### 1 Coccolithophore calcification: Changing paradigms in changing oceans

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### 6 Abstract

7 Coccolithophores represent a major component of the marine phytoplankton and contribute 8 to the bulk of biogenic calcite formation on Earth. These unicellular protists produce minute 9 calcite scales (coccoliths) within the cell, which are secreted to the cell surface. Individual 10 coccoliths and their arrangements on the cell surface display a wide range of morphological 11 variations. This review explores some of the recent evidence that point to similarities and 12 differences in the mechanisms of calcification, focussing on the transport mechanisms that bring substrates to, and remove products from the site of calcification, together with new 13 14 findings on factors that regulate coccolith morphology. We argue that better knowledge of 15 these mechanisms and their variations is needed to inform more generally how different species of coccolithophore are likely to respond to changes in ocean chemistry. 16

### 17 Keywords

18 Coccolithophore, coccolith, transport, morphogenesis

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### 20 **1. Introduction**

Coccolithophores represent a globally-distributed group of haptophyte marine phytoplankton, 21 22 distinguished by the production of complex crystalline calcite scales (coccoliths). They are particularly widespread in temperate and sub-tropical oceans with low abundance in Polar 23 24 regions. Coccolithophores account for around 20% of ocean productivity and for the bulk of 25 global biological calcification in the ocean [1], making major contributions to global 26 biogeochemical cycles, particularly the long-term removal of inorganic carbon from the 27 surface ocean [1]. Uniquely amongst calcifying organisms, coccoliths are produced 28 intracellularly, within Golgi-derived coccolith vesicles. This involves transport of substrates 29 to the coccolith vesicle and nucleation of calcite at multiple sites on an organic baseplate 30 within the vesicle. Regulated crystal growth leads to mature coccoliths, which are secreted 31 via exocytosis to the cell surface [2] where they are arranged in an ordered manner that has 32 been shown to be necessary for proper cell division and structural integrity of the coccosphere [3,4]. 33

34 Coccolithophores show large variations in coccolith morphology and complexity (Figure 1) 35 and many contemporary studies have focused on their responses to changing ocean 36 conditions, particularly the changes in carbonate chemistry associated with ocean acidification [e.g. 5]. From a cellular perspective, key questions in coccolithophore biology 37 relate to the role(s), costs and benefits of coccolith production, the underlying cellular 38 39 mechanisms and the factors controlling coccolith morphology. Answers to these questions are fundamental to gaining an understanding of their global ecology and for predicting the 40 impacts of climate change on marine phytoplankton more generally. As with other calcifying 41 organisms, coccolithophore biomineralization may be vulnerable to ocean acidification, 42 43 which is predicted to reduce average ocean pH by as much as 0.5 pH unit in the current century [6]. Moreover, their global abundance and widespread distributions may mean that 44 perturbations at the cellular level leading to small changes in growth rate are likely to have 45 46 strong ecological and biogeochemical impacts [7]. However, since they produce calcite 47 intracellularly in isolation from the surrounding seawater, they may exert significant biological 48 control of the process, effectively providing a buffer from the external environment. It is also 49 clear that coccolithophore responses to changing carbonate chemistry are not uniform 50 across, or even within species [8].

51 However, studies comparing responses of different species have revealed inconsistencies 52 that need to be resolved. To date much of our knowledge of coccolithophore cell biology and the responses of calcification to changing ocean conditions has been gained from 53 studies of a single species, *Emiliania huxleyi*. Even within this species, significant variation 54 55 in calcification responses to reduced ocean pH have been observed, likely reflecting the diversity of strains and morphotypes studied [8,9]. One meta analysis study comparing 56 different species responses suggested that decreases in ocean pH to values predicted to 57 58 occur in the current century will have a negative impact on calcification in E. huxleyi and Gephyrocapsa oceanica, with the larger more heavily calcified species Coccollithus braarudii 59 (formerly C. pelagicus) less affected [9]. However, a more recent analysis of calcification 60 responses of different coccolithophore species [10] has revealed an interesting relationship 61 62 between cellular particulate inorganic to organic carbon (PIC:POC) ratios. More heavily 63 calcified species with higher PIC:POC ratios were shown to be more, rather than less sensitive to decreased pH than those with lower PIC:POC ratios. Moreover, this trend was 64 apparent when comparing the responses of different strains of E. huxleyi with differing 65 66 PIC:POC ratios. The authors interpreted these findings in terms of intracellular pH regulation and the greater need to dispose of calcification-derived H<sup>+</sup> by more heavily 67 calcified species or strains in the face of decreasing external pH. 68

Understanding wider coccolithophore diversity of function requires a better understanding of
 underlying mechanisms and their costs and functional benefits. This review explores some

- 71 of the common mechanisms underlying coccolithophore calcification and outlines exceptions
- that point to divergence and the need to reassess some preconceived ideas of
- 73 coccolithophore biomineralization. The occurrence of specific coccolithophore
- 54 biogeographical assemblages with distinct coccosphere architectures points to links between
- coccolith formation and ecological adaptation [11]. It is likely that grazing pressure
- represented a common evolutionary driver for coccolith production with functional diversity

evolving into providing roles as diverse as protection from photodamage in surface waters,

pathogens and even as light collection devices in deep waters [11].

### 79 **2.** Cellular transport during calcification: one set of rules for all?

The cellular costs of calcification can be expressed in terms of energy costs, or nutrient 80 requirement for synthesis of transporter and other metabolic and structural molecules [12]. 81 82 Overall the energetic costs of calcification can be broadly categorized into transport of 83 substrates (dissolved inorganic carbon (DIC) and Ca<sup>2+</sup>) to the site of calcification in a 84 controlled manner as well as removal of the soluble products, namely H<sup>+</sup>, according to 85 physiological evidence that  $HCO_3^{-}$  is the external substrate for calcification [13,14]. It is often useful to express these transport costs of calcification as the energetic cost, or ATP 86 requirement, relative to the cost of fixing an equivalent amount of CO<sub>2</sub> in photosynthesis. 87 Studies focussed mainly on the model species E. huxlevi, and C. braarudii have provided 88 estimates of costs for transport of DIC and Ca<sup>2+</sup>, with certain assumptions about the 89 concentrations of Ca<sup>2+</sup> and H<sup>+</sup> needed to allow calcite formation in the coccolith vesicle 90 [9,12,15]. Calculations that assume a calcification rate similar to that of organic carbon 91 fixation suggest that calcification requires 19-30% of the energy needed to fix an equivalent 92 mole of organic carbon in photosynthesis. The principle components of these costs are: 1) 93 94 the cost of bringing DIC into the coccolith compartment, 2) the cost of raising the concentration of  $Ca^{2+}$  at the calcification site and 3) the cost of removing H<sup>+</sup> from the 95 96 coccolith vesicle and out of the cell.

The pathway for  $Ca^{2+}$  delivery to the intracellular site of calcification has yet to be definitively established. Passive  $Ca^{2+}$  entry into the cell via cation channels, coupled with active transport, for example via a  $Ca^{2+}/H^+$  antiporter into an endomembrane compartment is the most likely route of  $Ca^{2+}$  entry into the coccolith vesicle [15,16]. The presence of an endomembrane intermediary  $Ca^{2+}$  storage compartment has been proposed [15] and such compartments (acidicalcicosmes) are a common feature of both calcifying and non-calcifying haptophytes, leading to the hypothesis that these have been adapted as a component of the

calcification pathway in coccolithophores. [17-19]. The energy requirements of Ca<sup>2+</sup> 104 transport are likely determined significantly by the nature of the Ca<sup>2+</sup> transport pathway and 105 the need to keep free Ca<sup>2+</sup> concentration very low in the cytosol. A simple consideration of 106 107 coccolith vesicle DIC and Ca<sup>2+</sup> concentrations required achieve CaCO<sub>3</sub> precipitation, making certain assumptions about the pH of the coccolith vesicle, suggests that the cost of 108 109 delivering Ca<sup>2+</sup> to the precipitation site may be as much as 20% of the cost of fixing an equivalent amount organic carbon by photosynthesis [20]. The mechanism of Ca<sup>2+</sup> transport 110 into and H<sup>+</sup> removal from the site of calcification is supported by the observed strong up-111 regulation of CAX-like H<sup>+</sup>/Ca<sup>2+</sup> antiporters in calcifying *E. huxleyi* cells [21]. Essentially H<sup>+</sup>/ 112 Ca<sup>2+</sup> antiport may underlie the required alkalinisation of a Ca<sup>2+</sup>-rich acidocalcisome precursor 113 compartment that may develop into the coccolith vesicle or provide the source of Ca2+-rich 114 vesicles [22,23] for calcite precipitation. The cost of  $H^+$  removal (or HCO<sub>3</sub><sup>-</sup> accumulation) 115 from this compartment required to elevate the saturation state of calcite (( $\Omega = [Ca^{2+}][CO_3^{2-}]$ 116 117  $/K_{sp}$ >1) has been estimated at approximately 5% of the total photosynthetic energy 118 requirement [12,20]. These considerations therefore put the combined transport costs for  $Ca^{2+}$ , DIC and H<sup>+</sup> at around 25% of the total photosynthetic energy budget. A separate 119 detailed analysis of coccolithophore transport costs [10], taking into account ion:ATP 120 stoichiometry of transporters, with certain assumptions about the transporters involved, gave 121 a slightly lower transport cost of CaCO<sub>3</sub> precipitation of 19% of the cost of photosynthetic 122

123 carbon fixation.

We can add to this analysis a consideration of the cost of removing H<sup>+</sup> from the cytosol 124 across the plasma membrane to maintain cytosolic pH around pH 7.0. At external pH 8.3 125 and measured resting membrane potential of around -50 mV [24],  $H^+$  are close to 126 electrochemical equilibrium across the plasma membrane, requiring only small excursions of 127 cytosolic pH or depolarization of the plasma membrane potential to favour H<sup>+</sup> efflux. 128 129 Voltage-gated H<sup>+</sup> channels in the plasma membrane are activated by membrane 130 depolarization [24]. These have been shown to be involved in pH regulation [24] and are 131 likely to play a key role in alleviating H<sup>+</sup> load during calcification. This also implies a dynamic 132 regulation of intracellular pH via membrane potential (Figure 2). Indeed coccolithophores are electrically excitable, displaying rapid action potential-like depolarisations of the plasma 133 membrane [25]. Moreover, they possess both animal-like voltage-gated 4-domain cation 134 channels (Helliwell et al, unpublished results) and a recently discovered class of single 135 domain voltage gated cation channels (EukCats) [24]. We hypothesize that the co-ordinated 136 activity of voltage-gated cation and H<sup>+</sup> channels provides a mechanism to allow rapid, high 137 capacity regulation of cytosolic pH. Earlier calculations have shown that at typical 138 calcification rates in C. braarudii or E. huxleyi, H<sup>+</sup> production from calcification at a rate of 5 x 139

140  $10^{-8}$  mol cell s<sup>-1</sup> would decrease cytosolic pH by 0.3 pH units/minute from resting pH values 141 if excess H<sup>+</sup> were not removed [24,27].

Electrophysiological studies of C. braarudii have revealed that, unusually, the outward 142 current elicited on membrane depolarization is carried by H<sup>+</sup> rather than K<sup>+</sup>, strongly 143 suggesting that the repolarizing current of the action potential is carried by H<sup>+</sup> efflux from the 144 cell [24]. This information allows a simple calculation of the effectiveness of this mechanism 145 to remove calcification-derived H<sup>+</sup> from the cell. Repolarizing currents monitored in patch 146 147 clamp recordings [24,25] are around 200 pA under normal seawater conditions. The estimated rate of calcification-derived H<sup>+</sup> production is equivalent to 0.3 pA of membrane 148 current if all H<sup>+</sup> were removed from the cell through H<sup>+</sup> channels in the plasma membrane. 149 150 This would require significantly less than one action potential per second to maintain cytosolic pH at 7.0 at normal seawater pH. The energetic costs associated with this 151 mechanism relate to the cost of recharging the ionic gradients that are lost during the 152 depolarization phase of the action potential. 153

154 If, by analogy with a typical animal-like action potential, Na<sup>+</sup> is the main carrier of the 155 depolarization current, and assuming an approximately 10-fold Na<sup>+</sup> concentration difference 156 across the plasma membrane, and a total depolarizing Na<sup>+</sup> influx equal to the repolarizing H<sup>+</sup> efflux (Figure. 2), the cost of maintaining the plasma membrane Na<sup>+</sup> gradient would be 157 approximately 5% of the cost of carbon fixation. How would this mechanism cope with 158 159 reduced extracellular pH in an acidifying ocean? From published electrophysiological data [24] the outward H<sup>+</sup> current would be significantly reduced at external pH around 7.5-7.8. 160 due to the reduced H<sup>+</sup> electrochemical gradient and the voltage-dependency of the H<sup>+</sup> 161 162 channels shifting to more positive potentials at lower external pH. The additional cost associated with this could have significant consequences for cell growth and competition in 163 an ecological context, where costs and benefits need to be finely balanced. On the other 164 hand, if the depolarizing phase of the action potential is carried by Ca<sup>2+</sup> influx and if all the 165 Ca<sup>2+</sup> entering the cell is then subsequently used for calcification, there would potentially be 166 little further cost of removing H<sup>+</sup> from the cell. Better understanding of these mechanisms 167 168 underlying pH regulation will be required to address these important questions.

Key information needed to constrain cost-benefit analyses of calcification relates to the chemical conditions at the site of calcification in different coccolithophores. So far, coccolith vesicle pH is the only parameter to have been directly addressed. Earlier use of fluorescent indicators has given pH estimates from regions of the cell containing the coccolith vesicle ranging from 6.5 to 8.5, possibly reflecting pH changes as the calcifying compartment matures [20]. Limitations of optical resolution and the likely contribution of cytosolic dye to

the coccolith vesicle signal presented a limitation of this approach. Arguably, the advent of
higher resolution confocal imaging and the future possibility of developing targeted genetic
probes, following recent advances in genetic transformation of haptophytes [26] present
opportunities for more accurate investigations.

179 An alternative approach to monitoring pH at the site of calcification involves monitoring boron/calcium (B/Ca) ratios of coccoliths [29,30]. Stoll et al [29] demonstrated the feasibility 180 of this approach for investigating the regulation of pH or DIC in the coccolith vesicle in two 181 coccolithophore species (E. huxleyi and C. braarudii). Borate is the only species of B 182 incorporated into calcite and the ratio of borate to boric acid is dependent on pH at the site of 183 precipitation. At constant seawater pH, the B/Ca ratio in the coccolith is determined by the 184 pH and DIC concentration in the coccolith vesicle. Application to a wider range of species 185 and experimental conditions is likely to provide significant insight into the regulation of 186 conditions within the coccolith vesicle. This information is needed not only to constrain cost-187 benefit analyses of the calcification process but also to gain a deeper mechanistic 188 189 understanding of how conditions at the site of calcification may be affected by changing 190 ocean chemistry, particularly ocean pH. Such studies will also be critically important to 191 understand how coccolith vesicle conditions vary between different coccolithophore types. 192 Indeed, the coastal coccolithophore species, Ochrosphaera neapolitana, which is able to maintain relatively constant PIC:POC ratios at different seawater pH values in culture is also 193 able to maintain constant coccolith vesicle pH conditions under differing CO<sub>2</sub>-controlled 194 seawater pH conditions [30]. Interestingly, O. neapolitana as well as Pleurochrisis carterae 195 196 produce high Mg calcite [31,32], unlike most coccolithophores, which produce very low Mg calcite. P. carterae is also able to maintain constant PIC:POC ratios under varying seawater 197 pH [32], suggesting fundamental differences in the calcification mechanism and ion transport 198 199 pathways in these two species that may relate to mechanisms that control carbon allocation to calcification, setting them apart from other coccolithophores. Intriguingly, it has recently 200 201 been shown that coccoliths of S. apstenii have an order of magnitude higher Sr content than those of other coccolithophores [33]. While this does not necessarily indicate a fundamental 202 203 difference in the calcification pathway or machinery, it does point to further subtle differences 204 between different coccolithophore species that will be important to understand.

To what extent different coccolithophore species use the same transport mechanism and pathways for calcification? Gene expression analysis revealed a predominance of conserved anion and cation transport components associated with calcification in cells of *E. huxleyi* [21], suggesting that calcification-associated transport processes likely evolved from preexisting transport components and that calcification-related transport systems may be more generally conserved across different coccolithophore species. However, there is insufficient

211 detailed genetic, structural or physiological information on calcification-specific transport 212 pathways in a wider range of species to be able to make robust comparisons. Indeed, 213 several lines of evidence suggest divergence from the general model. A study of carbon isotope fractionation in two different coccolithophore species, C. braarudii and 214 Gephyrocapsa oceanica, a close relative of E. huxleyi, revealed differences in their isotope 215 fractionation properties in relation to DIC supply [34]. It was proposed that C. braarudii may 216 utilise calcification-derived H<sup>+</sup> produced for photosynthetic CO<sub>2</sub> production. In contrast, the 217 smaller cells of G. oceanica may utilise H<sup>+</sup> removed from the cell to facilitate external CO<sub>2</sub> 218 generation through the action of external carbonic anhydrase. This contrasts with earlier 219 work showing that in *E. huxleyi*, inhibition of calcification by removal of Ca<sup>2+</sup> from the 220 external medium, did not affect the cells' abilities to acquire DIC for photosynthesis under 221 short-term carbon-limiting conditions [35,36]. Moreover, multifactorial experiments 222 223 examining the DIC species utilized for calcification in E. huxleyi provided good evidence that both photosynthesis and calcification can utilize external HCO<sub>3</sub><sup>-</sup> and that they may even 224 compete for DIC substrate under certain conditions [37]. 225

226 The differential sensitivities of coccolithophore calcification to external pH perturbation 227 shown by different species may also suggest some variability or specialization of transport 228 pathways. However, significant variation in sensitivity to external pH can also found 229 between strains within the same species [8], which are unlikely to reflect fundamental differences in calcification pathways or components. There are also important ultrastructural 230 231 differences between species that likely relate to more fundamental differences in calcification 232 mechanisms. For example, a membrane-rich organelle, known as the reticular body has been described in certain coccolithophore species, including E. huxleyi and S. apstenii [38-233 40] but is absent in other species, such as Pleurochrysis (Chrysotilla) spp. Since the 234 235 reticular body has been proposed as a major component of the calcification transport pathway, this does imply substantial mechanistic differences between these species. 236 237 Currently only limited genetic information is available to allow detailed exploration of these potential differences, with one fully sequenced genome and a handful of coccolithophore 238 239 transcriptomes available. [41,42]

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# 3. Coccolith morphology: divergence in regulatory factors

While it is relatively straightforward, given certain assumptions, to provide estimates of the 241 242 on energetic costs of calcification and the likely impacts of ocean acidification, it is perhaps 243 less obvious how changing ocean chemistry may affect the ability of cells to shape coccolith structure. As research on coccolith formation moves increasingly from descriptive to 244 245 mechanistic, some recent studies of coccolith crystal morphology are beginning to shed light

246 on the requirement for proper coccolith morphology for cell function and the potential for 247 environmental factors to alter this morphology. Many species of coccolithophore produce 248 two types of coccoliths: Heterococcoliths, produced by diploid cells are complex multicrystalline structures while holococcoliths, produced by haploid life cycle stages are 249 comprised of simple rhombic crystals and have been less studied. The factors that promote 250 life cycle phase transitions are not well studied in most coccolithophore species. Nutritional 251 preferences of haploid and diploid cells have been shown to give rise to shifts in dominance 252 of particular life cycle phases in culture populations [43] and induction of life cycle switching 253 254 has been observed in Calyptrosphaera shpaeroidea [44] there are few reports of directs 255 phase transitions In *E. huxleyi*, which produces heterococcolith-bearing diploid cells but naked flagellate haploid cells, there is evidence that morphological transitions within the 256 diploid phase occur in response to viral infection to produce cells that are morphologically 257 258 similar to motile haploid cells. However, these transitions are de-coupled from life cycle transistions. [45] 259

260 During heterococcolith formation, initial nucleation events, most likely on an organic 261 baseplate template determine the location of crystal elements and their orientation [46]. 262 Surprisingly little is known about the nature of the organic baseplase, its composition and 263 how this varies in different species or the underlying mechanism by which the elements of the baseplate that form the protococcolith ring are organised. However, recent in vitro 264 studies offer new insights into the role of the organic baseplate in initiating coccolith crystal 265 orientation and growth. In a breakthrough study, Gal et al [47], using isolated 266 heterococcoliths of *P. carterae* showed that the differently oriented crystals (V and R type) 267 had different structural associations with the baseplate. They also showed that specific 268 interactions between soluble organic molecules and an organic backbone structure directs 269 270 Ca<sup>2+</sup>-dependent association of mineral components to specific sites on isolated baseplates. This provided strong evidence for a cooperative interaction between components of the 271 organic template and soluble molecules in directing Ca<sup>2+</sup> to the site of mineralization. 272 Further insight into this mechanism was provided by Sukurada et al [48] who demonstrated, 273 274 by chemical treatment of baseplates of *P. haptonemofera*, a requirement for both protein and 275 a particular acidic polysaccharide (Ph-PS-2) for precipitation of calcium-rich aggregates 276 around the baseplate rim.

277 The only current genetic evidence to suggest a regulatory role for a specific coccolith-

associated protein factor comes from correlations between the coccolith morphology motif of

the *E. huxleyi* coccolith-associated protein GPA (glutamate, proline, alanine-rich) and

coccolith morphotype [49,50]. Gene expression studies in *E. huxleyi* have also shown an

inverse correlation between the level of GPA expression and degree of calcification [51],

suggesting that GPA may have a negative regulatory role. GPA is not found in other
coccolithophore species, so its role appears to be specific for *E. huxleyi*. However, GPA is a
low complexity protein with a high degree of repeated sequences and it remains to be seen
whether other low complexity proteins with similar acidic residue content are found in other
coccolithophore species.

### 3.1 Regulation of crystal growth and morphology: the role of polysaccharides.

As with the crystal nucleation process, little is known about what factors regulate crystal
growth and overall coccolith morphology following nucleation. Likely roles for the
involvement of polysaccharides and cytoskeleton have been proposed for some time [23,
52-55]. There is now substantial evidence that coccolith-associated polysaccharides
(CAPs) play an important role in the regulation of both coccolith growth and morphology.
CAPs are predominantly acidic polysaccharides and the negatively charged carboxyl groups
of uronic acid residues in solution can bind Ca<sup>2+</sup> and potentially inhibit calcite precipitation.

295 A number of *in vitro* studies have identified a range of mechanisms through which soluble organic molecules may interfere with calcite precipitation from solution. Soluble natural 296 297 organic material was shown to inhibit calcite precipitation and to be more effective at lower carbonate/calcium ratios and lower pH values [56]. This could be described by a Langmuir 298 adsorption model whereby adsorptive interactions between the organic molecule and calcite 299 300 surface are driven by entropy change of the adsorptive reaction. Moreover, higher molecular weight molecules and those with high aromatic content were more effective in inhibiting 301 calcite precipitation. Microkinetic modelling that considers adsorptive energy at the crystal 302 step, together with experimental calcite growth studies have indicated that soluble inorganic 303 ions and organic molecules may inhibit calcite precipitation by both complexing in solution 304 and inhibition of ion incorporation at the crystal steps to varying degrees [57]. lons, such as 305  $SO_4^{2-}$  were shown to act through direct crystal step blocking, while Mg<sup>2+</sup> acted through both 306 step-blocking together with an degree of solution complexing. Soluble carboxylic acids, such 307 as benzoate and acetate, most likely acted to inhibit precipitation through solution 308 309 complexing with a smaller degree of crystal step-blocking and were more effective at lower 310 calcite saturation states.

- In vitro studies have shown that CAPs are able to regulate calcite precipitation in a range of coccolithophore species [e.g. 58-60], though definitive evidence of their role *in vivo* is mainly restricted to demonstration of localization of polysaccharides with the growing coccolith [22,23]. CAPs that have been isolated from coccolithophores show substantial biochemical
- diversity between species. A single CAP was isolated from *E. huxleyi* [58] and three distinct
- 316 CAPs were identified in *P. carterae* [61], with evidence also for a role for at least one of

these in the supply of Ca<sup>2+</sup> to the calcification site [40]. Significant differences in the uronic 317 318 acid content of CAPs have been noted, which may potentially influence the shaping of the 319 calcite crystals [40] or reflect adaptations to differing calcite saturation states of the coccolith vesicle. In this respect Lee et al [62] showed that coccolithophore species, such as a heavily 320 calcifying E. huxleyi strain, belonging to the order lsochrysidales, which is likely to have a 321 high calcite saturation state in the coccolith vesicle, deduced from data on intracellular DIC 322 pools and surface area/volume ratios, also has a CAP with high uronic acid content. In 323 contrast, Coccolithus spp, belonging to the order Coccolithales, showed the lowest CAP 324 325 uronic acid content. Lee et al proposed that with lower surface area/volume ratios and no evidence for the presence of DIC accumulation Coccolithales arguably maintained a lower 326 calcite saturation state in the coccolith vesicle. This was consistent with the demonstration 327 that polysaccharide substrates with high levels of carboxyl functional groups promote fast 328 329 nucleation rates under conditions of high saturation states, whereas polysaccharides with lower carboxyl content promote nucleation at lower saturation states [63]. Indeed, Lee et al 330 331 [62] concluded that by altering their polysaccharide charge density, coccolithophores may fine-tune calcite precipitation to varying degrees of internal supersaturation, which may allow 332 333 them to achieve optimal calcification. The inference from these studies is that CAPs are 334 involved in promoting calcite nucleation whereas earlier work on isolated polysaccharides 335 suggests that CAPs tend to inhibit calcite precipitation, albeit in vitro [e.g. 58-60]. At first 336 sight, this appears to be a paradox in need of resolution and it is likely that the regulation of 337 calcite precipitation by polysaccharides is more subtle than simple promotion or inhibition. 338 There may be differences between behaviour in vivo and in vitro and, as illustrated above, it is clear that soluble polysaccharides may behave differently from those that have roles as 339 340 solid substrates for precipitation.

An additional *in vitro* approach [64] studied calcium carbonate precipitation in the presence 341 of CAPs purified from *E. huxleyi* and *G. oceanica*, showing that CAPs from *G. oceanica*, but 342 not those from E. huxleyi promoted the nucleation of calcite even under conditions that 343 would normally promote precipitation as vaterite or aragonite. This suggests different 344 345 functional roles for CAPS even between these closely related species. The study also 346 showed that CAP is located within the grain boundaries rather than within the crystal lattice 347 in both in vitro precipitation and in biogenic coccoliths. Since the coherence length data do 348 not distinguish boundaries between individual crystals from boundaries within crystals an 349 unanswered question concerns the location of the grain boundaries. This has an important bearing on the formation mechanism of coccolith crystals and whether they have a nano-350 351 structure similar to all other studied marine biogenic calcium carbonates.

352 A further complexity to the CAP story is the demonstration that extracellular coccoliths are 353 coated with an organic layer that may have an important influence on the dissolution of 354 coccoliths [48.65.66]. The use of fluorescent lectins [67] has shown that the polysaccharide layer covering external coccoliths differs significantly between species in structure and 355 composition. Further significant differences were shown between species in monosaccharide 356 composition and uronic acid content of these polysaccharides. Moreover, this study 357 358 demonstrated an additional role of external polysaccharides in C. braarudii whereby polysaccharide extruded with, but not integral to the coccoliths, is important for the adhesion 359 of the coccoliths to the cell surface and their overall organisation within the coccosphere. 360

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### **362 3.2 Further determinants of coccolith morphology.**

363 The studies summarised above point to links between the cellular transport processes that give rise to carbonate saturation conditions in the coccolith vesicle and regulatory processes 364 that determine calcite precipitation rate and morphology. Recently, two lines of evidence 365 366 have revealed further unexpected differences in control of coccolith morphology between 367 species. First, Ge a competitive inhibitor of Si transport in diatoms, was unexpectedly shown to cause specific striking malformations in coccolith morphology in two members of the 368 369 Coccolithales (C. braarudii and Calcidiscus leptoporus) and in the Zygodiscales species 370 Scyphosphaera apstenii [68]. Growth in low Si medium produced similar results. In contrast, Ge treatment had no effect at all on the Isochrysidales species *E. huxleyi*. Second, diatom-371 like Si transporters (SITs) or SIT-like transporters were found in the transcriptomes of those 372 373 coccolithophore species that show sensitivity to Ge. Significantly, however, E. huxleyi, G. oceanica and P. carterae, were shown to lack SITs and were completely insensitive to Ge 374 treatments. Moreover disruption of coccolith morphology in C. braarudii led to 375 376 disorganization of the coccosphere, which in turn resulted in an inability of cells to separate following mitosis and the onset of cell cycle arrest [69]. These observations represent the 377 clearest physiological distinction to date between different coccolithophore groups and raise 378 379 a number of important questions: First, what is the mechanism by which Si is involved in the 380 regulation of proper coccolith morphology in those Si-requiring species? Some clues may 381 come from other studies showing interaction between Si and calcium carbonate. Silica has 382 been shown to be important in the deposition of amorphous calcium carbonate (ACC) in vitro [70] and in mineralized cystoliths from plants [71]. However, there is so far no evidence that 383 ACC is involved in the precipitation of coccolith calcite. Second, the phylogeny of SITs 384 suggests that they were present in an ancestral lineage to coccolithophores [72]. We have 385 386 proposed that the Si requirement may be an evolutionary trait that has been lost in much

387 more recently evolving species, such as E. huxleyi. If so, we can ask what mechanisms did 388 non-Si requiring coccolithophores evolve to regulate morphology during coccolith formation 389 and growth? One possibility for further test is that certain classes of coccolith-associated polysaccharides may have substituted for Si in this respect. Third, does the differential Si 390 391 requirement between species have any ecological relevance, such as explaining why E. 392 huxleyi commonly forms blooms in Si-depleted waters following diatom blooms, or might it explain particular coccolithophore geographical distributions relating to Si availability? 393 Finally, did the evolution of a Si-independent mechanism for coccolithogenesis correlate with 394 395 the reduction in ocean silicate levels brought about by the rise of the diatom lineages? [73] (Figure 3). 396

### 397 4. Concluding remarks

398 The evidence presented here points to an intricate system of interactions between the 399 processes that drive calcification and those that regulate coccolith morphology and there is 400 much to learn about both. It is clear that there are both subtle and stark differences between 401 different types of coccolithophores, both in the physiological controls of coccolith production 402 and the fine regulation of coccolith shape. It has been noted [2, 74,75] that the most commonly studied species E. huxleyi has a number of features, including its coccosphere 403 404 structure, its biogeography and its ability to form huge blooms, which are atypical for 405 coccolithophores. It is important, therefore to consider coccolithophore diversity and the 406 ecological importance of other species, which together are at least equally globally abundant and biogeochemically important [e.g. 76,77] in order to fully understand their essential 407 408 properties.

The varied mechanisms underlying key aspects of coccolithophore biology point to a need 409 410 for better mechanistic understanding of the calcification process and its functions across the 411 coccolithophore realm. Indications, outlined above, of differing transport processes, differing modes of regulation of the conditions at the site of calcification in the coccolith vesicle along 412 413 with differing utilization of macromolecular components including proteins and 414 polysaccharides call for a paradigm shift in approaches to understanding the diversity of cellular processes and mechanisms between and within species. This is particularly 415 required in order to understand the varied impacts of changing ocean chemistry on the 416 417 complex ecology of coccolithophores and their likely responses to acidifying and warming oceans that will in turn have important impacts on global biogeochemistry. 418

Our understanding of the roles and underlying mechanisms of calcification have been held
back increasingly by the lack of genetic and other tools for coccolithophores and
haptophytes more generally. While there will clearly be large obstacles to overcome, there

- 422 are encouraging signs that genetic manipulation of at least certain haptophytes is feasible
- 423 [28,78], paving the way for gene knock-down and knock-out and the use of genetically-
- 424 encoded reporters. Ongoing large-scale genome sequencing projects are likely to provide a
- 425 wealth of information to help identify species-specific differences. These, together with the
- 426 advent of new analytical approaches, such as cryo-EM and elemental analyses that are
- 427 helping to define nanoscale processes in coccolith formation will ensure that our
- 428 understanding of this important group of organisms will continue to improve.

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# 702 Figures



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Figure 1. Examples of coccolithophore morphologies. Top (left to right): *Coccolithus braarudii*, *Calcidiscus leptoporus*, *Emiliania huxleyi*. Bottom: *Helicosphaera carteri*,
 *Syracosphaera pulchra*, *Scyphosphaera apstenii*. Scale bars, 2 μm.



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**Figure 2.** Schematic of hypothetical role of electrical control of cellular pH through the

action of voltage-gated H+ channels that are in turn regulated by action potential-like

- 711 depolarization of the membrane potential brought about by the influx of cations through
- voltage-gated cation channels.
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- **Figure 3**. Approximate dissolved Si levels [73] through geological time in relation to the
- emergence of coccolithophores, diatoms and the much more recent emergence of *E. huxleyi*
- in the paleo-oceanographic record. The dotted line indicates uncertainty around early ocean
- 718 Si levels.