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5 The effect of the North Atlantic Subpolar Front as a boundary in pelagic biogeography
6 decreases with increasing depth and organism size.
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4 **Abstract: Broad-scale patterns in the distribution of deep-sea pelagic species and**
5 **communities are poorly known. An important question is whether biogeographic**
6 **boundaries identified from surface features are important in the deep mesopelagic**
7 **and bathypelagic. We present community analyses of discrete-depth samples of**
8 **mesozooplankton and micronekton to full-ocean depth collected in the area where**
9 **the Mid-Atlantic Ridge is crossed by the Subpolar Front. The results show that the**
10 **distributional discontinuity associated with the front, which is strong near the**
11 **surface, decreases with increasing depth. Both the frontal separation near the**
12 **surface and the community convergence at increasing depths were clearer for**
13 **mesozooplankton than for micronekton.**
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24 **Keywords. Deep-sea, bathypelagic, mesopelagic, zoogeography, oceanic, nekton,**
25 **zooplankton.**
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30 Abbreviations: AZ - Azorean Zone, CGFZ - Charlie-Gibbs Fracture Zone, FSZ - Faraday
31 Seamount Zone, LSW - Labrador Sea Water, MAR - Mid-Atlantic Ridge, MDS - Multi-
32 Dimensional Scaling, MNAW - Modified North Atlantic Water, MW - Mediterranean
33 Water, NAC - North-Atlantic Current, NACW - North Atlantic Central Water, NADW -
34 North Atlantic Deep Water, RR - Reykjanes Ridge, SAIW - Sub-Arctic Intermediate
35 Water. SPF - SubPolar Front.
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38 **1. Introduction.**

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43 Recent progress in understanding oceanic biogeography has been substantial. For
44 example, a review of the topic under the auspices of the Intergovernmental
45 Oceanographic Commission of UNESCO resulted in the most comprehensive global
46 biogeographic classification system to date for the open ocean and deep seabed
47 (UNESCO, 2009). Watling et al. (2013) further refined the biogeographic classification
48 of deep-sea benthic communities. However, as noted in the pelagic review by UNESCO
49 (2009),
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55 "Little information was available ... that could be used to explore the power of
56 the proposed system to reflect biogeographic patterns of the deeper pelagic
57 biota. The expert view of the scientists was that patterns will diverge from
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4 surface water patterns with increasing depth. The current work is focused on
5 observations in the photic zone, down to 200m. Of course the influence of this
6 zone into deeper waters will be considerable, but available information on
7 taxonomic patterns or even of the abiotic drivers of such patterns remains so
8 poor that it is unlikely that any distinct and global scale classification of deep-
9 pelagic biogeography is possible at the present time. Further follow-up by
10 experts is warranted."
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18 A more recent review focusing on global pelagic biogeography (Spalding et al.
19 2012) found that only surface provinces could be delineated confidently. Furthermore,
20 they said that “More complex patterns would emerge if we were to include deeper waters,
21 however the paucity of knowledge of distribution patterns among deeper-dwelling
22 organisms precludes any such study at the present time. We cannot assume that surface
23 patterns are maintained in deeper waters...”
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29 The pelagic provinces proposed in UNESCO (2009) and Spalding et al. (2012),
30 after thorough review of the literature, included the North Atlantic Current province and
31 the Sub-Arctic Atlantic province, separated by the Subpolar Front (SPF). These provinces
32 and the boundary between them are defined primarily by surface features and biota living
33 near the surface. However, most of the ocean is much deeper than the epipelagic (or the
34 euphotic) zone. Because many animals of the mesopelagic conduct daily vertical
35 migrations into the near-surface epipelagic, strong linkage of mesopelagic distributions
36 with surface features can be expected (Robinson et al., 2010). The truly deep,
37 bathypelagic realm is the largest ecosystem on the planet and may be very isolated from
38 surface phenomena. However, the deep pelagic has been very poorly sampled (Webb et
39 al., 2010). It therefore remains an important research challenge to understand how
40 structure in surface biogeography, such as the biogeographic boundary at the SPF,
41 translates into large-scale distribution of organisms in the deep ocean.
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53 A project of the Census of Marine Life, entitled "Patterns and Processes of the
54 Ecosystems of the northern Mid-Atlantic" (MAR-ECO), included pelagic sampling to full
55 ocean depths along and on the flanks of the Mid-Atlantic Ridge (MAR) between Iceland
56 and the Azores (Bergstad et al., 2008; Vecchione et al. 2010a). The MAR-ECO study
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4 area included the North Atlantic Current province and the Sub-Arctic Atlantic province,
5 with the SPF crossing the middle of the study area.
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8 Several taxon-specific studies of MAR-ECO material from the R.V. *G.O. Sars*
9 2004 expedition (e.g., Gaard et al., 2008; Sutton et al., 2008; Hosia et al., 2008;
10 Vecchione et al., 2010b; Letessier et al., 2011) and the ECOMAR project (Priede et al.,
11 2013) indicated that the surface hydrography and particularly the SPF affects the
12 distribution of species assemblages mainly in the epipelagic and upper mesopelagic.
13 Below this depth, the species assemblages were more consistent throughout the area
14 studied. Also, the horizontal distribution of several taxa across the SPF seems to be
15 asymmetric: “southern species” have limited dispersal north of the SPF, while cold-water
16 species are not so restricted by the SPF (Sutton et al, 2013). Are the patterns that were
17 found in a few taxonomic groups general for the pelagic community? We address this
18 question using community analytical methods applied to a large range of taxa, and
19 including information on both horizontal and vertical distributions. Our specific
20 hypothesis is that the SPF influences the community distribution inferred from many
21 taxa, but the effect declines with depth. Our overarching goal is to understand whether
22 frontal zones defining biogeographic boundaries are valid for meso- and bathypelagic
23 communities, as they are for those of the epipelagic. We emphasize that the current
24 publication is not intended to be a global review of deep-sea or pelagic biogeography, but
25 rather a community-scale test of specific hypotheses about the influence of an important
26 surface feature, amenable to satellite based remote sensing, on deep-pelagic
27 biogeography.
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45 46 **2. Methods**

47 48 49 50 **2.1 Sampling**

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53 We include here data from two cruises. Leg 1 of the R/V *G.O. Sars* expedition
54 sampled from near Iceland at 60°N, 28°W to just north of the Azores Islands at 41°N,
55 28°W in June 2004. In addition, we used data from NOAA FSV *Henry B. Bigelow* cruise
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4 HB 09-02 which conducted follow-up studies in the Charlie-Gibbs Fracture Zone (CGFZ,
5 around 53° N, 035° W) in June-July 2009 (Cook et al., 2013).
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8 *G.O. Sars* Leg 1 focused on pelagic sampling with a variety of gear (Wenneck et
9 al., 2008). Station locations for this cruise were selected *a priori* to characterize
10 geographic ridge sections (Fig. 1a), based on known bathymetry. For this analysis we
11 used data from the two samplers that provided the best depth resolution. Micronekton
12 catch data came from the krill trawl (also called a "macrozooplankton trawl" in Wenneck
13 et al., 2008), a double-warp trawl with standard, pelagic-trawl doors, 6×6-m mouth
14 opening, 3×3-mm mesh (6 mm, stretched) from the mouth to the cod end, length of 45 m,
15 and five cod ends opening and closing by a pre-programmed timer, each with a 7-l
16 collection bucket. It was towed on the *G.O. Sars* cruise in a stepped oblique pattern at 18
17 stations from a maximum depth of about 2500-3000 m, or close to the bottom in
18 shallower depths, to the surface while closing and opening successive cod ends to sample
19 quasi-discrete depths. We have included for this analysis the catches, standardized to
20 number per 10⁶ m³ water filtered, of fishes, euphausiids, decapod crustaceans,
21 lophogastrids, cephalopods, and the dominant net-caught cnidarians *Periphylla periphylla*
22 and *Atolla* spp. Additionally, five to nine depth-stratified mesozooplankton samples from
23 2500 m (bottom depth permitting) to the surface were collected at each of 11 stations
24 using a Multinet (Hydro-Bios Multi Plankton Sampler) with a 50×50-cm mouth, 180-μm
25 mesh and five cod ends. The analysis uses abundances (per m³) of copepod and cnidarian
26 species. See Wenneck et al. (2008) for additional sampling details. Concurrent physical
27 oceanographic observations have been analyzed and presented in detail elsewhere
28 (Søiland et al., 2008) together with a summary of the literature on the physical
29 oceanography of the study area.
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48 In order to assess the effects of temporal and small-scale variability on the *G.O.*
49 *Sars* data, the *Bigelow* cruise intensively sampled in the area of CGFZ, within the SPF.
50 We analyzed a subset of these collections to determine the possible magnitude of short-
51 term and small-scale variability for interpretation of the primary results from the *G.O.*
52 *Sars* sampling. The same krill trawl with five cod ends as the one used on the *G.O. Sars*
53 cruise was used to target depths from near the bottom to the surface at 11 stations in two
54 transects, one northwest and one southeast of CGFZ. This allowed closely-spaced diel
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4 comparisons. Bottom depths in CGFZ range from <1000 m to >4500 m. Target depths
5 were therefore selected based on bottom depth and topography while attempting to
6 standardize the depth layers sampled to match those on the *G.O. Sars* cruise (Table 1).
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8 Midwater sampling was conducted at all stations but focused on bottom depths >1000 m
9 with maximum sampled depth approaching 3000 m. After preliminary attempts to do
10 stepped-oblique tows indicated that precise coordination of the steps with the timer on the
11 multiple cod ends was not feasible, a continuous-oblique strategy was adopted, with the
12 cod ends fishing discrete layers within the oblique. At deep stations, this resulted in five
13 discrete-depth oblique samples. At shallow stations, the first cod end was fished
14 obliquely from the surface to the target depth, the second was a horizontal tow at target
15 depth, and the third through fifth were timed to match the depths of cod ends 3-5 at the
16 deeper stations. The data analyzed here include only the discrete-depth samples. A net-
17 mounted, recording temperature/pressure sensor was used on each tow. See Cook et al.
18 (2013) for additional sampling details.
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31 2.2 Analyses 32

33 2.2.1. Assignment of samples to water masses 34

35 Based on physical characteristics (Tables 2-3), we assigned each sample to the following
36 water masses, or combinations in cases where the sampling net passed through more than
37 one water mass: Labrador Sea Water (LSW), Modified North Atlantic Water (MNAW),
38 Mediterranean Water (MW), North Atlantic Central Water (NACW), North Atlantic
39 Deep Water (NADW), and Sub-Arctic Intermediate Water (SAIW).
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48 2.2.2. Temporal and small-scale geographic variability 49

50 In order to assess the generality of the *G.O. Sars* data, seven *Bigelow* stations were
51 chosen for diel comparisons, with primary criterion being that all 4 or 5 nets sampled
52 wholly within daytime or nighttime (calculated using the NOAA ESRL Sunrise/Sunset
53 Calculator <http://www.srrb.noaa.gov/highlights/sunrise/sunrise.html>). This resulted in
54 four “daytime” stations and three “nighttime” stations. The remaining four pelagic
55 stations were not included in this analysis because they included individual discrete-depth
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4 samples taken during twilight (± 1 h before/after sunrise and sunset). Diel comparisons
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6 were based on pelagic fish data, as this taxon strongly dominated net samples
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8 numerically.

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10 Four parameters were investigated to discern diel and small-scale geographic
11 differences in water column-integrated catch composition. These were Margalef's species
12 richness index (D), the Shannon–Wiener diversity index (H'), Pielou's evenness index
13 (J), and abundance (individuals per 10^6 m³). Individual net data were pooled per station
14 for each species, resulting in a species-by-station matrix for the seven stations
15 encompassing water-column depths from 578 m (station 16, night station over seamount)
16 to 2903 m. Analyses were conducted on all seven stations and a 4-station subset, chosen
17 by location (all north of the transverse fracture), time (2 day, 2 night) and depth (2 ridge
18 crest, 2 ridge valley/flank). Single-factor ANOVA was used to determine significance
19 ($p < 0.05$) of differences between day and night stations. Additionally, richness, diversity
20 and evenness were compared for the samples north and south of the CGFZ.
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31 2.2.3. Community analyses

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33 Community-structure analyses were conducted separately for krill trawl and Multinet
34 samples using the ecological software package PRIMER v6 (Plymouth Routines in
35 Multivariate Ecological Research; Clarke and Gorley, 2006). Agglomerative hierarchical
36 cluster analysis was used to group samples according to their micronekton or
37 mesozooplankton composition. Species abundances at stations, standardized for volume
38 filtered (individuals 10^{-3} m⁻³ for micronekton and individuals m⁻³ for mesozooplankton),
39 were arranged in $M \times N$ matrices for each gear type where M is species or higher-level
40 taxon, and N is the sample. Prior to analysis, standardized abundances of micronekton
41 taxa were fourth-root transformed, which reduced the weighting of dominant species
42 (e.g., *Cyclothone microdon*) and increased the importance of rare ones (Field et al. 1982).
43 For mesozooplankton analyses the abundance data were square-root transformed to
44 down-weight the importance of numerically dominant species (e.g. *Oithona* spp.); the
45 stronger fourth-root transformation was not used because this produced higher stress
46 values in the Multi-Dimensional Scaling (MDS) analyses. The similarity between stations
47 was calculated using the Bray–Curtis measure (Bray and Curtis, 1957; Field et al., 1982).
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4 Samples were classified by cluster analysis based on both group-average and complete-
5 linkage methods; only complete-linkage results are presented here. Ordination of the data
6 was accomplished using non-metric, multi-dimensional scaling (MDS; Kruskal, 1964;
7 Kruskal and Wish, 1978).
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11 Based on bathymetry, the MAR was separated *a priori* into sections, with sections
12 in the north (Reykjanes Ridge - RR) and south (Azorean Zone - AZ and Faraday
13 Seamount Zone - FSZ) divided by the position of the CGFZ (Fig. 1). The
14 mesozooplankton and micronekton samples in these sections were compared using
15 various subroutines of PRIMER. One-way analysis of similarity without replication
16 (ANOSIM, Clarke and Gorley, 2006, 999 iterations, $p < 0.05$) was run to test the null
17 hypotheses that there were no differences among groups of samples as a function of five
18 *a priori* defined factors. These factors were: ridge section (Fig. 1a), location relative to
19 ridge axis (east, west or centered over the ridge axis); solar cycle (day/night/twilight),
20 water mass (Tables 2-3), and depth stratum.
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24 In order to assess the appropriate similarity level for assemblage discrimination,
25 similarity profile permutation tests (SIMPROF; 1000 iterations, $p < 0.05$) were run. The
26 similarity level at which the departure statistics exceeded the 5% probability criterion was
27 used to define assemblage groups via cluster analysis.
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30 2.2.4. Taxon-specific contributions to community structure

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32 In order to examine the ordering of both micronekton and mesozooplankton species, the
33 data matrices used in the initial MDS exercise were reduced to include only species that
34 were relatively abundant (important because species that occur only in a few samples
35 may confound the species ordering; Clarke and Warwick, 2001). The aim of the “species
36 cluster analysis” was to investigate if the different taxa (e.g., cnidaria versus copepods)
37 grouped together to form taxon-specific clusters; i.e., if abundance values of taxa
38 fluctuated in a similar manner across samples. For mesozooplankton, we first graphically
39 explored the frequency distribution of species; we subsequently decided to include only
40 species that occurred in 10 samples or more in this analysis. Similar analyses were
41 performed for micronekton under several criteria, both in terms of frequency of
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4 occurrence and total abundance, but no taxon-pair groupings were found in any analysis
5 and detailed results are therefore not presented here.
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9 10 **3. Results**

11 12 13 3.1. Physical structure

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16 Sjøiland et al. (2008) summarized the physical-oceanographic conditions during
17 the *G.O. Sars* cruise. The position of the Subpolar Front (SPF) south of the Charlie-Gibbs
18 Fracture Zone (CGFZ) is indicated in Fig. 1b. Most of the variability in water masses was
19 in the upper 500 m of the water column. Northern stations on the RR (CTD stations 391-
20 394, cf. Sjøiland et al., 2008) formed one group with Modified North Atlantic Water
21 (MNAW) in the top 500 m. Stations immediately north of the CGFZ (395-398 and 400-
22 401) had Sub-Arctic Intermediate Water (SAIW) in the top 500 m. South of CGFZ,
23 stations 399 and 403 were in a transition zone and 402 in an eddy. At the remaining
24 stations there was a thick surface layer (~800 m) with warm and saline water. In the
25 Azorean Zone (AZ) the surface salinity was above 36 PSU. There were thus four main
26 regions (Table 3): a northern domain dominated in the upper layers by Modified North
27 Atlantic Central Water (NACW) (region 1); a CGFZ domain, including stations at the
28 south end of the Reykjanes Ridge (RR), dominated by SAIW (region 2); a transition zone
29 south of the CGFZ (region 3); and a southern domain with NACW (region 4).
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44 3.2. Vertical and temporal variability

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47 Average abundances of fishes calculated for night *Bigelow* stations were higher
48 by a factor of two relative to daytime stations when all seven stations were considered
49 (Table 5a), although the difference was only marginally significant ($p=0.066$), reflecting
50 the influence of a high daytime catch at station 20 (Table 4) and low statistical power.
51 Diversity estimates were not significantly different with respect to sampling time of day
52 ($p=0.50$). When only the four stations north of CGFZ were considered, the differences in
53 average abundance estimates for day and night stations were more dramatic,
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4 approximately threefold, but due to even lower sample size, the difference was not
5 statistically significant (Table 5b). Diversity estimates were not significantly different
6 with respect to diel period of sampling ($p=0.285$).
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10 11 3.3. Assemblage structure 12

13 14 15 3.3.1. Geographic/depth patterns 16

17 Mesozooplankton. Fifteen assemblages, plus four individual samples, were
18 discriminated by the SIMPROF method (Fig. 2). The MDS analysis for samples (Fig. 3)
19 yielded an ordination having two major axes with a stress value of 0.11 (Kruskal and
20 Wish, 1978). Results demonstrated a principal pattern of variance reflecting a gradient by
21 depth, from samples collected near the surface (to the right in Fig. 3) to those taken in
22 deep waters (to the left). The MDS plot shows that the samples grouped into at least four
23 assemblages. In addition, a weaker gradient was observed reflecting latitudinal variation,
24 which was more evident in surface waters (2-3 groups) than at depth (1-2 groups). The
25 ANOSIM test revealed that of the five factors investigated, depth was the most important
26 ($R=0.555$, $p<0.001$), followed by water mass ($R=0.518$, $p<0.001$) and ridge section
27 ($R=0.161$, $p<0.001$). The null hypothesis (no differences among groups) could not be
28 rejected for position relative to ridge axis ($R=0.031$, $p>0.202$) or diel solar cycle ($R=0.01$,
29 $p>0.407$).
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41 Geographically, the AZ differed significantly from the RR and the CGFZ with
42 respect to mesozooplankton assemblage structure. The other ridge sections, including the
43 Faraday Seamount Zone (FSZ), did not differ significantly from each other.
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46 Among depth zones, the strongest difference in mesozooplankton assemblage
47 structure was found between the upper epipelagic layer 1 (0-100 m) and layers below 200
48 m. Depth layer 2 (100-200 m) was significantly different from layer 6 (1000-1500 m).
49 There were no significant differences among depth layers below 200 m (layers 3-9).
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52 Micronekton. Eighteen clusters plus four individual samples were discriminated
53 by the SIMPROF method (Fig. 4). The epi- and mesopelagic samples from the AZ
54 formed a group of three clusters completely dissimilar from all others. The epi- and
55 mesopelagic samples from other ridge sections (i.e. RR, CGFZ and FSZ) also showed a
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4 clear tendency to group together and to split from samples taken in deeper layers. Within
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6 the group of northern epi- and mesopelagic samples, those from RR and those from the
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8 CGFZ and FSZ tended to group into two distinct clusters, but this clustering was not
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10 significant according to SIMPROF.

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12 The assemblage structure pattern of deeper samples was less clear: a cluster
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14 grouping mainly the AZ and the RR upper bathypelagic layers (750-1500 m, but also
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16 including deeper samples from the AZ) grouped with the upper-layer samples, but at a
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18 low similarity level. AZ deepwater samples (> 1500 m) and near-bottom RR samples
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20 clustered apart from deepwater samples from the FSZ and CGFZ, which associated more
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22 by depth than by ridge section (i.e. mixed CGFZ and FSZ samples).

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24 The MDS plot of micronekton assemblages (Fig 5a) revealed a pattern similar to
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26 that of the cluster analysis, though with less two-dimensional structure (stress = 0.16)
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28 than the MDS plot for mesozooplankton (stress = 0.11). AZ epi- and mesopelagic
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30 samples, and the bottom RR samples, formed the most distinct groupings. Within the
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32 remaining samples there were three main groups: bathypelagic AZ samples (3 clusters),
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34 epi- and mesopelagic “northern” stations (RR, CGFZ, and FSZ; one cluster); and
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36 bathypelagic northern stations (3 clusters). Of the three clusters in the latter group, RR
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38 samples made up two clusters containing near-bottom samples (taken within 200 m of the
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40 seafloor), while FSZ and CGFZ deep samples clustered together.

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42 ANOSIM comparisons of depth zones indicated that the differences between the
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44 upper (epipelagic and mesopelagic) depth zones (0-200 and 200-750 m) and most of the
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46 groups of samples from deeper layers (>750 m) were statistically significant ($p < 0.05$).
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48 Differences between samples from (a) 200-750 m and 750-1500 m, (b) 750-1500 m and
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50 1500-2300 m, and (c) 750-1500 m and >2300 m were also significant. No differences
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52 were detected between samples from 0-200 m and 200-750 m and between samples from
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54 1500-2300 m and >2300 m. Comparisons between near-bottom 750-1500 m samples and
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56 all other pelagic levels sampled showed no significant differences. Some differences
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58 among ridge sections (RR vs CGFZ, AZ vs RR, and AZ vs CGFZ) were significant. No
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60 significant differences were found between FSZ and any of the other sections (i.e. AZ,
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62 RR and CGFZ). Unexpectedly, comparisons of RR and CGFZ groups showed that the
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4 samples were different whereas the RR vs FSZ (which are more separated
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6 geographically) samples were not significantly different.
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9 10 3.3.2. Water masses

11 Mesozooplankton. The samples were more separated (less similar) in the upper
12 layers than in deeper layers. When water masses were used as factors in the MDS plot
13 rather than geography (Fig 3b), the picture was not so clear. Samples from NACW epi-
14 and upper mesopelagic each formed discrete assemblages. Labrador Sea Water (LSW)
15 samples grouped within a single assemblage (Fig 3b). ANOSIM pairwise comparisons
16 between sample groups revealed that samples classified by LSW were significantly
17 different from NACW, MNAW and SAIW ($p < 0.01$). Significant differences were also
18 found between the samples from NADW vs SAIW, NADW vs NACW and NACW vs
19 SAIW. No significant differences were found between MNAW and any of the other
20 water masses. No significant difference was found between NADW and either SAIW or
21 LSW samples. Groups of samples that passed through more than one water mass were
22 not statistically different.
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33 Micronekton. The pattern depicted by MDS using samples assigned to water mass
34 instead of geography also showed a somewhat less clear picture (Fig. 5b). The NACW
35 AZ epi- and mesopelagic samples split from the others. Note the exception of the two
36 epi-/mesopelagic samples taken in a pocket of NACW in the FSZ; that group was closer
37 to the other samples taken in the northern stations. The plot did not show any clear
38 difference between the epi- and mesopelagic samples taken from SAIW, SAIW-LSW,
39 MNAW and MNAW-LSW. The bathypelagic samples from the AZ and FSZ were taken
40 in NADW, but those from the FSZ were more similar to those taken at northern stations
41 in the LSW and LSW-NADW. However, there was a progression from the LSW to the
42 NADW. Upper bathypelagic samples from AZ that sampled more than one water mass
43 (i.e. NACW/MW/NADW) were intermediate between the AZ epi- and mesopelagic
44 samples and those from deeper strata.
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55 The differences detected by the pairwise comparisons between sample groups
56 classified by pure water masses were significant (i.e. SAIW vs NACW; MNAW vs LSW;
57 MNAW vs NADW; MNAW vs NACW; LSW vs NADW; LSW vs SAIW; LSW vs
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4 NACW; NADW vs NACW; NADW vs SAIW). The only exception was between the
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6 northern upper-layer water masses MNAW vs SAIW, which showed no differences.
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8 The remaining 33 comparisons showed that groups of samples taken from tows that
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10 fished through more than one water mass were not statistically different, except for the
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12 comparisons between the NACW/MW/NADW and LSW and SAIW, which showed
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14 significant differences.
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16 17 3.3.3. Taxon-specific patterns

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19 The taxon-specific cluster analysis showed no obvious pattern or grouping (MDS
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21 plot not shown), consistent with the high stress value of 0.20 indicating a poor fit
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23 between species and the 2-dimensional ordination space. Thus, cnidarians and copepods
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25 did not form taxon-specific clusters. Similarly, no obvious patterns were observed for
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27 micronekton taxa.
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29 30 **4. Discussion**

31 32 33 4.1. Deep-pelagic biogeography

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37 The pelagic realm is a three-dimensional environment, most of which has little or
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39 no direct interaction with the interfaces at the ocean's bottom and surface. The deep-sea
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41 pelagic is the largest ecosystem on Earth, encompassing $>10^9$ km³ (Ramirez-Llodra et al.,
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43 2010). The major structuring variable in all of that volume is depth and its covariance
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45 with temperature, density, and the penetration of sunlight, resulting in the layering of the
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47 ecosystems of the open-ocean pelagic. The transitions between the various vertical layers
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49 are gradients, not fixed surfaces, so ecological distinctions among the zones are
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51 somewhat "fuzzy" across the transitions (Sutton, 2013). The abundance and biomass of
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53 organisms generally varies among these layers from a maximum near the surface,
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55 decreasing through the mesopelagic, to very low levels in the bathypelagic, increasing
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57 somewhat in the benthopelagic (Angel, 2003).

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59 The bathypelagic comprises almost 75% of the volume of the ocean and is
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61 generally remote from the influence of the bottom and its ecological communities
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4 (Robison, 2009). It is worth noting that although the abundance (i.e., number per m⁻³ of
5 seawater) of animals in the bathypelagic is very low, because such a huge volume of the
6 ocean is bathypelagic, even species that are rarely encountered may have very large total
7 population numbers. A species with only one animal in 1000 m³ of water but a depth
8 range of 1-2 km and a broad geographic distribution can have a population of many
9 millions (Herring, 2002). Therefore, the currently unknown biogeographic patterns of this
10 huge volume of the biosphere can profoundly affect our understanding of the ecological
11 structure of life on Earth.
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19 Another characteristic of pelagic ecosystems is great temporal dynamics. These
20 temporal changes result from both physical and biological processes (Robison et al.,
21 2010). Water movements transport entrained swimming and drifting organisms. Because
22 of the huge volume of water moving in the deep, even slow currents can transport very
23 large numbers of organisms, and on annual time scales the distances can be large.
24 Temporal biological dynamics include the active vertical movements of the animals over
25 various time scales. The life cycles of deep-pelagic animals often involve shifts in
26 vertical distribution among developmental stages. Additionally, many deep-benthic
27 species spend part of their life cycles, typically the early stages but for some the
28 reproductive stage, at some level in the pelagic realm. Such ontogenic vertical migrations
29 expand the dependence of species on the physical and biological dynamics of the various
30 layers, often including the surface layer. Even more spectacular are the diel vertical
31 migrations by very many species of the mesopelagic and upper bathypelagic, generally
32 (but not universally) upward at night to feed in the higher biomass closer to the surface
33 and back down during the day. When the temporal component is superimposed on the
34 massive volume of the deep ocean, the deep pelagic can be considered to be effectively
35 four-dimensional.
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50 The *G.O. Sars* cruise was designed around broad geographical coverage, from
51 Iceland to the Azores. Pelagic sampling on the *Bigelow* cruise provided more intensive
52 coverage of smaller spatial scales as well as closer temporal comparisons. Although the
53 *Bigelow* results indicated higher total numbers of fishes, the numerically dominant
54 micronekton taxon, at night, other community measures such as diversity and evenness
55 did not vary significantly over the diel period. We interpret this, together with the lack of
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4 significance of diel comparisons in the *G.O. Sars* data, as an indication that the broad-
5 scale biogeographic patterns in the *G.O. Sars* results are not excessively compromised by
6 diel variability resulting from time of sampling. Analysis of trawl catch rates supports
7 this (Heino et al., 2011). Furthermore, higher numbers caught at night were down-
8 weighted in the analyses by the data transformation prior to analysis.
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13 Horizontal patterns exist in the distribution of deep-pelagic organisms. However,
14 these patterns seem less distinct than in either surface waters or on the bottom. For
15 example, Mironov et al. (2006) provide evidence of a clear distributional discontinuity in
16 benthic fauna on the MAR at the CGFZ. The drivers of these patterns are not well known
17 for either the bottom communities or those in the deep water column. Primary
18 productivity at the surface is certainly an important factor. Whether by passive sinking or
19 active biological transport, surface productivity feeds life in the deeper waters. Surface
20 patterns are therefore reflected in the deep pelagic (Fock, 2009; Robinson et al., 2010;
21 Robinson et al., 2010). In addition to variation in the total abundance and biomass that can
22 be supported, some deep species are known typically to live beneath oligotrophic waters
23 whereas others are typically below higher productivity areas (Herring, 2002).
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33 The biogeographic importance of deep features undetectable at surface (e.g.,
34 interactions between deep currents and topography) is generally unknown. Additionally,
35 major oceanic frontal boundaries such as the Polar and Subpolar Fronts extend down into
36 deep waters and appear to form biogeographic boundaries. The results of this study
37 suggest that the distinctness of those boundaries decreases with increasing depth, across a
38 multitude of the taxa comprising the pelagic community.
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46 4.2. Subpolar Front as biogeographic boundary

47 4.2.1. Physical structure

48 During the *G.O. Sars* cruise, the geographic structure of water-mass distribution
49 decreased at depths below 500-800 m relative to that seen in near-surface layers. Overall
50 water-mass structure in the study area comprised three main regions with a broad mixing
51 zone located south of the CGFZ. These regions corresponded approximately with the
52 ridge sections defined by bathymetry.
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4 At the MAR the SPF is not a single oceanic front but rather a mixing zone
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6 between the warmer Atlantic and cooler sub-Arctic water masses. The mixing zone
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8 extends meridionally for hundreds of kilometers, shaped by the topography. To the north,
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10 the RR is too shallow to allow the North-Atlantic Current to pass over, and the deep
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12 CGFZ acts to channel the main flow across the MAR. A persistent front delineating the
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14 northern extent of the SPF zone forms above the CGFZ (Fig. 1b), and has been
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16 considered to be the most important biogeographic boundary in this region, affecting a
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18 majority of the pelagic realm, at least down to the lower mesopelagic. Farther south the
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20 SPF comprises a zone of eastward-flowing eddies and meanders, which may cause
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22 temporary barriers interspersed with periods of intense mixing. This results in patchy
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24 surface productivity on the scale of 10s of kms. There are believed to be several
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26 persistent strands of the North-Atlantic Current between the CGFZ and Azores,
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28 coinciding with smaller fracture zones (Schott et al., 1999; Bower et al., 2002; Read et
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30 al., 2010); these strands are also reflected in surface thermal fronts detected by satellite
31
32 remote sensing (Miller et al., 2013).

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34 Within the SPF zone the bottom topography exerts little direct influence at the
35
36 surface. Only the RR farther north is shallow enough to generate internal waves that can
37
38 cause mixing at the surface, which can enhance the surface and pelagic productivity.
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40 Therefore the CGFZ would be expected to delineate the most distinct biogeographic
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42 differences; as elsewhere within the SPF, there is considerable spatial and temporal
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44 variability in the mixing processes.

43 44 4.2.2. Assemblage structure

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46 The influence of the SPF as a faunal boundary for the mesozooplankton can be
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48 observed in the MDS analysis as a separation between northern and southern clusters,
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50 especially in the epipelagic layer (Figs. 2 and 3a). In the MDS plot, clusters in the
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52 epipelagic-upper mesopelagic were more separated than clusters from meso- and
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54 bathypelagic depths. A cluster of epipelagic samples from the RR and CGFZ is clearly
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56 separated from those of the AZ. However, the cluster with the AZ surface samples also
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58 includes two samples from one of northernmost stations (superstation 2, cf. Wenneck et
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60 al., 2008), which is located on the eastern side of the RR. This station was probably
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4 affected by the north-westward trajectory of the North Atlantic Current, which may have
5 transported species of southern warm-temperate association (Gaard et al., 2008). The
6 biological history of a body of water may be more important for assemblage structure
7 than the present physical and chemical properties of the water masses. In fact, the
8 plankton may be more conservative than the hydrographical properties of the water mass,
9 since the plankton assemblage indicates the origin of the water even after the water has
10 been mixed with other waters and its hydrography transformed beyond recognition
11 (Vinogradov, 1968).
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19 The separation between northern and southern mesozooplankton assemblages is
20 less obvious at meso- and bathypelagic depths, where assemblages are clustered more
21 closely together. Therefore, in this study, the SPF can be observed as a faunal boundary
22 for the mesozooplankton assemblages at all depths, but below 500 m the influence of the
23 front is very weak.
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28 The distribution of zooplankton species assemblages may also be related to
29 current patterns and the distribution of water masses. Several investigations of plankton
30 distribution patterns have shown a pelagic biogeographic boundary at ~ 45° - 46°N
31 (Vinogradov, 1968; Fasham and Foxton, 1979; Van Soest, 1979; van der Spoel and
32 Heyman, 1983) which correlates with the position of the North Atlantic Current and the
33 SPF. However, the nature of the faunal boundaries, which might limit plankton dispersal,
34 is not clear. Latitudinal differences in the distribution of species assemblages may also be
35 associated with the trend from seasonal pulsing of high production at high latitudes to
36 more continuous low production at lower latitudes (Angel, 1993; Ward and Shreeve,
37 2001).
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46 Water mass was found to be one of the significant factors (ANOSIM test)
47 explaining the differences among clusters of mesozooplankton. The dominant water
48 masses in the upper layers (MNAW, SAIW, NACW) are inter-correlated with ridge
49 section, and thus the MDS plot with water masses as a factor (Fig. 3b) shows a similar
50 geographical (latitudinal) pattern as in Fig 3a. However, samples classified by LSW
51 tended to group together in the MDS. Indeed, one cluster is purely from LSW, and
52 characterized by the presence of arctic-boreal species like *Calanus finmarchicus*, *C.*
53 *hyperboreus* and *Heterorhabdus norvegicus*.
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4 The micronekton distribution was similar to that of the mesozooplankton although
5 the patterns were not as clear. Separation between the AZ and the other ridge sections
6 was strong, especially in the upper layers. The MDS plot of sample groups identified by
7 clustering showed a gradient with depth, both in the AZ and in the other sections.
8 Although the other ridge sections tend to separate geographically in the MDS plot, the
9 strongest pattern outside of the AZ is related to depth, with significant depth-related
10 clustering indicated by SIMPRO. ANOSIM also indicated strong separation of the epi-
11 and mesopelagic samples from those of deeper strata. Interpretation of water masses on
12 the micronekton MDS plot was even less clear than the geographic pattern. Inclusion of
13 more than one water mass in a sample was more of a problem in the micronekton data
14 than for the mesozooplankton. However, the MDS plot indicated a gradient from LSW
15 through NADW to NACW, with MNAW and SAIW forming a distinct shallow cluster.
16 Thus, the major patterns for the micronekton data are (1) separation of the AZ section
17 from the rest of the study area, (2) similar depth gradients within the AZ and the rest of
18 the area, and (3) increasing similarity between AZ and the rest of the area with increasing
19 depth.
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33 34 35 4.3. Conclusions 36 37

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39 The SPF is a conspicuous feature of North Atlantic surface hydrography that can
40 be observed using remote-sensing methods. At the surface, it is most distinct along its
41 northern edge, generally coinciding with the CGFZ, whereas to the south it forms a more
42 diffuse mixing zone. Water-mass distribution based on *G.O. Sars* CTD stations indicates
43 that north-south hydrographic structure is strongest down to depths of 500-800 m. As
44 predicted by UNESCO (2009), the biogeographic signature of the SPF for both
45 micronekton and mesozooplankton is strong near the surface but decreases with
46 increasing depth to very weak separation of assemblages in the bathypelagic. This strong
47 surface feature is therefore not a good predictor of deep-pelagic biogeography. It remains
48 to be seen whether important barriers to the distribution of deep-pelagic fauna result from
49 deep-physical phenomena that are not amenable to remote sensing.
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4 **Figure captions.**
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8 Fig. 1. (a) Bathymetry of the study area. Ridge sections RR: Reykjanes Ridge; CGFZ:
9 Charlie-Gibbs Fracture Zone; FSZ: Faraday Seamount Zone; AZ: Azorean Zone. (b) Sea-
10 surface temperature during the *G.O. Sars* cruise (06 Jun.-02 Jul. 2004), with axes of the
11 MAR and CGFZ indicated (yellow line) as well as locations of *G.O. Sars* CTD stations.
12 Boxes and numbers correspond with hydrographic regions described in Table 3.
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19 mesozooplankton samples from the 2004 *G.O. Sars* cruise. Abundance data were square
20 root transformed (No. m^{-3}). RR: Reykjanes Ridge; CGFZ: Charlie-Gibbs Fracture Zone;
21 FSZ: Faraday Seamount Zone; AZ: Azorean Zone. Horizontal line indicates clusters at
22 42.3% similarity. Significant clusters resulting from the SIMPROF test are indicated by
23 solid black lines. Dotted red lines indicate branches where no statistical evidence for any
24 sub-structure was found. Therefore the significant structure indicated here includes 15
25 clusters plus four single samples.
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34 Fig. 3. Non-metric Multi-Dimensional Scaling ordination plot of mesozooplankton
35 samples from the 2004 *G.O. Sars* cruise. (a) with ridge sections indicated, as in Fig. 2. (b)
36 with water masses indicated, as in Table 2. When >1 water mass is indicated for a
37 sample, the net passed through >1 water mass while it was sampling. Numbers next to
38 symbols refer to depth layers (from 1=surface to 9=deepest).
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47 samples from the 2004 *G.O. Sars* cruise, coded by ridge section (as in Fig. 2). Abundance
48 data were fourth root transformed ($\text{No. } 10^{-6} \text{ m}^{-3}$). Significant clusters resulting from the
49 SIMPROF test are indicated by solid black lines. Dotted red lines indicate branches
50 where no statistical evidence for any sub-structure was found. Therefore the significant
51 structure indicated here includes 18 clusters plus four single samples.
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Fig. 5. Non-metric Multi-Dimensional Scaling ordination plot of micronekton samples from the 2004 *G.O. Sars* cruise. (a) with ridge sections indicated, as in Fig. 2. (b) with water masses indicated, as in Table 2. When >1 water mass is indicated for a sample, the net passed through >1 water mass while it was sampling. Numbers next to symbols refer to depth layers (from 1=surface to 5=deepest; bot=near-bottom layer).

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List of Tables.

Table 1. Target depths for sampling macroplankton (multinet sampler) and micronekton (krill trawl with multiple cod-ends).

Table 2. Characteristics used to classify water masses (modified from Søliland et al. 2008).

Table 3. Hydrographic regions and occurrence of water masses during the 2004 *G.O. G.O. Sars* cruise. For locations of CTD stations, see Søliland et al. (2008). RR: Reykjanes Ridge; CGFZ: Charlie-Gibbs Fracture Zone; SPF: Sub-Polar Front; FSZ: Faraday Seamount Zone; AZ: Azorean Zone.

Table 4. Abundance (ind. 10^{-6} m^{-3}), species richness (S), evenness (J') and Shannon-Wiener diversity (H') of deep-pelagic fishes at stations from the 2009 *Bigelow* cruise.

Table 5. Analysis of variance results for diel comparisons of 2009 *Bigelow* cruise stations.

Table 1. Target depths for sampling macroplankton (multinet sampler) and micronekton (krill trawl with multiple cod-ends).

Macroplankton Depth zone	Depth (m)	Micronekton Depth zone	Depth (m)
1	0-100	1	0-200
2	100-200		
3	200-500	2	200-750
4	500-800		
5	800-1000	3	750-1500
6	1000-1500		
7	1500-1900	4	1500-2300
8	1900-2300		
9	2300-2500	5	>2300

Table 2. Characteristics used to classify water masses (modified from Sjøiland et al., 2008).

<u>Water Mass</u>	<u>Temperature</u>	<u>Salinity/Sigma-θ</u>
North Atlantic Central Water (NACW)	$\theta > 7^\circ \text{C}$	$S > 35.00^a$
Modified North Atlantic Water (MNAW)	$6.6^\circ \text{C} < \theta < 9^\circ \text{C}$	sigma- $\theta \sim 27.4$
Sub-Arctic Intermediate Water (SAIW)	$5^\circ \text{C} < \theta < 9^\circ \text{C}$	$S < 35.00^a$
Mediterranean Water (MW)		S maximum at sigma- $\theta = 27.5-27.6$
Labrador Sea Water (LSW)	$3.3^\circ \text{C} < \theta < 3.4^\circ \text{C}$	$34.84 < S < 34.89^b$
North Atlantic Deep Water (NADW)	$\theta < 5^\circ \text{C}$	Deep salinity minimum at $\sim 1500 \text{ m}$

^a Sy et al., 1992

^b Talley and McCartney, 1982

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5 Table 3. Hydrographic regions and occurrence of water masses during the 2004 *G.O.*
6 *Sars* cruise. For locations of CTD stations, see Sjøiland et al. (2008). RR: Reykjanes
7 Ridge; CGFZ: Charlie-Gibbs Fracture Zone; SPF: Sub-Polar Front; FSZ: Faraday
8 Seamount Zone; AZ: Azorean Zone.
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12 **A. Region 1 (RR):**

13 CTD stations 391 to 394 (north of 57°N).

14 0-600 m: MNAW

15 1000-1800 m: LSW

16 1800 and down: NADW

17 Note: The MNAW found at these stations was colder and less saline than found farther
18 south (stations 406 and southward), but still within the definition below.
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22 **B. Region 2 (including Southern RR and Northern CGFZ):**

23 CTD stations 395 to 398 and 400 and 401 (53°N-56°30'N).

24 0-500 m: SAIW – formed in the Irminger Sea.

25 800-1800 m: LSW

26 2500-3500 m: NADW

27 4000 m and down: AABW (only observed at station 397)
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31 **C. Region 3 (Transitional, including Southern CGFZ and FSZ):**

32 CTD stations 399 and 403 (50°N-53°N).

33 These stations were in a frontal zone with complex hydrography, with both SAIW and
34 NACW found in the upper 500 m.

35 800-1800 m: LSW

36 2500 m and down NADW
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40 **D. CTD station 402**

41 Probably in an eddy with similar properties as station 404.
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45 **E. Region 4 (AZ):**

46 CTD stations 404-414 (south of 50°N).

47 0-800 m NACW

48 ~1000 m at many stations an intrusion of Mediterranean Water

49 NADW below 1100 m

50 Note: the NACW at the southern stations was much warmer and more saline than at
51 stations 391-394
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8 Wiener diversity (H') of deep-pelagic fishes at stations from the 2009 *Bigelow* cruise
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station	Position N Lat/W Long	Treatment	ind. 10^{-6} m^{-3}	S	J'	H'
9	53°17'/36°46'	Night	8099	44	0.6	2.4
11	53°16'/35°31'	Night	14328	42	0.6	2.3
12	52°58'/34°52'	Day	1607	16	0.3	0.9
15	53°01'/33°36'	Day	4385	44	0.6	2.1
16	52°16'/31°00'	Night	14591	34	0.4	1.5
17	51°32'/30°58'	Day	5977	47	0.5	2.1
20	51°45'/29°33'	Day	10622	50	0.5	2.0

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Table 5. Analysis of variance results for diel comparisons of abundance of deep-pelagic fishes from the 2009 *Bigelow* cruise stations in Table 3.

Table 5a. Results of 7-station analysis of abundance (individuals per 10^6 m^3) versus solar cycle

<i>Groups</i>	<i>Count</i>	<i>Average</i>	<i>P-value</i>
day	4	5647	0.066
night	3	12339	

Table 5b. Results of 4-station (all north of CGFZ) analysis of abundance (individuals per 10^6 m^3) versus solar cycle

<i>Groups</i>	<i>Count</i>	<i>Average</i>	<i>P-value</i>
day	2	2995	0.138
night	2	11213	

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33 with water masses indicated, as in Table 1. When >1 water mass is indicated for a
34 sample, the net passed through >1 water mass while it was sampling. Numbers next to
35 symbols refer to depth layers (from 1=surface to 9=deepest).
36 Clustering at 42.5% (dotted line) and 35% (solid line) similarity indicated.
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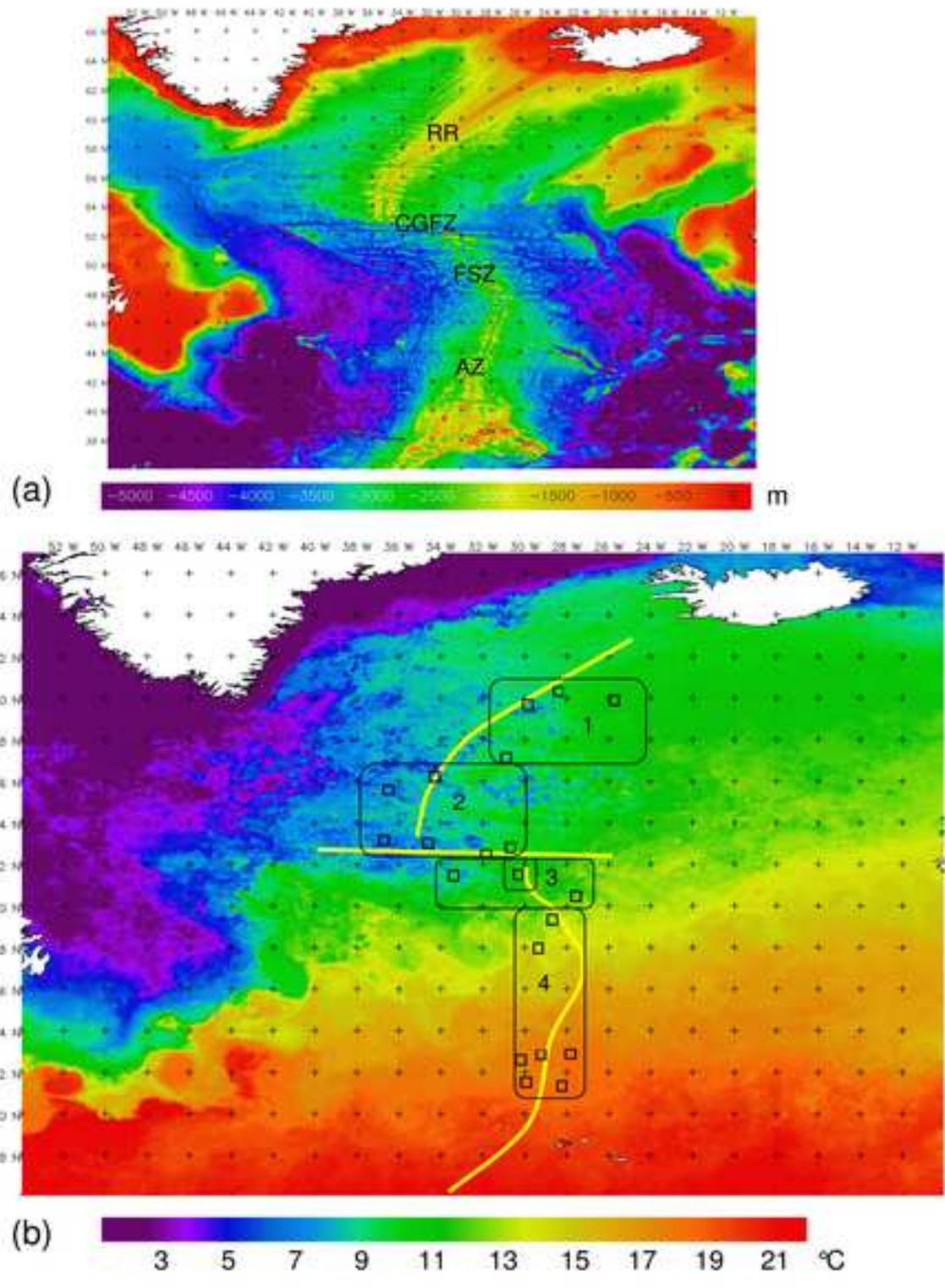


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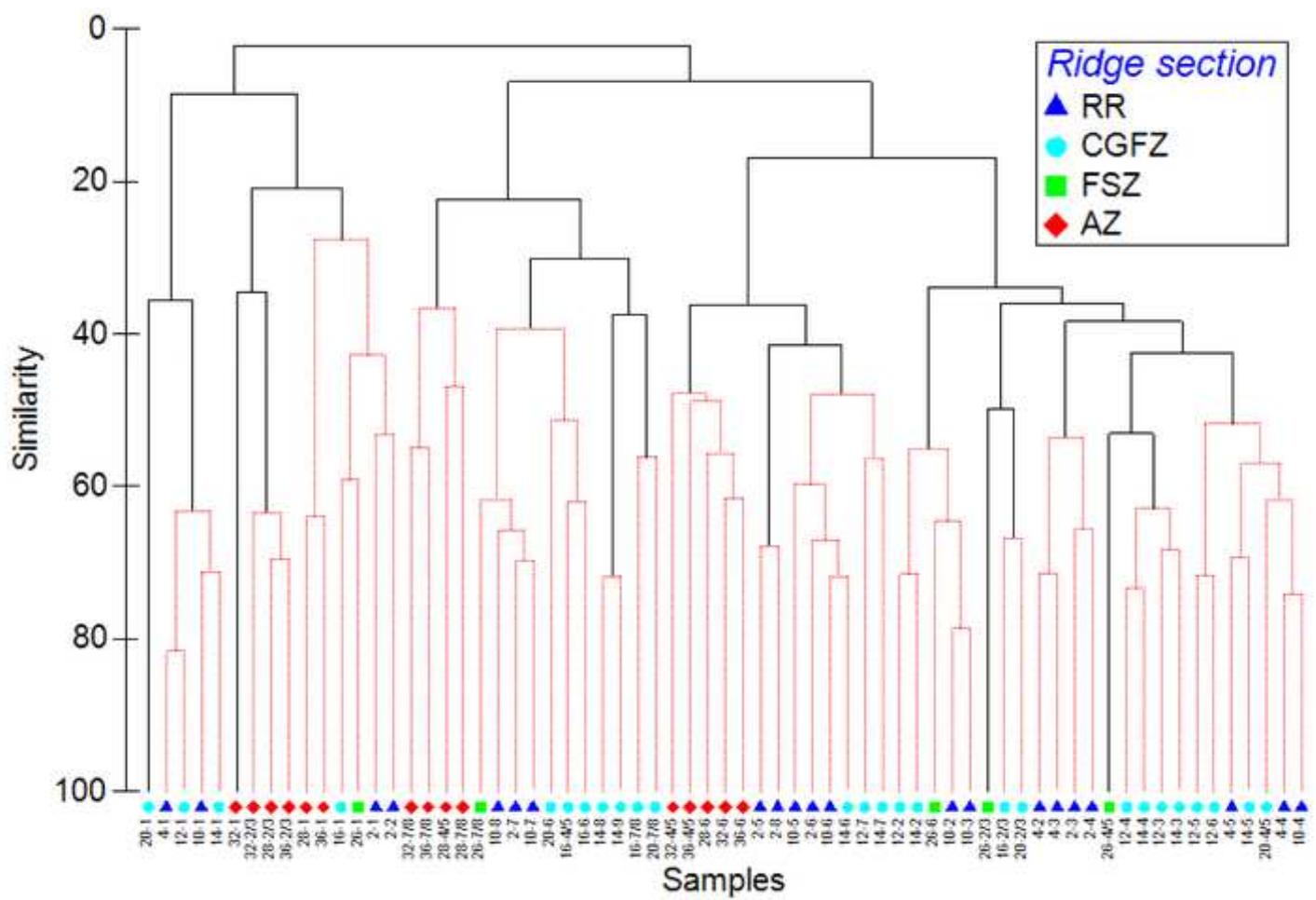


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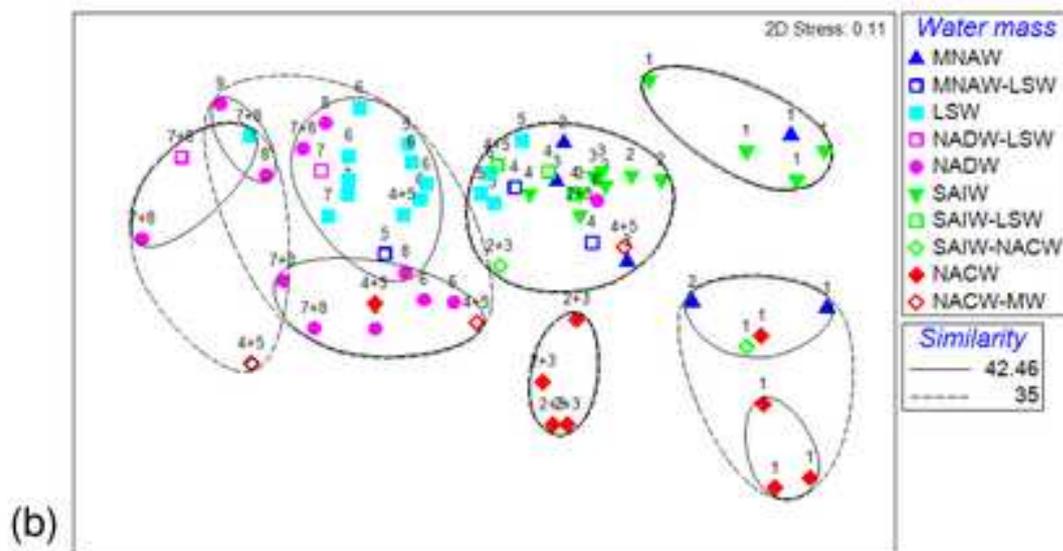
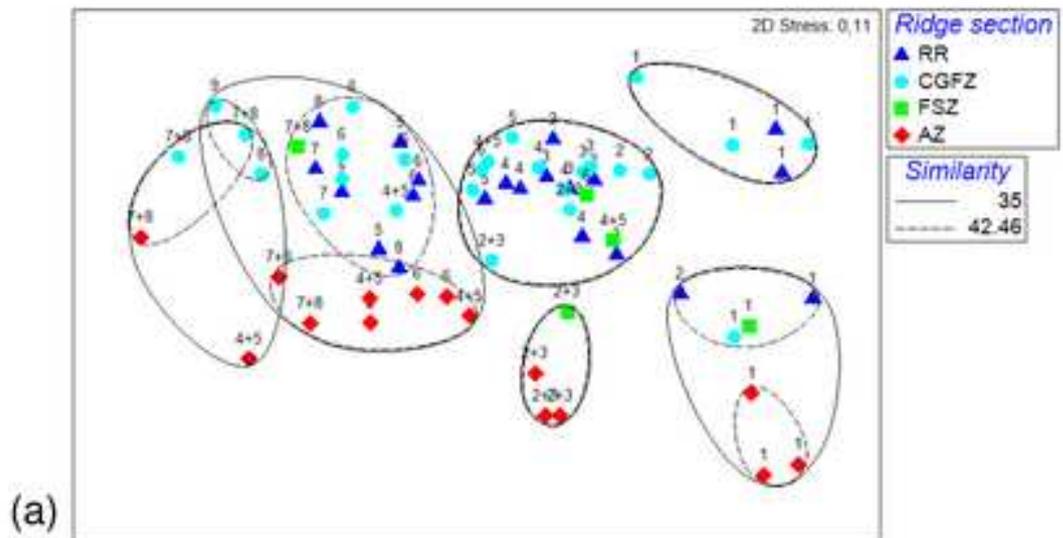


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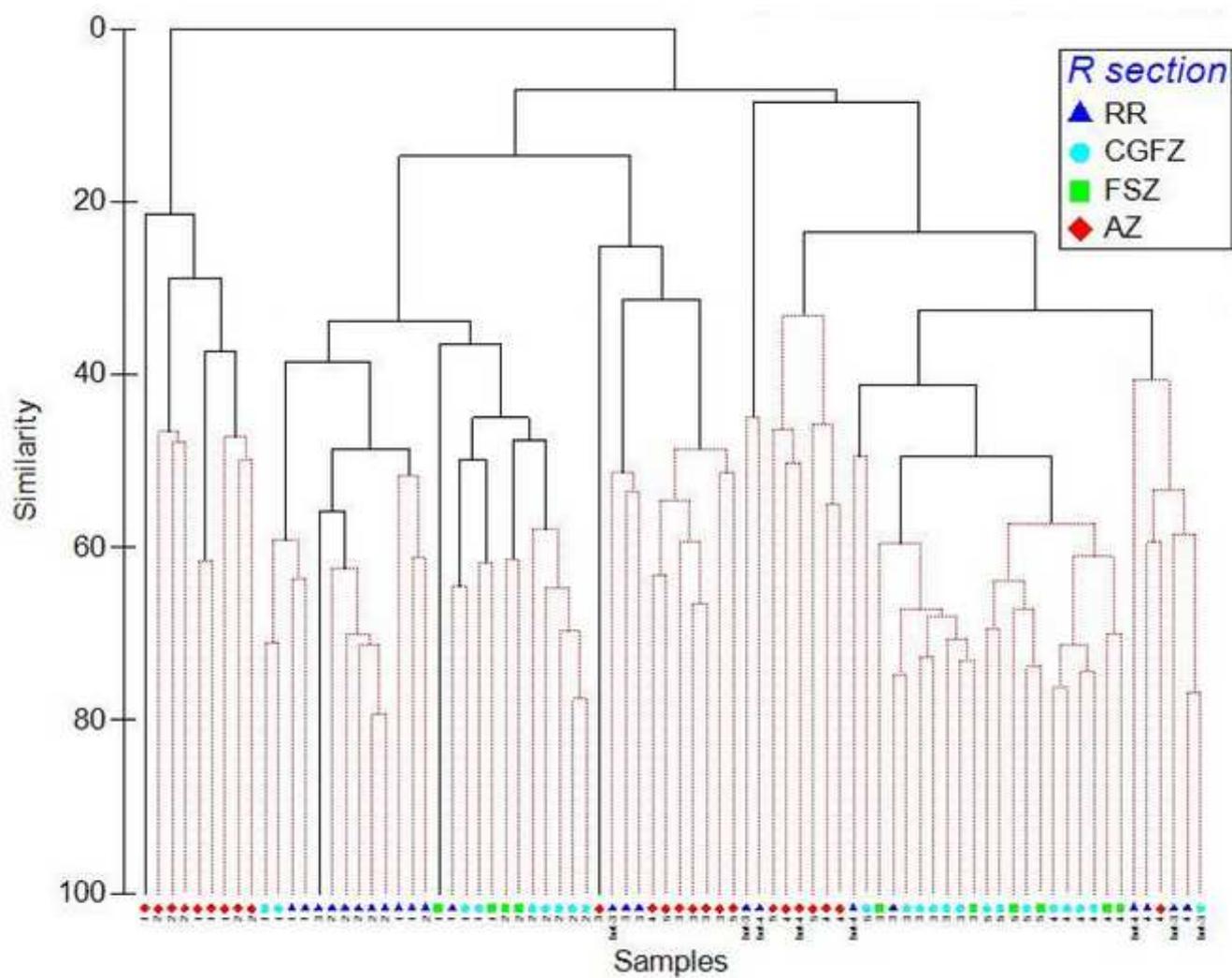


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