimilation. The impact of glider assimilation is shown for a range of days (between 08/05-
 532 17/06, 2018). The impact is calculated by comparing the mean absolute value of the difference in chlorophyll
 533 (A-B panels), or oxygen (panels C-D) concentration between the reanalysis and the model free run. The mean
 534 absolute difference is shown relative to the free run values (in %, panels A,C), or in the absolute values (pan-
 535 els B,D). The absolute difference was averaged on the circles with 7-200 km radii (the spatial scales shown
 536 on the x-axis). The circles were centered around the glider daily mean location. The mean absolute differ-
 537 ences (y-axis) are shown on a log-scale, a straight-line therefore represents an exponential decrease of the
 538 assimilation impact as a function of spatial scale.

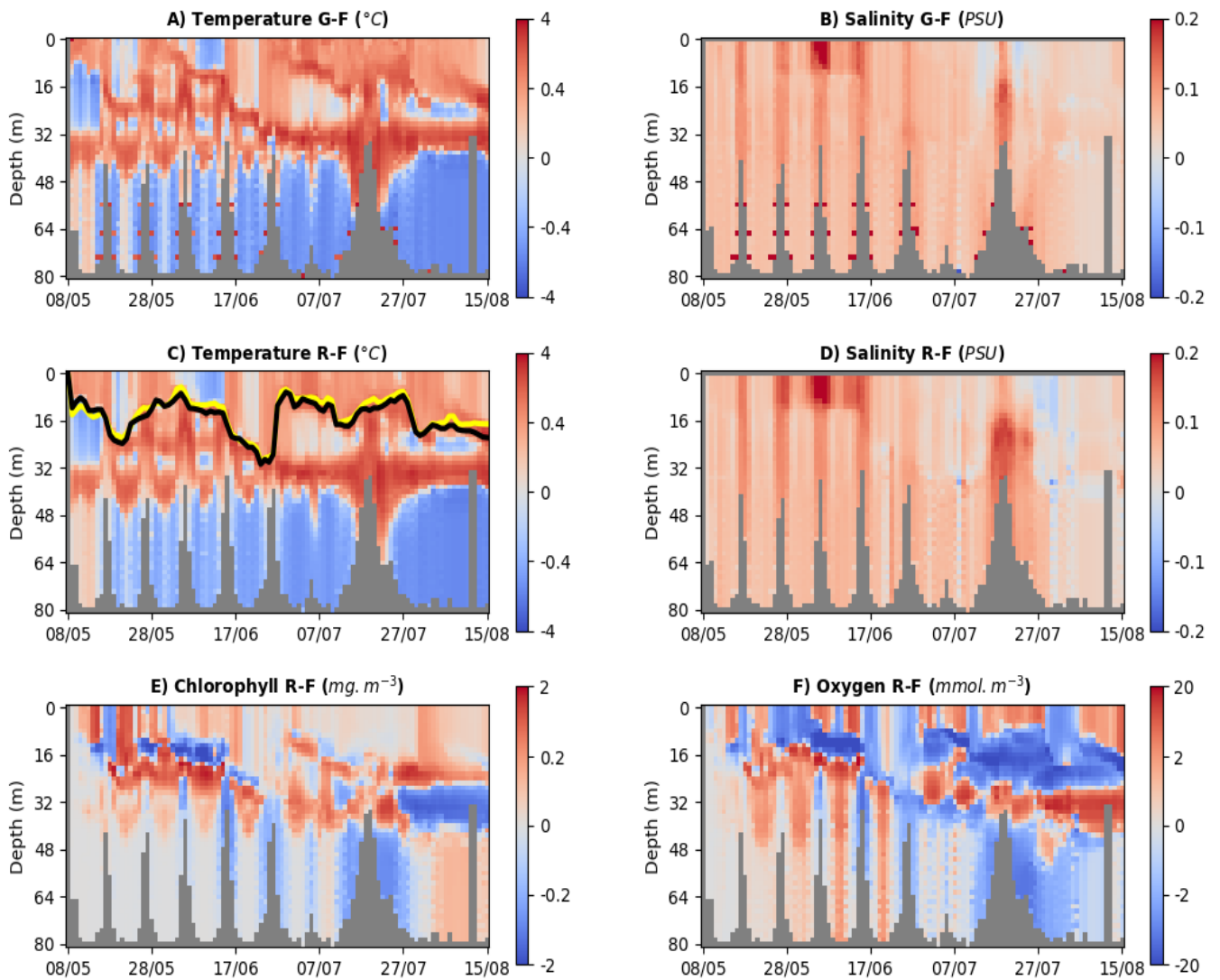
539 **Table 3.** The Table demonstrates the skill measured by bias (equation 4), spatial BC RMSD (equation 8)
 540 and temporal BC RMSD (equation 10) of the free run and the relative (%) changes to the skill carried by the
 541 different assimilative system components. The skill compares the model simulations with the glider data. The
 542 percentage changes in the columns for the assimilative runs are calculated relative to the free run skill. The
 543 negative percentage means that the bias, or (spatial, temporal) BC RMSD is reduced by the specific system
 544 component, whilst the positive percentages mean that bias, or (spatial, temporal) BC RMSD, increases.

variable	free run	phys DA	sat Chl <i>a</i> DA	glid Chl <i>a</i> DA	O ₂ DA	multi DA
Chl <i>a</i> bias	0.31 mg m ⁻³	+6.8%	-80%	-46.4%	0%	-56.7%
Chl <i>a</i> temporal BC RMSD	0.77 mg m ⁻³	+5.2%	-54.6%	-70.3%	0%	-65.4%
Chl <i>a</i> spatial BC RMSD	1.14 mg m ⁻³	-5.5%	-15.3%	-61.9%	0%	-59%
O ₂ bias	25 mmol m ⁻³	-3.8%	+10.6%	+0.7%	-97%	-98%
O ₂ temporal BC RMSD	13.5 mmol m ⁻³	-4.3%	+10.8%	-5.4%	-83.8%	-83.7%
O ₂ spatial BC RMSD	29.8 mmol m ⁻³	-7%	-5.7%	-14.6%	-44.5%	-47.4%

561 ing, the model mixing scheme, or the phytoplankton response to light, assimilating phys-
 562 ical data was found to have relatively modest impact on chlorophyll bias, as well as spa-
 563 tial and temporal BC RMSD (between 5-7%, Table 3). However, the impact of physical
 564 data assimilation on the simulated phytoplankton could become more substantial within
 565 a strongly coupled system (*Goodliff et al.* [2019]). In such system we would mutually up-
 566 date the biogeochemical and the physical increments within a balancing scheme, which
 567 could be ideally defined using a two-way coupled physical-biogeochemical model (e.g.
 568 *Lengaigne et al.* [2007]). Such development is planned in the foreseeable future.

577 Finally, we have observed that assimilating glider oxygen into the model has a neg-
 578 ligible impact on the simulated chlorophyll concentrations, with a change to the skill met-
 579 rics of the order O(10⁻²) percent (Table 3, see also Figure 5:C,E). This is expected, as
 580 within ERSEM the oxygen variable influences phytoplankton concentrations only indi-
 581 rectly through a complex chain of marine chemical and biological processes (e.g. through
 582 influencing remineralization, or nitrification rates, and through the impact of hypoxia on
 583 zooplankton).

584 There is a clear discrepancy between the oxygen time series of the glider and the
 585 model free run (Figure 9, Figure 10:A-B), with glider oxygen concentrations steadily de-
 586 creasing, while the simulated oxygen peaks in late May (Figure 10:A-B). Furthermore,
 587 simulated oxygen concentrations have a substantial positive bias (25 mmol m⁻³, Table 3,
 588 Figure 10:A-B) relative to the glider observations. Figure 9:A clearly shows that photo-
 589 synthesis is an important driver of the simulated oxygen, producing a large oxygen surge
 590 in the mixed layer during the simulated late spring bloom. Some connection between oxy-
 591 gen and chlorophyll concentrations (a proxy for primary productivity) appears also in the
 592 glider observations (Figure 9:B), with the peak in oxygen concentrations located in the
 593 neighborhood of the glider deep chlorophyll maxima (Figure 3:B). As for chlorophyll, a
 594 simple way to improve simulated oxygen is to assimilate the glider oxygen data into the
 595 model (Figure 10:D, Figure 11:H). Assimilating glider oxygen into the model reduces the
 596 oxygen bias by 97%, temporal BC RMSD by 84% and spatial BC RMSD by 45% (Table
 597 3). However, as in the case of chlorophyll, such assimilation has a limited spatial impact
 598 on the NWE Shelf (Figure 7:C-D and Figure 12:C). Unlike chlorophyll, the glider oxygen
 599 assimilation horizontal impact reduces with spatial scale at a rate largely independent of



569 **Figure 8.** Hovmöller diagrams to demonstrate the impact of physical (SST, in situ temperature and salinity,
 570 including Cabot glider data) assimilation on the model variables. The upper row (A and B) shows the dif-
 571 ference between glider ("G" in the title) and free run ("F") outputs for temperature (A) and salinity (B). The
 572 middle row (C and D) shows differences for the same variables between physical reanalysis ("R") and the free
 573 run. The bottom row (E and F) shows the same differences between physical reanalysis and the free run, but
 574 for the two biogeochemical variables addressed by this study: total chlorophyll and oxygen. The two lines in
 575 the panel C compare the mixed layer depth of the free run (yellow) and of the physical reanalysis (black). The
 576 mixed layer depth has been obtained in both cases from the model outputs.

600 time (Figure 7:C-D). Beyond the 50 km scale the assimilation horizontal impact decays
601 approximately exponentially (a straight line in Figure 7:C-D), with a halving scale of ap-
602 proximately 40 km, which means the impact is reduced by an order of magnitude at a 130
603 km scale.

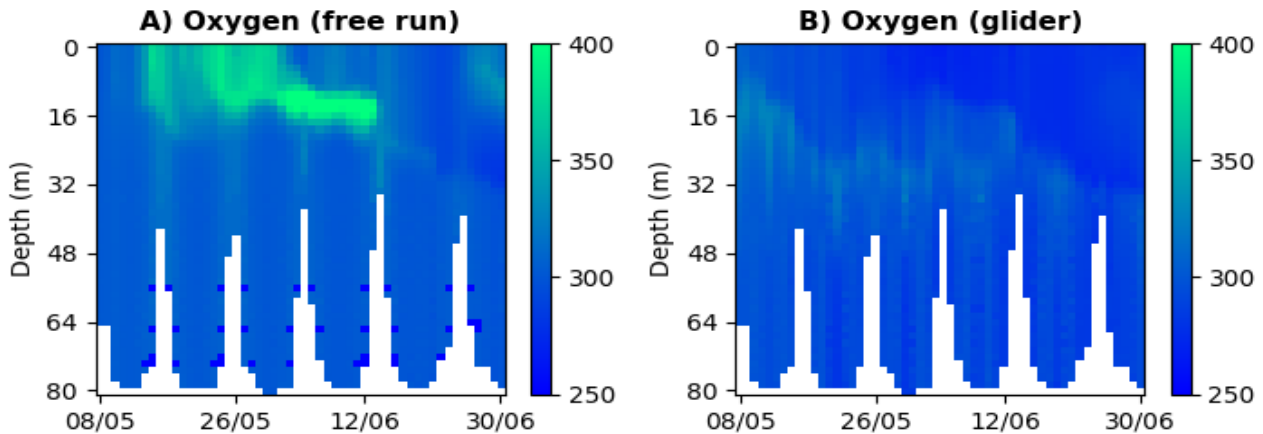
604 Since the modeled oxygen concentrations are largely driven by the phytoplankton
605 seasonal cycle, it is not surprising that assimilation of either satellite OC, or glider chloro-
606 phyll, has a major influence on the simulated oxygen (Figure 11:C,E, Figure 12:B). The
607 assimilated chlorophyll modifies the simulated oxygen after a necessary time-lag, remov-
608 ing the excess oxygen from the model spring bloom and generating some deep oxygen
609 maxima in early-to-mid June (Figure 11:C-F). The chlorophyll assimilation consistently
610 improves oxygen in the period up to the start of June, but typically degrades oxygen in
611 early-to-mid June (Figure 10:B,D,F), mostly due to the surge in oxygen concentrations
612 around the deep oxygen maxima (Figure 11:C,E). The oxygen surge is likely to be partly
613 driven by the deep chlorophyll maxima, e.g. by the overestimated chlorophyll concentra-
614 tions around the deep maxima in the satellite OC assimilation (Figure 5:D). However,
615 other drivers such as zooplankton and bacteria respiration are likely to contribute to the
616 deep oxygen maxima. The mechanism for this is suggested by Figure 13:C-F: the chloro-
617 phyll assimilation removes phytoplankton biomass from the mixed layer, limiting the re-
618 sources for the simulated zooplankton and bacteria, and reducing their concentrations. The
619 reduced phytoplankton concentrations seem to have much larger and more consistent im-
620 pact on the zooplankton concentrations than on bacteria (Figure 13:C-F) and the reduced
621 zooplankton concentration means less oxygen is removed through respiration, which likely
622 produces excess oxygen concentrations.

623 Compared to chlorophyll assimilation, the physical data assimilation has a rela-
624 tively modest impact on the simulated oxygen (Figure 8:F, Figure 12:A-B), but it tends
625 to consistently improve both the oxygen bias, and the spatial and temporal BC RMSD (by
626 3 – 7%, Table 3). The impact of physical data assimilation on the oxygen concentrations
627 can be explained by the lowered oxygen saturation concentrations under the increase in
628 temperature within the reanalysis (Figure 8:C).

629 Finally, we have combined all the assimilative system components (physical data
630 assimilation, satellite OC, glider chlorophyll and oxygen) into a multi-platform assimilative
631 run and we have shown that multi-platform assimilation has the capability to optimally
632 combine the skill of all its components (Figure 4:B, Figure 6:D,F, Figure 9:D-E, Table 3).
633 The multi-platform chlorophyll re-analysis is dominated in the vicinity of the glider by the
634 glider chlorophyll assimilative component (Figure 5:E,G), whilst further away from the
635 glider it is dominated by the satellite OC assimilation (Figure 4:D). The multi-platform
636 oxygen re-analysis is dominated near the glider locations by the glider oxygen assimilation
637 (Figure 10:D), whilst further away from the glider locations it is dominantly shaped by the
638 satellite OC assimilation (Figure 12:B,D).

673 **4 Summary**

674 Present and future glider missions on the NWE Shelf will provide us with three-
675 dimensional (3D) data on some specific biogeochemical variables (presently mostly for
676 chlorophyll and oxygen) combined with physical measurements (e.g. temperature and
677 salinity). These data will be, together with satellite missions, integrated into our ecosys-
678 tem models by means of a multi-platform assimilative system. It is of crucial importance
679 to understand what observed variables need to be assimilated in order to represent well a
680 target ecosystem indicator, and what assimilation may need to be avoided because it can
681 paradoxically degrade the model skill for the target indicator. Furthermore, different data
682 will be available for different spatial and temporal regions on the NWE Shelf and it is es-
683 sential to understand how the limitations imposed by the availability of the observational
684 data impact on the quality of the multi-platform reanalyses. To address these questions we



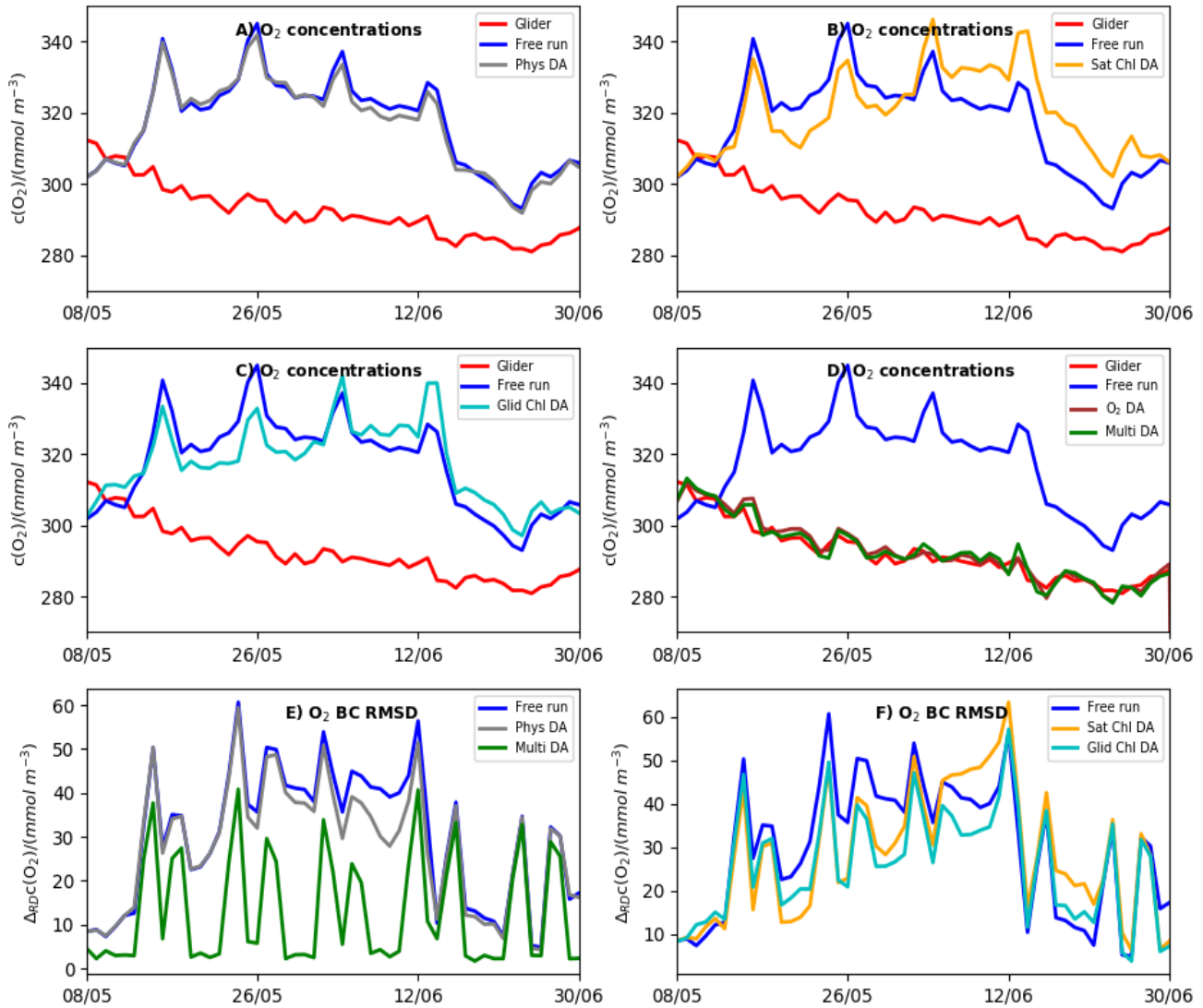
639 **Figure 9.** Hovmöller diagrams for the model free run and the glider observations. The left-hand panel (A)
 640 shows the model free run outputs for oxygen (mmol m^{-3}) horizontally averaged through the area covered
 641 by the glider during each day (the plot is depth vs time). The right-hand panel (B) shows the same for the
 642 glider-observed oxygen.

685 explored the impact of different system components (physical data, satellite OC chloro-
 686 phyll, glider chlorophyll and oxygen assimilation) on the simulated ecosystem state, using
 687 the operational set-up currently assimilating physical variables and satellite OC chloro-
 688 phyll. This study has taught us several important lessons:

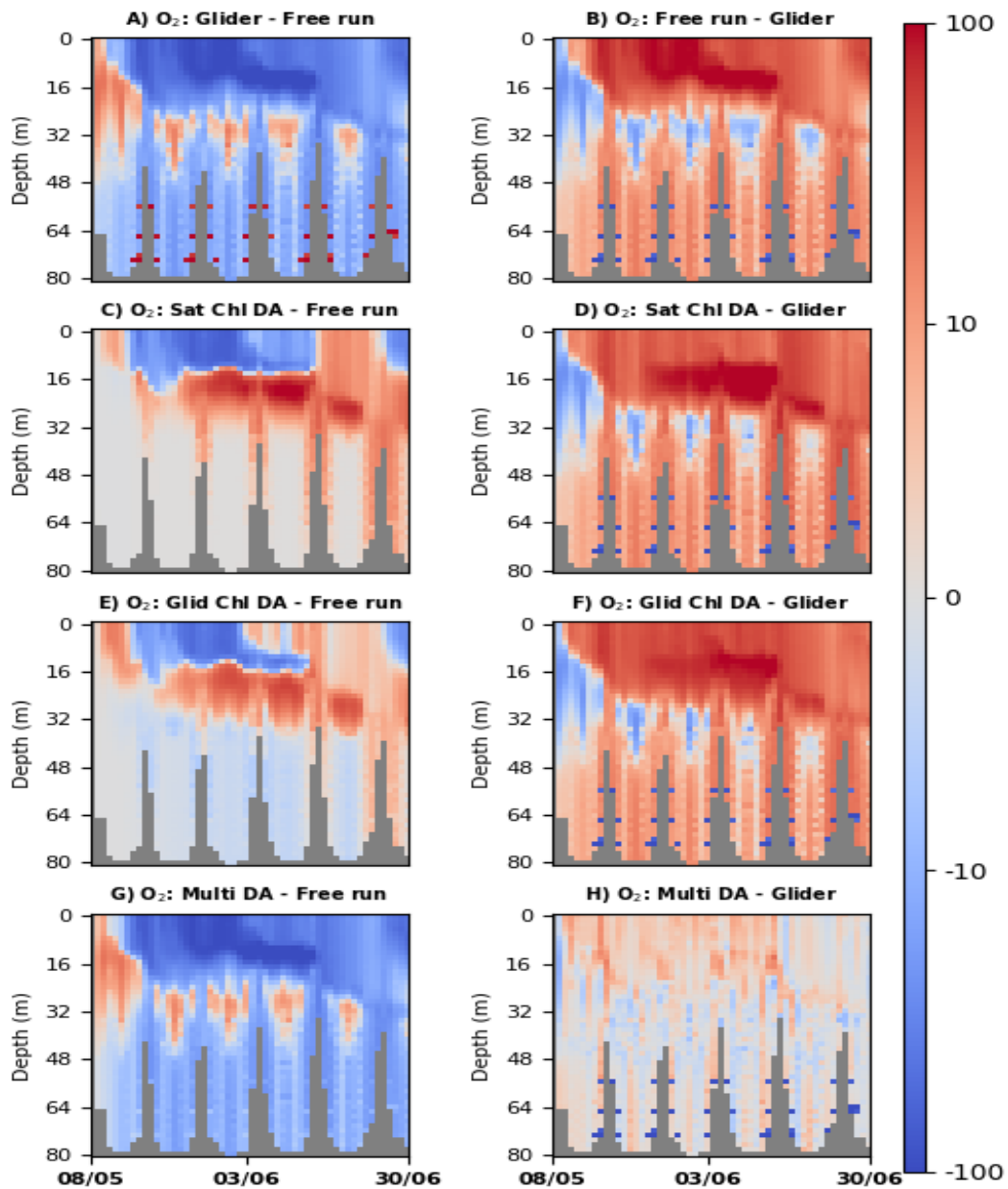
689 a) Assimilating physical data (SST, in situ temperature and salinity) has a negligible
 690 impact on the simulated phytoplankton bloom. This is because the modeled phytoplankton
 691 bloom depends in the North Sea mostly on the model response to the atmospheric forcing
 692 (wind stress and solar radiance), which remains unchanged by the temperature and salin-
 693 ity assimilation. Since the phytoplankton bloom is an essential driver of the ecosystem
 694 dynamics on the NWE Shelf (*Henson et al.* [2009]), it is quite likely that physical glider
 695 data assimilation has a relatively minor importance for the simulated ecosystem dynamics
 696 on the NWE Shelf. This is quite different from some other global regions where physical
 697 assimilation is either desirable (*Anderson et al.* [2000]; *Yu et al.* [2018]), or can degrade
 698 the biogeochemical model skill (*Berline et al.* [2007]; *Holt et al.* [2014]; *Raghukumar et al.*
 699 [2015]; *Park et al.* [2018]). Based on this study we would suggest that, at least around the
 700 spring bloom in the North Sea, physical assimilation can be used to improve the physi-
 701 cal model skill, whilst its impact on the coupled biogeochemical model can be relatively
 702 ignored.

703 b) In terms of chlorophyll, the glider chlorophyll assimilation is the dominant and
 704 best performing component of the multi-platform assimilative system within the 50 km
 705 horizontal proximity of the glider. Further away from the glider locations, assimilating
 706 satellite OC data substantially improves the surface chlorophyll concentrations, but it can
 707 also produce realistic updates to the sub-surface chlorophyll. Since satellite OC assim-
 708 ilation updates chlorophyll only within the mixed layer, the updates to the sub-surface
 709 chlorophyll are explained by the model dynamical response to the assimilation. The skill
 710 of satellite OC assimilation in sub-surface chlorophyll is important, as glider technology
 711 will be able to cover only limited parts of the NWE Shelf and future multi-platform as-
 712 similative system will have to rely heavily on satellite data.

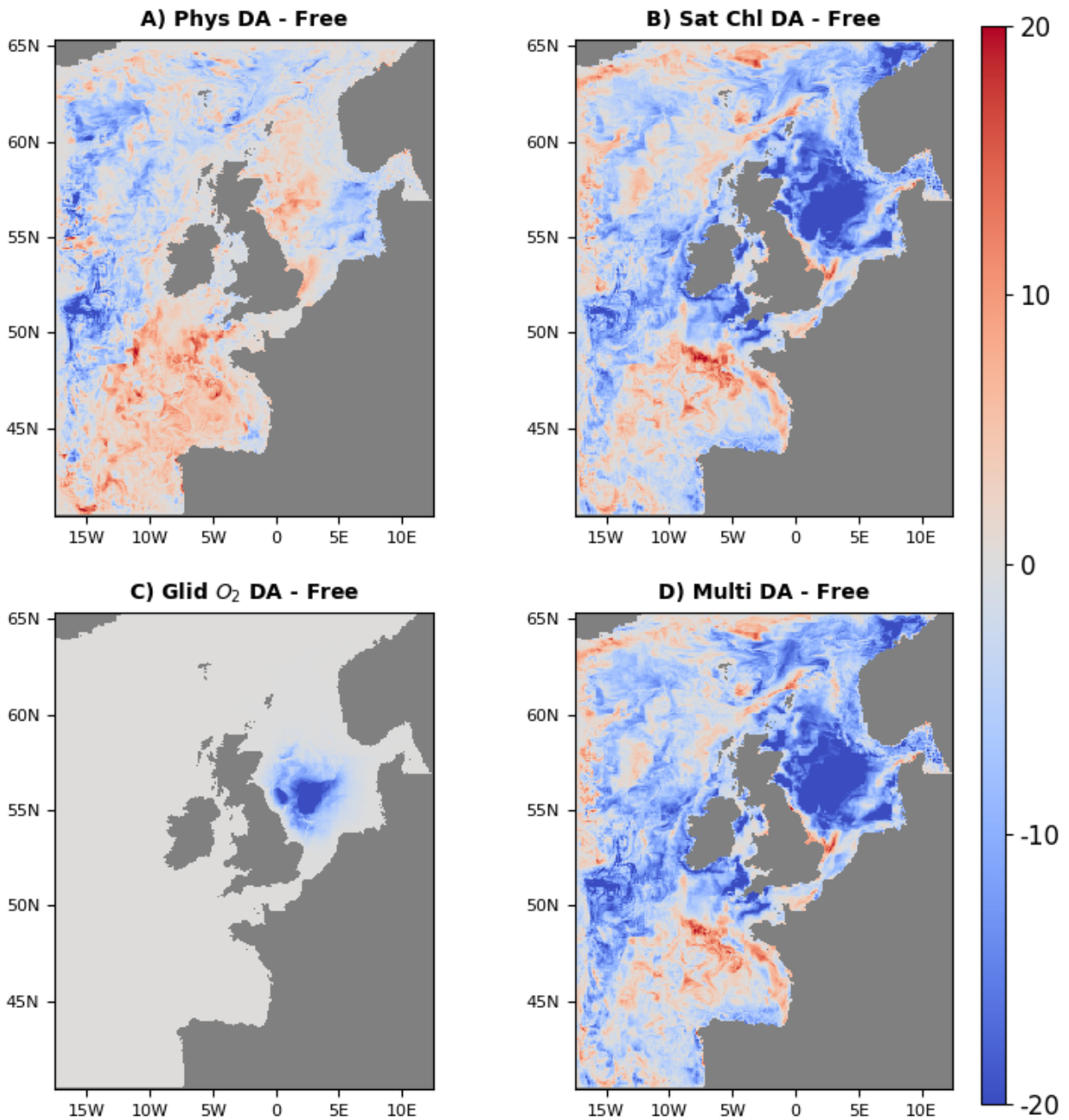
713 c) The modelled phytoplankton dynamics is impacted by the oxygen concentrations
 714 only indirectly, e.g. through remineralization, or nitrification rates and the impact of hy-



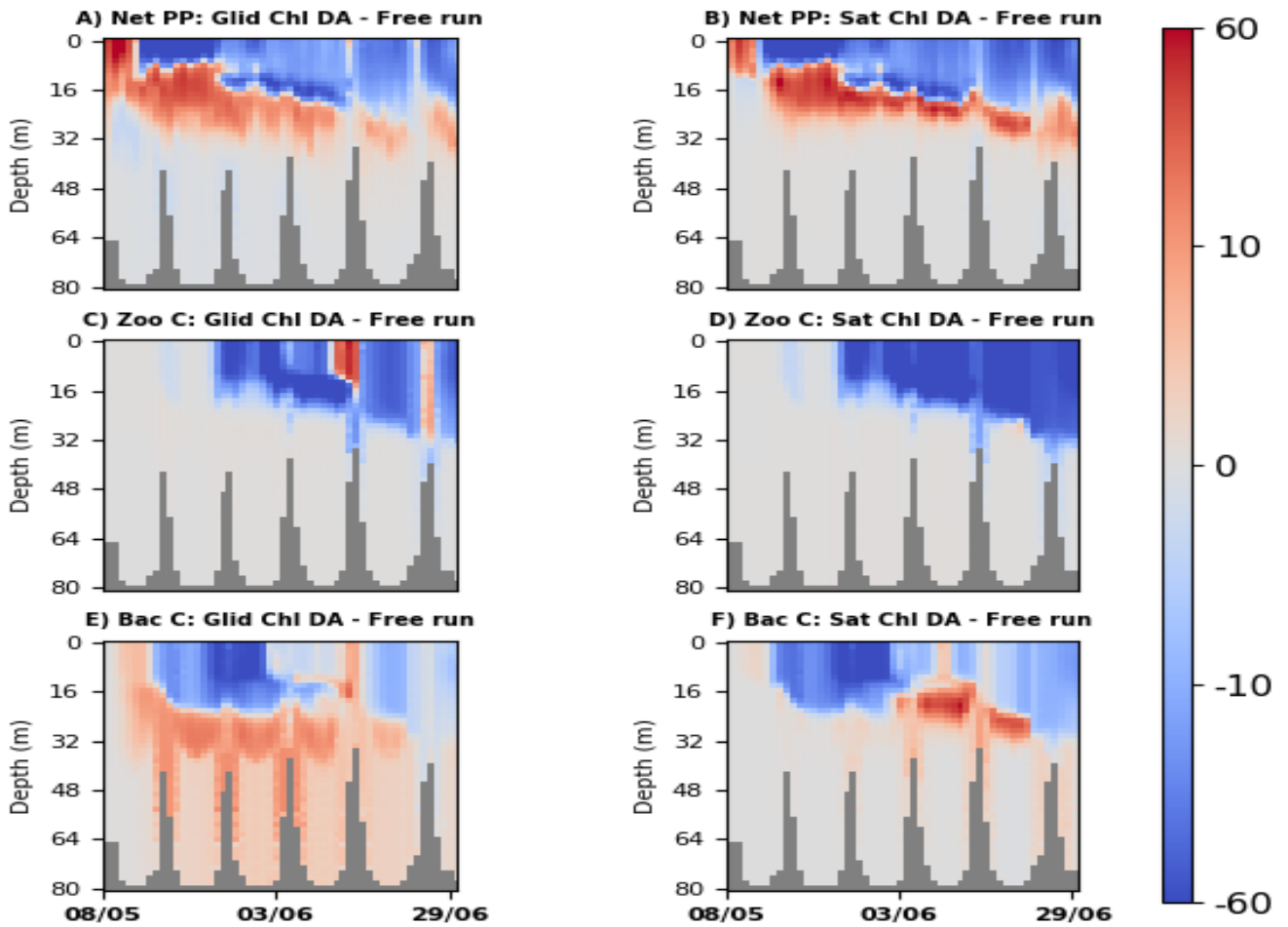
643 **Figure 10.** The impact of different multi-platform system components on the model oxygen. The panels
 644 A-D compare the daily oxygen values spatially averaged throughout the whole water column, within the part
 645 of the model domain visited by the glider (the daily time series from equation 9), and the panels E-F show the
 646 daily BC RMSD (equation 7). The panels display the skill of the following system components: physical data
 647 assimilation (grey color), satellite OC chlorophyll assimilation (orange), glider chlorophyll assimilation (light
 648 blue) and oxygen assimilation (brown). These components are compared with the multi-platform assimilative
 649 run (joint physical data, glider chlorophyll and oxygen, and satellite chlorophyll assimilation, green color), the
 650 free run (blue) and the glider observations (red).



651 **Figure 11.** The left hand panels (A,C,E,G) demonstrate the impact of the multi-platform system compo-
 652 nents on the simulated oxygen concentrations (mmol m^{-3}) by comparing different simulations to the free
 653 run. These panels are particularly well suited to see how chlorophyll assimilation dynamically influences
 654 the simulated oxygen. The right hand panels (B,D,F,H) show the skill of each component by comparing the
 655 simulations to the glider observations. The first row shows the skill of the free run (panel B) and the required
 656 changes to the free run in order to better match the glider observations (panel A). The rows beneath the first
 657 row compare the chosen reference (free run or glider) with a range of system components: i) the reanalysis
 658 assimilating satellite OC chlorophyll (panels C and D), ii) the reanalysis assimilating glider chlorophyll (pan-
 659 els E and F) and iii) the multi-platform assimilation (joint physical data, glider chlorophyll and oxygen, and
 660 satellite chlorophyll assimilation, panels G and H).



661 **Figure 12.** Comparison of the time median surface oxygen distributions (mmol m⁻³) for the oxygen glider
 662 glider data period (08/05/2018 - 29/06, 2018). The panels show the impact of the different multi-platform system
 663 components on the modelled oxygen by comparing the differences between four reanalyses and the free run.
 664 The reanalyses presented in the panels are the physical data assimilation (panel A), the OC satellite chloro-
 665 phyll assimilation (panel B), the glider oxygen assimilation (panel C) and the multi-platform assimilation
 666 (panel D).



667 **Figure 13.** The different panels help to interpret the impact of the simulated primary production and
 668 respiration on the modeled oxygen concentrations. We show the difference between the glider chlorophyll
 669 assimilation (left-hand side panels, A,C,E), or OC chlorophyll assimilation (right-hand side panels, B,D,F)
 670 and the model free run (always assimilative run minus free run). The difference is shown for (i) the total net
 671 primary production ($\text{mg C m}^{-3}\text{day}^{-1}$, panels A-B), (ii) total zooplankton carbon concentrations (mg C m^{-3} ,
 672 panels C-D) and (iii) heterotrophic bacteria carbon concentrations (mg C m^{-3} , panels E-F).

715 poxia on zooplankton (*Butenschön et al.* [2016]). It is therefore hardly surprising that
716 univariate assimilation of oxygen has a negligible impact on the simulated phytoplankton
717 chlorophyll concentrations. This also means that one can assimilate oxygen into ERSEM
718 without worrying about its consequences for the modelled phytoplankton. Such an oxygen
719 assimilation has an obvious advantage in that it outperforms any other run in the model
720 simulation of oxygen.

721 d) Two important drivers of the simulated oxygen concentrations are the primary
722 production and respiration. Consequently, assimilating (satellite OC, or glider) chlorophyll
723 was found to have a major impact on the modeled oxygen. The removal of the late model
724 bloom in the reanalysis improves the modeled oxygen, however it produces spurious deep
725 oxygen maxima, partly due to the productivity at the deep chlorophyll maxima and partly
726 due to the reduced respiration by the ERSEM zooplankton. Physical data assimilation has
727 a stronger impact on the oxygen than on chlorophyll (oxygen saturation levels depend sub-
728 stantially on temperature), but it had substantially less impact on the simulated oxygen
729 than the chlorophyll assimilation.

730 e) The multi-platform assimilation (joint physical data, glider chlorophyll and oxy-
731 gen, satellite OC chlorophyll assimilation) combines optimally the skill of its components
732 and always performs comparably to, or better than its best performing component.

733 f) Based on the results of this study we expect that the multi-platform system will
734 provide us with improved-quality operational products on the NWE Shelf.

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