

1 **Frontal circulation and submesoscale variability during the formation of a**
2 **Southern Ocean mesoscale eddy**

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ABSTRACT

18 Observations made in the Scotia Sea during the May 2015 Surface Mixed
19 Layer Evolution at Submesoscales (SMILES) research cruise captured sub-
20 mesoscale, $O(1-10\text{ km})$, variability along the periphery of a mesoscale $O(10-$
21 $100\text{ km})$ meander precisely as it separated from the Antarctic Circumpolar
22 Current (ACC) and formed a cyclonic eddy $\sim 120\text{ km}$ in diameter. The ACC
23 meander developed in the Scotia Sea, an eddy-rich frontal region east of the
24 Drake Passage where the Subantarctic and Polar fronts converge and modi-
25 fications of Subantarctic mode water (SAMW) occur. A drifter triplet was
26 followed with an undulating towed-CTD during a cross-front survey. *In situ*
27 measurements reveal a rich submesoscale structure of temperature and salin-
28 ity and a loss of frontal integrity along the newly-formed southern sector of
29 the eddy. A mathematical framework to estimate vertical velocity is devel-
30 oped from co-located drifter and horizontal water velocity time series. Down-
31 welling (upwelling) rates of $O(100\text{ m day}^{-1})$ are found in the northern (south-
32 ern) eddy sector. Preconditioning for submesoscale instabilities is found in
33 the mixed layer, particularly at the beginning of the survey in the vicinity of
34 density fronts. Shallower mixed layer depths and increased stratification are
35 observed later in the survey on the inner edge of the front. Evolution in T-S
36 space indicates modification of water mass properties in the upper 200 m over
37 2 days. Modifications along σ_θ 27 - 27.2 kg m^{-3} have climate-related impli-
38 cations for mode and intermediate water transformation in the Scotia Sea on
39 finer spatiotemporal scales than observed previously.

40 **1. Introduction**

41 The Southern Ocean hosts the most energetic current in the world, the Antarctic Circumpo-
42 lar Current (ACC). Unbounded by land, the ACC connects ocean basins and transports an esti-
43 mated 154 ± 38 Sv through the Drake Passage (Firing et al. 2011). The ACC is predominantly in
44 geostrophic and thermal wind balance with sea surface height (SSH) gradients and lateral density
45 gradients, or fronts. Large-scale instabilities in the balanced ACC flow cause mesoscale, $O(10-100$
46 km), meanders and eddies in the Southern Ocean. While the rich mesoscale structure of the ACC
47 has been studied intensely, finer-scale variability along Southern Ocean fronts is less understood
48 and observed.

49 Two of the most prominent fronts in the Southern Ocean are the Subantarctic and Polar fronts
50 (hereafter, SAF and PF). Due to sparse data coverage in the Southern Ocean, altimetry-based
51 frontal definitions have been developed; $SSH_{SAF} = -0.25$ m and $SSH_{PF} = -0.70$ m are updated
52 values from Sallée et al. (2008). North of the SAF, water masses such as Subantarctic Mode
53 water (SAMW) and Antarctic Intermediate water (AAIW), subduct along isopycnals at specific
54 locations in the Southern Ocean, such as the Scotia Sea (Sallée et al. 2010). The subducted pools of
55 SAMW and AAIW observed north of the ACC contain high levels of anthropogenic CO_2 (Sabine
56 et al. 2004; Pardo et al. 2014) and heat (Frölicher et al. 2015). Currently, SAMW is thought to
57 be transformed by air-sea buoyancy fluxes (Cerovecki et al. 2013) and subsequently mixed and
58 subducted with AAIW, $\sigma_{\theta 27.2}$, to the South Atlantic (Sallée et al. 2010). In locations ‘upstream’
59 of the subducted SAMW/AAIW pools, mode water transformation occurs in the mixed layer at
60 the SAF and has climatic implications. The large-scale, $O(100-1000$ km), physical processes
61 responsible for the subduction of heat and carbon in SAMW/AAIW pools have been discussed and

62 documented, e.g. Sallée et al. (2010, 2012), but very little is known about subduction associated
63 with smaller scales processes (Naveira Garabato et al. 2001).

64 A potentially important class of dynamics responsible for modulating the vertical exchange at
65 fronts in the Southern Ocean occurs at the submesoscale, $O(1-10 \text{ km})$. The oceanic submesoscale
66 is instrumental in extracting energy from density fronts in thermal wind balance and transferring
67 the energy from mesoscale to submesoscale and dissipative scales (Thomas and Taylor 2010; Capet
68 et al. 2008). The downscale transfer of energy results in ageostrophic motions with large vertical
69 velocities, $O(100 \text{ m day}^{-1})$ (Mahadevan and Tandon 2006; Capet et al. 2008; Thomas et al. 2008)
70 capable of transporting heat and tracers across the base of the mixed layer. Where energetic
71 submesoscale processes exist, the resulting vertical buoyancy fluxes may attain an importance
72 equal to or greater than those forced by air-sea exchange.

73 The presence of fronts preconditions the mixed layer to the development of submesoscale pro-
74 cesses, which are characterized by $O(1)$ Rossby (Ro) and balanced Richardson (Ri_B) numbers
75 (Thomas et al. 2008). Submesoscale dynamics are often associated with hydrodynamic instabili-
76 ties including mixed layer instability (MLI), symmetric instability (SI), and inertial instability (II)
77 (Haine and Marshall 1998; Fox-Kemper et al. 2008; Thomas et al. 2008). These instabilities grow
78 at the expense of available potential energy associated with lateral density gradients (MLI) or ther-
79 mal wind kinetic energy (II and SI). In all cases, these instabilities are likely to develop at fronts
80 and can significantly affect the mixed layer density structure (Boccaletti et al. 2007; Hosegood
81 et al. 2008; Taylor and Ferrari 2009; Mahadevan et al. 2010).

82 Sampling submesoscale processes presents challenges due to the complex dynamics of the
83 mixed layer and the short spatiotemporal scales of variability, from hours to days and meters
84 to kilometers. Very few submesoscale-resolving measurements have been made in the Southern
85 Ocean (Rocha et al. 2016), though a recent modeling study has demonstrated the dependence

86 of submesoscale vertical velocities on an energetic mesoscale eddy and strain field (Rosso et al.
87 2015). An energetic submesoscale is, therefore, expected in a region with high mesoscale EKE,
88 such as the Scotia Sea, a mesoscale eddy hot spot (Frenger et al. 2015). Large, high- Ro meanders
89 of the SAF and PF fronts (Figure 2) are indicative of a highly energetic mesoscale field in the
90 Scotia Sea region, suggesting the presence of a similarly energetic submesoscale field.

91 Here we present novel observations of submesoscale variability in the Southern Ocean
92 from the SMILES (Surface Mixed Layer Evolution at Submesoscales) project, [http://www.](http://www.smiles-project.org)
93 [smiles-project.org](http://www.smiles-project.org). SMILES aims to (1) characterize submesoscale dynamics and (2) evalu-
94 ate the role of submesoscales in mode water transformation in the Scotia Sea using a combination
95 of observations and models. The observational component of the SMILES project consists of a
96 single research cruise to the Scotia Sea in austral autumn 2015. During a drifter-following cross-
97 front survey, a northward meander of the SAF and PF (Figure 2) separated from the ACC and
98 formed a cold-core mesoscale eddy.

99 In this paper, we focus on the observed frontal circulation and submesoscale variability along
100 the periphery of the newly-formed eddy. Data sources and processing methods are described in
101 Section 2. Results from the drifter-following survey are presented as follows in Section 3: a)
102 eddy formation, b) frontal circulation, c) cross-frontal variability, and d) water mass modification.
103 Section 4 presents a submesoscale instability analysis and an estimation of vertical velocity. In
104 Section 5, results are summarized and the implications of submesoscale processes during eddy
105 formation in the Scotia Sea are discussed.

106 **2. Data Sources and Methods**

107 *Ship-based data sources*

108 The field component of the SMILES project consisted of a Scotia Sea research cruise, 22 April -
109 21 May 2015, performed aboard the British Antarctic Survey RRS James Clark Ross (JCR). Sea-
110 soar, a winged and towed CTD body equipped with a Seabird-Electronics Inc. SBE911, collected
111 temperature, conductivity, and pressure measurements at 16 Hz. Seasoar data is collected in a
112 saw-tooth pattern (Figure 3) at 8 knots ($\sim 4 \text{ m s}^{-1}$) with a horizontal spacing between apogees of 2
113 km for 200-m dives. Temperature and salinity variables were binned to 0.5 dbar intervals. Binned
114 data were gridded using a 2-dimensional Gaussian interpolation scheme (Barnes 1964) with regu-
115 lar spacing, 0.5-km horizontal and 1-m vertical, and decorrelation radii of 1 km and 2 m (Figure
116 3c).

117 Horizontal water velocity data was collected in 8-m depth bins over 22 to 600 m of the water
118 column by the ship-board RDI Ocean Sciences 75 kHz acoustic doppler current profiler (ADCP).
119 The collected data was cleaned, corrected for ship speed and heading, and ensemble averaged to
120 150-second bins using Common Ocean Data Access System (CODAS) processing tools. North
121 and east velocity components from 30 - 200 m were gridded to the same grid as the Seasoar data
122 then rotated into along-front and cross-front velocity components using the drifter trajectories as
123 explained below.

124 *Drogued drifters*

125 Semi-Lagrangian water velocity data was collected using a triplet of drogued drifters. The
126 drifters consisted of a sealed buoy with GPS and satellite communications, a 'holey-sock' drogue
127 10-m long and 90-cm in diameter centered at 50-m depth, and 3.5 mm Dyneema line. This de-

128 sign provided a drag area ratio of 44 which is accurate to follow water parcels to within 1 cm s^{-1}
129 (Sybrandy et al. 2009). Drifter location updates were received at 10-minute intervals.

130 The drifters were released along the northern portion of the meander, approximately three min-
131 utes apart. The release location was inside (south) of the maximum jet velocity and temperature
132 gradient as shown in Figure 3a for the first Seasoar leg of the survey. The trajectory of the first
133 drifter released, D16, was chosen to define the along-front direction, θ_{along} (Table 1) . The clos-
134 est drifter crossing in time and space of each Seasoar leg is the reference for the center of the
135 front, with cross-frontal distance increasing away from the meander and eddy center. Each leg
136 was rotated to a cross-front heading, θ_{cross} , defined as the orthogonal direction to θ_{along} for each
137 respective Seasoar leg (Table 1). Similarly, measured horizontal water velocities were rotated into
138 along-front and cross-front components for each leg.

139 *Remote data sources*

140 Satellite sea surface temperature (SST) and sea surface height (SSH) data were used during the
141 cruise and analysis for frontal and eddy detection. Both data sets are available daily on a 0.25°
142 grid. Figure 2 is an example of the remote sensing data available during the SMILES cruise. The
143 daily, gridded microwave SST data was downloaded from Remote Sensing Systems, ([http://](http://www.remss.com)
144 www.remss.com). SSH, or absolute dynamic topography, and altimetrically-derived geostrophic
145 surface current data were downloaded from AVISO Cnes data center ([www.aviso.altimetry.](http://www.aviso.altimetry.fr)
146 [fr](http://www.aviso.altimetry.fr)) (Pujol et al. 2016). Subantarctic front and Polar front positions are defined using SSH contours
147 of -0.25 m and -0.7 m , respectively, updated from the mean frontal position definitions in Sallée
148 et al. (2008).

149 3. Results

150 *a. Eddy formation*

151 A northward meander of the Subantarctic front (SAF) and Polar front (PF) developed along the
152 Antarctic Circumpolar Current (ACC) (Figure 2) in late April 2015. This mesoscale, $O(100\text{ km})$,
153 feature characterized by 4°C SST and 0.5-m SSH meridional changes in 50 km, formed just south
154 of the North Scotia Ridge. Antarctic surface water, $<2^\circ\text{C}$ south of the PF (Orsi et al. 1995), is
155 observed in the center of the meander. The vorticity Rossby number, $Ro = \zeta f^{-1}$, of the meander as
156 calculated from altimetrically-derived geostrophic surface currents from 20 April is ~ 0.4 , a very
157 high value for the coarse altimetry data set compared to previous submesoscale-focused process
158 studies, e.g. $Ro \sim 0.1$ in the North Pacific (Hosegood et al. 2013).

159 A triplet of drogued drifters released in the northwest sector of the meander on 08 May 2015
160 20:00 GMT was followed with the RRS JCR while towing the Seasoar CTD perpendicular to
161 the drifter trajectories. The daily progression of SST, SSH, drifter trajectories and the ship track
162 are presented in Figure 4 for 8-12 May 2015. At the time of the drifter release, 18 days after
163 the SST and SSH observations presented in Figure 2, the meander had sharpened yet remained
164 tethered to the ACC as observed by SST and SSH fields, Figure 4a. During the survey, the drifters
165 initially traveled east (Figure 4b) and southeast (Figure 4c) around the meander and remarkably
166 continued along a cyclonic trajectory precisely as the meander separated from the ACC and formed
167 a cold closed-core eddy, Figure 4c-e. Initially the cyclonic eddy measured approximately 120-
168 km in diameter with a dynamic height anomaly of 0.5 m (-0.2 to -0.7 m SSH). After the eddy
169 formed, Figure 4e, the SAF and PF returned to a zonal orientation south of the eddy. Hereafter,
170 the meander/eddy feature will be referred to as an eddy for the duration of the Seasoar survey.

171 The Seasoar survey, shown as the ship track in Figure 4, consisted of 25 sections around the
172 edge of the eddy ranging from 25 - 40 km in length. Maps of 10-m depth temperature and salinity
173 from these 25 sections are presented in Figure 5a-b. The beginning northern sector of the survey
174 is characterized by sharp temperature and salinity fronts (2°C , 0.2 psu in 2 km at 4-m depth)
175 with warm, salty water outside and cold, fresh waters inside the eddy. A region characterized by
176 a loss of temperature and salinity frontal integrity is observed along the southern portion of the
177 survey. Submesoscale streamers or filaments only a few kilometers across are observed in the
178 newly-formed southern sector of the eddy.

179 Horizontal water velocities measured at 50-m depth are included in Figure 5c-d where the along-
180 front and cross-front components are determined relative to a drifter trajectory direction (Table 1)
181 for each Seasoar section. A $\sim 70\%$ decrease (1.5 to 0.4 m s^{-1}) in drifter and along-front water
182 velocities is observed from the N to S legs. A sign change in cross-frontal velocities on either side
183 of the drifters indicates confluent flow during the majority of the survey with diffluent cross-frontal
184 flow in the southern portion of the survey.

185 Wind forcing during the Seasoar survey was unusually calm for April in the Southern Ocean
186 with wind speeds $< 10 \text{ m s}^{-1}$ and winds from SE to NW rather than the expected westerlies.

187 Infrared SST data are a resource for submesoscale studies due to the high, $O(1 \text{ km})$, horizon-
188 tal resolution. Due to heavy cloud cover very few infrared SST images are available during the
189 SMILES cruise. Partial coverage of the eddy during the Seasoar survey was captured by the an
190 AVHRR sensor aboard the Metop-a satellite on 11 May 2015 at 12:42 GMT (Figure 6a). Unfortu-
191 nately the southern and western sectors of the eddy were masked by clouds. The ship's underway
192 temperature data at 4-m depth is overlaid on the infrared SST data in Figure 6b. The comparison
193 suggests a northward movement of the eddy since the beginning of the survey 2.5 days earlier.
194 For comparison, the ship's temperature data is also plotted atop optimally-interpolated microwave

195 SST data for 11 May 2015. The eddy boundary, defined by the 3°C isotherm in Figure 6a and c is
196 drastically different between the 1-km infrared and coarser microwave SST data.

197 *b. Cross-frontal variability*

198 Vertical cross-sections of potential density anomaly (σ_θ , kg m⁻³), temperature (°C), salinity, and
199 horizontal water velocities (m s⁻¹) are presented in Figure 7 for the Seasoar legs labeled in Figure 5.
200 The five sections span approximately two days and 180 degrees of heading of the drifter-following
201 survey. Each section is referenced in a similar manner with respect to the front; the left (right)
202 -hand side of the sections will be referred to as inner (outer) with negative (positive) cross-frontal
203 distance. Since the sections are centered using the drifter trajectories, a cross-frontal distance of
204 zero is not an explicit definition of the frontal center with respect to density.

205 In Leg N, σ_θ increases laterally away from the eddy core except for a dense filament ~ 5 km in
206 width located in the center of the leg (Figure 7a). The dense filament is observed between the 27.0
207 kg m⁻³ isopycnal (hereafter $\sigma_{\theta 27}$) which outcrops on either side of the filament. The inner lateral
208 density gradient, 0.09 kg m⁻³ in 5 km, is nearly twice the gradient on the warm, outer side of the
209 dense filament, 0.04 kg m⁻³ in 5 km. The $\sigma_{\theta 27}$ is observed subsurface in Leg E. The depth of the
210 $\sigma_{\theta 27}$ is much shallower on the inner side of Leg S.

211 Mixed layer depth, MLD, defined as the level of a 0.01 kg m⁻³ density increase from the surface-
212 most measurement, is included in Figure 7a. Values of MLD are $O(100$ m) for most of Leg N. In
213 each leg, the mixed layer is shallowest within the density fronts, <50 m, and deepest within the
214 dense filament at 130 m. The MLD shoals similarly to $\sigma_{\theta 27}$ in Leg S, suggestive of restratification
215 of the inner front along the newly-formed sector of the eddy. The shallower MLD may be the result
216 of temporal variability, e.g., restratification from submesoscale instabilities, or spatial variability.

217 Temperature and salinity fields vary similarly across the sections, Figure 7b-c, due to strong
218 density compensation, characteristic of ACC fronts. In Leg N, the warm, salty outer region lies
219 adjacent to a cold, dense filament at a cross-front distance of 0 km. Leg E, in the east sector of
220 the survey, contains a small subsurface cold water intrusion at 120-m depth and 10-km cross-front
221 distance. Intrusions of cold, fresh water on the outer side and warm, salty water on the inner
222 side are observed in all legs collected in the east and southeast sectors of the survey. In leg SE,
223 the intrusion is larger in vertical and horizontal extent and outcropped. In Leg S a loss of frontal
224 integrity is observed compared to the well-organized, separated cold-fresh inner and warm-salty
225 outer regions present at the start of the survey.

226 Vertical cross-sections of along-front and cross-front velocities, Figure 7d-e, show a strong
227 barotropic component to the flow. Trends throughout the survey agree with the 50-m maps in
228 Figure 5c-d. Along-front velocities decrease whereas cross-front velocities switch from confluent
229 to diffluent from Legs N to S.

230 *c. Frontal circulation*

231 The frontal circulation at the center of each Seasoar leg can be described using the co-located
232 drifter and horizontal water velocity datasets. As shown in Figure 8a, the drifter and along-front
233 water velocities at 50-m depth are in strong agreement. Drifters initially deployed in the northern
234 sector of the cyclonic eddy decelerated around the eastern side toward the southern sector where
235 the along-front velocity is minimum, after which the drifters accelerated around the western edge.
236 Similar trends were observed in the measured along-front velocity. The cross-frontal gradient of
237 cross-frontal velocity, was negative (confluent) during the along-front deceleration and positive
238 (diffluent) during the along-front acceleration as shown in (Figure 8b). In a horizontally non-
239 divergent flow regime, cross-frontal confluence leads to along-front divergence and vice versa.

240 However, the deceleration of the drifters suggests convergent along-front flow in a region with
241 convergent along-front flow and therefore the presence of a vertical circulation. These observations
242 suggest downwelling occurred along the N - SE sectors and upwelling in the S survey sector. This
243 will be quantified in Section 4b.

244 *d. Water mass modification*

245 The sharp temperature and salinity fronts across the eddy boundary indicate the presence of
246 different water masses. T-S histograms for Seasoar sections N-S, Figure 9, show the prevalence of
247 measurements in 0.15°C and 0.015 salinity bins. In Leg N the T-S measurements largely populate
248 two separate regions in T-S space, with cold, fresh inner waters in the bottom left of the diagram
249 and the warm, salty (spicy) outer region measurements in the top right. The two regions in T-
250 S space are connected via $\sigma_{\theta 27}$, the isopycnal that outcrops on either side of the dense filament
251 at the front center in Leg N, previously presented in Figure 7. A similar connection along deeper
252 isopycnals, such as $\sigma_{\theta 27.2}$, is not observed in Leg N (Figure 9). This is due to an unequal isopycnal
253 upheaval across the Seasoar leg and the 200-m depth limit of the dataset.

254 A cross-front exchange is observed in Legs NE-E as cool, fresh measurements $\sigma_{\theta 27 - 27.2}$ extend
255 into warmer and saltier T-S space. Along isopycnal exchange of T-S properties is less clear in
256 Leg SE. By Leg S, the T-S space is fully populated indicating mixing or advection of new water
257 masses, not previously observed at the start of the survey. The exchange or modification along
258 $\sigma_{\theta 27.1 - 27.2}$ suggests that water mass properties below the MLD are affected on timescales of $O(1$
259 day) and horizontal length scales of $O(1-10 \text{ km})$ during the formation of this mesoscale eddy.

260 4. Analysis

261 *a. Submesoscale instabilities*

262 Although direct measurements of submesoscale instabilities were not made during the Seasoar
 263 survey, it is possible to diagnose whether conditions were favorable, or preconditioned, for sub-
 264 mesoscale instability growth and which specific instabilities were possible (Thomas et al. 2013;
 265 Thompson et al. 2016). First, instability development is favored when Ertel potential vorticity
 266 (EPV),

$$EPV = \omega_a \cdot \nabla b = (\mathbf{f} + \nabla \times \mathbf{u}) \cdot \nabla b, \quad (1)$$

267 is the opposite sign of f (Haine and Marshall 1998; Thomas et al. 2008); the absolute vorticity,
 268 ω_a , is the sum of planetary and relative vorticity and buoyancy is $b = -g\rho'\rho_0^{-1}$. The perturbation
 269 density, ρ' , is the measured density, ρ , minus the average leg density, ρ_0 . Expanding (1) gives

$$EPV = (w_y - v_z)b_x + (u_z - w_x)b_y + [f + (v_x - u_y)]b_z, \quad (2)$$

270 where subscripts indicate a partial derivative and x and y are the along-front and cross-front di-
 271 rections. Neglecting ∂x terms in (2) assumes along-front gradients \ll cross-front gradients. This
 272 simplification yields,

$$EPV \simeq (f - u_y)b_z + u_z b_y, \quad (3)$$

273 an approximation for EPV dependent on cross-front and vertical gradients in the along-front ve-
 274 locity and buoyancy. The 2-dimensional approximations of EPV (3) is shown in Figure 10 along
 275 with the cross-frontal buoyancy gradient at 10-m depth to identify the density fronts in each leg.

276 EPV, defined in (3) for Legs N-S is shown in Figure 10b. Regions with EPV > 0 are precondi-
 277 tioned for the instabilities described above and are observed on either side of the lateral buoyancy

278 gradients, or fronts, and mostly above the MLD. The band of negative EPV in each leg is stable to
279 instabilities due to the strong vertical stratification, b_z , of the ML base.

280 For regions where $EPV > 0$, since $f < 0$, specific submesoscale instabilities can be identified
281 using the balanced Richardson number, $Ri_B = f^2 b_z^2 b_y^{-4}$. The criteria presented in Thomas et al.
282 (2013) classifies gravitational ($Ri_B < -1$), mixed gravitational-symmetric ($-1 < Ri_B < 0$), sym-
283 metric ($0 < Ri_B < 1$), and inertial ($1 < Ri_B < Ro^{-1}$) instabilities, as well as stable portions of the
284 water column, $Ri_B > Ro^{-1}$.

285 Locations favored for specific submesoscale instabilities as diagnosed by Ri_B are presented in
286 Figure 10c. Throughout the survey, the mixed layer was consistently more susceptible to sub-
287 mesoscale instabilities than the deep, stable regions where $EPV < 0$. Gravitational instability is
288 most likely early in the survey and away from density fronts where MLD are large. The crite-
289 ria for mixed and symmetric instabilities are met within density fronts in Legs N - E. Conditions
290 conducive for inertial, or centrifugal, instability are not common in this survey however the few
291 instances are located on the outer (right-hand) side with $Ro = \zeta f^{-1} < 0$, an anticyclonic sense.

292 Regions where conditions are conducive to the development of submesoscale instabilities are
293 shown as a fraction of the mixed layer in Figure 10d. There is a general decrease between the N
294 and S legs, indicating a greater proportion of the ML is more prone to instabilities earlier in the
295 survey versus in the legs collected in the southern sector of the eddy. Throughout the survey, the
296 majority of the instability indications are for gravitational with conditions favorable for symmetric
297 or mixed gravitational and symmetric concentrated near lateral density gradients.

298 *b. Estimation of vertical velocity*

299 Vertical velocities, w , were not directly measured in the SMILES Seasoar survey. However,
300 the co-located drifter and ADCP datasets allow for the following mathematical framework which

301 yields a solvable expression for w at a specific depth and a cross-frontal location in each Season
 302 leg.

303 Let $\mathbf{x}_D(t)$, and $\mathbf{u}_D(t)$ be the measured drifter position and velocity vectors at time t where

$$\left(\frac{dx_D}{dt}, \frac{dy_D}{dt} \right) = \left(u_D(t), v_D(t) \right). \quad (4)$$

304 Let $\mathbf{u}_E(x, y, z, t)$ be the Eulerian fluid velocity. Assume that the drifter moves with the vertically
 305 averaged Eulerian velocity at the horizontal location of the drogue from depth z_1 to z_2

$$\frac{d\mathbf{u}_D}{dt} = \frac{d}{dt} \left[\bar{\mathbf{u}}_E(x_D(t), y_D(t), t) \right] \quad (5)$$

306 where

$$\bar{\mathbf{u}}_E \equiv \frac{1}{z_1 - z_2} \int_{z_2}^{z_1} \mathbf{u}_E dz. \quad (6)$$

307 Here we set $z_1 = 0$ at the surface and $z_2 = 50$ m, the drifter drogue depth. This assumes the drogued
 308 drifter is moving with the depth-averaged Eulerian velocity in the top 50-m of the water column,
 309 Figure 8a. Expanding the derivative in (5),

$$\frac{d\mathbf{u}_D}{dt} = \frac{\partial \bar{\mathbf{u}}_E}{\partial t} + \frac{dx_D}{dt} \frac{\partial \bar{\mathbf{u}}_E}{\partial x} + \frac{dy_D}{dt} \frac{\partial \bar{\mathbf{u}}_E}{\partial y} = \frac{\partial \bar{\mathbf{u}}_E}{\partial t} + \bar{\mathbf{u}}_E \cdot \nabla_H \bar{\mathbf{u}}_E. \quad (7)$$

310 where $\nabla_H \equiv \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right)$. From continuity, $\nabla \cdot \mathbf{u}_E \equiv 0$ hence $\nabla \cdot \bar{\mathbf{u}}_E = 0$ for constant z_1 and z_2 ,

$$\frac{\partial \bar{u}_E}{\partial x} + \frac{\partial \bar{v}_E}{\partial y} + \frac{w_E(x_D, y_D, z_1, t) - w_E(x_D, y_D, z_2, t)}{z_1 - z_2} = 0. \quad (8)$$

311 From (7), the rate of change of the along-front drifter velocity is

$$\frac{du_D}{dt} = \frac{\partial \bar{u}_E}{\partial t} + \bar{u}_E \frac{\partial \bar{u}_E}{\partial x} + \bar{v}_E \frac{\partial \bar{u}_E}{\partial y} \quad (9)$$

312 while (8) gives

$$\frac{\partial \bar{u}_E}{\partial x} = -\frac{\partial \bar{v}_E}{\partial y} - \frac{w_E|_{z_1} - w_E|_{z_2}}{z_1 - z_2}. \quad (10)$$

313 Substituting (10) in (9) gives

$$\frac{du_D}{dt} = \frac{\partial \bar{u}_E}{\partial t} - \bar{u}_E \frac{\partial \bar{v}_E}{\partial y} - \bar{u}_E \frac{w_E|_{z_1} - w_E|_{z_2}}{z_1 - z_2} + \bar{v}_E \frac{\partial \bar{u}_E}{\partial y}. \quad (11)$$

314 Re-arranging (11) yields an expression for the difference of vertical velocity from z_1 to z_2

$$w_E|_{z_1} - w_E|_{z_2} = (z_1 - z_2) \left[-\frac{1}{\bar{u}_E} \frac{du_D}{dt} + \frac{1}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial t} - \frac{\partial \bar{v}_E}{\partial y} + \frac{\bar{v}_E}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial y} \right]. \quad (12)$$

315 An expression for w_E at the drogue depth, z_2 , is obtained by setting $w_E(z_1 = 0) = 0$,

$$w_E|_{z_2} = z_2 \left[\frac{1}{\bar{u}_E} \frac{du_D}{dt} - \frac{1}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial t} + \frac{\partial \bar{v}_E}{\partial y} - \frac{\bar{v}_E}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial y} \right]. \quad (13)$$

316 If we assume

$$\frac{\partial \bar{u}_E}{\partial t} \ll \frac{du_D}{dt} \quad (14)$$

317 and

$$\left| \frac{\bar{v}_E}{\bar{u}_E} \frac{\partial \bar{u}_E}{\partial y} \right| \ll \left| \frac{\partial \bar{v}_E}{\partial y} \right| \quad (15)$$

318 then

$$w_E|_{z_2} \simeq z_2 \left[\frac{1}{\bar{u}_E} \frac{du_D}{dt} + \frac{\partial \bar{v}_E}{\partial y} \right]. \quad (16)$$

319 Expression (16) allows for the calculation of vertical velocity at depth z_2 . For the SMILES Seasoar
 320 survey, w is estimated in the center of each Seasoar leg at the drifter drogue depth of 50 m (Figure
 321 8d). Velocity components \bar{u}_E and \bar{v}_E are calculated by averaging velocities from the first good
 322 ADCP bin, 30-m, to 50-m, as in Figure 8a. The cross-frontal velocity gradients, $\frac{\partial \bar{u}_E}{\partial y}$ and $\frac{\partial \bar{v}_E}{\partial y}$, are
 323 averaged +/- 1-km from the center of each Seasoar leg (Figure 8b).

324 Vertical velocities calculated from (16) are presented in Figure 8d with negative (positive) values
 325 during the N-SE (S) eddy survey sectors. As deduced qualitatively in Section 3b, the pairing of
 326 drifter deceleration and confluence yields downwelling, or subduction while upwelling is expected
 327 during drifter acceleration and diffluent flow. The estimated magnitudes of $w_E|_{50m}$, $O(100 \text{ m}$
 328 $\text{day}^{-1})$, are similar to reported values for submesoscale processes, however, we can not discern
 329 the relative contributions of the mesoscale and submesoscale vertical motions here.

330 The scaling simplification made in (15) is verified in Figure 8c. The steady state assumption
 331 in (14) is checked using altimetry and drifter data sets. The average Eulerian acceleration, $\frac{\partial \bar{u}_E}{\partial t}$,

332 estimated from altimetrically-derived geostrophic surface currents (not shown), are 0.04 ± 0.02 m
333 s^{-1} per day which is an order of magnitude smaller than the average measured drifter accelerations,
334 0.49 ± 0.29 m s^{-1} per day) and the opposite sign as an expected change in along-front velocity
335 due to the cyclonic eddy rotation.

336 5. Discussion & Summary

337 Here we have presented high-resolution observations across the ACC as a cyclonic eddy formed
338 in the Scotia Sea. The novel observations reveal submesoscale frontal variability and two distinct
339 dynamic regimes along the periphery of the eddy, depicted in Figure 11. In the northern to eastern
340 regime of the survey, confluence and deceleration were observed in the cross-front and along-
341 front directions, respectively. Along the newly-formed southern edge of the eddy, along-front
342 acceleration and cross-front diffluent flow is observed coincident with a complex T-S structure,
343 similar to submesoscale features found in other studies, e.g. filaments and streamers (Gula et al.
344 2014; Klymak et al. 2016). A submesoscale instability analysis identified regions across each
345 cross-frontal section prone to the development of gravitational, mixed, symmetric and inertial
346 instabilities. Preconditioning for mixed and symmetric instabilities was found near large cross-
347 frontal density gradients in the mixed layer throughout the survey. Despite the loss of frontal
348 integrity observed in the southern regime, the eddy discussed here maintained a distinct signature
349 in SST and SSH over the following two months as evidenced by remote sensing imagery.

350 A mathematical framework for estimating vertical velocity, derived in Section 4b, yields $w =$
351 $O(100$ m $day^{-1})$ with upwelling in the first regime and downwelling in the second, southern
352 regime (Figure 11). Although there is a lack of *in situ* vertical velocity observations available
353 for comparison, w of $O(100$ m $day^{-1})$ have been consistently reported in submesoscale-resolving
354 numerical models compared to mesoscale estimates of $O(10$ m $day^{-1})$ (Lévy et al. 2001; Capet

355 et al. 2008; Rosso et al. 2014). The relative contributions from submesoscale and mesoscale mech-
356 anisms cannot be quantified with the extant dataset; however, submesoscale dynamics along the
357 periphery of the eddy might contribute to the large vertical velocities reported here.

358 The Scotia Sea hosts an especially high abundance of mesoscale eddies (Frenger et al. 2015) in
359 the eddy-rich Southern Ocean. Eddy kinetic energy (EKE) in this region, calculated from time-
360 mean removed, altimetry-derived geostrophic surface currents (AVISO; 1993-2015) is $O(0.1 - 1$
361 $m^2 s^{-2})$. Recent submesoscale-resolving modeling results indicate a strong correlation between
362 mesoscale EKE and submesoscale vertical velocity in the Southern Ocean (Rosso et al. 2015)
363 implicating a downscale energy transfer. Although the Scotia Sea EKE values and w estimates
364 presented here are much higher than the domain-averaged magnitudes reported in Rosso et al.
365 (2015), the trend of high EKE and high w is consistent.

366 The strong vertical circulation found at the SAF, suggests that submesoscale processes might
367 be critical in transforming and subducting mode and intermediate waters, although such processes
368 have been mostly ignored in previous studies. Water mass properties across the frontal region were
369 initially observed as a cold, fresh eddy region and a warm, salty outer region. The rapid spread in
370 T-S space suggests mixing occurred during the eddy formation. Enhanced vertical circulation and
371 mixing, prompted by submesoscale processes, have the potential to transform mode and interme-
372 diate water density classes and contribute to the uptake of anthropogenic heat and carbon to the
373 Southern Ocean. A quantification of the net water-mass subduction associated with the observed
374 circulation will be part of a future study.

375 Cyclonic mesoscale eddies have been observed with high chlorophyll signatures in the Scotia
376 Sea (Kahru et al. 2007), implicating their importance on primary production in the region. Studies
377 resolving submesoscale dynamics in mesoscale eddies have shown that strong vertical velocities,
378 like those presented here, may drive the vertical exchange in the upper ocean with important effects

379 on nutrient supply to the photic zone (Lévy et al. 2001; Mahadevan et al. 2008; Lévy et al. 2012;
380 Mahadevan 2016). The biogeochemical responses within the eddy observed during the SMILES
381 cruise are a focus of a future study.

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511 **LIST OF TABLES**

512 **Table 1.** True drifter and Seasoar leg headings. The along-front direction, θ_{along} , is de-
513 fined by the drifter, D16, trajectory. The cross-front direction, θ_{cross} , is θ_{along}
514 - 90. The mean true heading of Seasoar legs, θ_{leg} , are calculated with cross-
515 front distance increasing away from the eddy center. Legs are projected onto
516 a cross-frontal axis through a rotation of $\theta_{rot} = \theta_{cross} - \theta_{leg}$. The axis projec-
517 tion alters the horizontal spacing of survey measurements by the multiplication
518 factor, $\cos(\theta_{rot})$. Legs labeled N-S correspond to section labels in Figure 5. . . . 28

519 TABLE 1. True drifter and Seasoar leg headings. The along-front direction, θ_{along} , is defined by the drifter,
520 D16, trajectory. The cross-front direction, θ_{cross} , is $\theta_{along} - 90$. The mean true heading of Seasoar legs, θ_{leg} ,
521 are calculated with cross-front distance increasing away from the eddy center. Legs are projected onto a cross-
522 frontal axis through a rotation of $\theta_{rot} = \theta_{cross} - \theta_{leg}$. The axis projection alters the horizontal spacing of survey
523 measurements by the multiplication factor, $\cos(\theta_{rot})$. Legs labeled N-S correspond to section labels in Figure 5.

Leg	θ_{along}	θ_{cross}	θ_{leg}	θ_{rot}	$\cos(\theta_{rot})$
13 (N)	74.5	344.5	340.4	4.1	1.00
14	83.7	353.7	344.1	9.6	0.99
17	109.5	19.5	343.7	35.8	0.81
19	143.3	53.4	42.1	11.2	0.98
20 (NE)	157.6	67.6	52.8	14.8	0.97
21	179.2	89.3	51.2	38.1	0.79
22	200.5	110.5	101.1	9.4	0.99
23	200.5	110.5	127.2	-16.7	0.96
24	202.1	112.1	119.3	-7.1	0.99
25	206.4	116.4	131.9	-15.5	0.96
26 (E)	209.5	119.5	132.9	-13.4	0.97
27	215.7	125.7	137.7	-12.1	0.98
28	216.0	126.0	121.9	4.1	1.00
29 (SE)	214.8	124.8	119.4	5.4	1.00
32	232.1	142.1	169.6	-27.5	0.89
33	240.7	150.7	170.2	-19.4	0.94
35 (S)	284.2	194.2	179.9	14.3	0.97
36	296.8	206.8	179.4	27.4	0.89
37	296.5	206.5	179.8	26.7	0.89
38	305.8	215.8	179.4	36.3	0.81
39	309.2	219.2	180.1	39.1	0.78
40	311.5	221.5	189.8	31.7	0.85
41	26.0	296.0	325.4	-29.4	0.87
43	53.1	323.1	1.7	38.6	0.78
44	71.2	341.2	345.1	-3.9	1.00

524 **LIST OF FIGURES**

525 **Fig. 1.** Schematic of wind-driven upwelling in the Southern Ocean. The Antarctic Circumpolar Current (ACC), Subantarctic and Polar fronts (SAF, PF) and Subantarctic Mode water (SAMW) locations are labeled. 31

528 **Fig. 2.** a) A northward meander (dashed box) of the ACC in the Scotia Sea observed remotely on 20 April 2015 is characterized by sharp horizontal gradients of SST ($^{\circ}\text{C}$; color) and SSH (m; contours). b) Same for vorticity Rossby number (color) calculated from altimetry-derived geostrophic surface currents for 20 April 2015. SSH contours corresponding to the Subantarctic (SAF; -0.25 m) and Polar (PF; -0.70 m) fronts define the northern and southern edges of the meander, respectively. The 2000-m isobath from GEBCO outlines the North Scotia Ridge, the northern boundary of the Scotia sea. 32

535 **Fig. 3.** a) Measured jet speed (m s^{-1}) at 50-m depth and underway SST ($^{\circ}\text{C}$) at 4-m depth during the first Seasoar leg in survey. Gridded Seasoar temperature at 5-m depth is dashed. Drifters were released in the cold filament (1.56°C) with jet speed $\sim 1.25 \text{ m s}^{-1}$, approximately 15 km from the start of the Seasoar leg. b) Temperature data binned into 0.5-m intervals for the first Seasoar leg. c) Same as (b) for gridded temperature data with the interpolation window, 2 km by 4 m, shown as an ellipse (white). The location of Seasoar measurements in (b) and (c) are black. 33

542 **Fig. 4.** Daily snapshots of microwave SST (REMSS) and altimetric geostrophic surface current vectors (AVISO) for 8 to 12 May 2015 capturing the formation of a mesoscale eddy from a northward meander along the ACC in the Scotia Sea (Figure 2). A drifter triplet shown in black was released on 08 May 20:00 GMT in the northwestern sector of the meander and followed whilst towing Seasoar with the RRS JCR (green). Positions of the SAF and PF, defined by the -0.25-m and -0.70-m SSH contours, are shown in black and white dashed lines, respectively. 34

549 **Fig. 5.** Maps of 10-m depth (a) temperature and (b) salinity and 50-m depth (c) along-front and (d) cross-front velocity measurements from the drifter-following Seasoar survey introduced in Figure 4. A circle marks the starting position of the cyclonic survey. Drifter triplet tracks are shown in black except in (c) where drifter speed is also in color. Positive along-front velocities indicate a cyclonic (clockwise) direction where as positive cross-front velocities indicate flow out of the eddy. Labeled Seasoar legs, indicating the approximate location in the survey, are presented in Figure 7. 35

556 **Fig. 6.** (a) Level 2 infrared SST measured at 11 May 2015 12:42 GMT by an AVHRR sensor with 1-km horizontal resolution on the Metop-a satellite as the drifters (●) and the RRS JCR (■) were completing the southwest sector of the Seasoar survey. (b) JCR underway temperature data measured during the survey at 4-m depth and 40-m horizontal resolution overlaid on (a). A drifter track (black) is included. (c) Same as (b) overlaid on microwave SST (OISST; www.remss.com). The 3°C isotherm (black) outlines the eddy edge in (a) and the eddy center in (c). 36

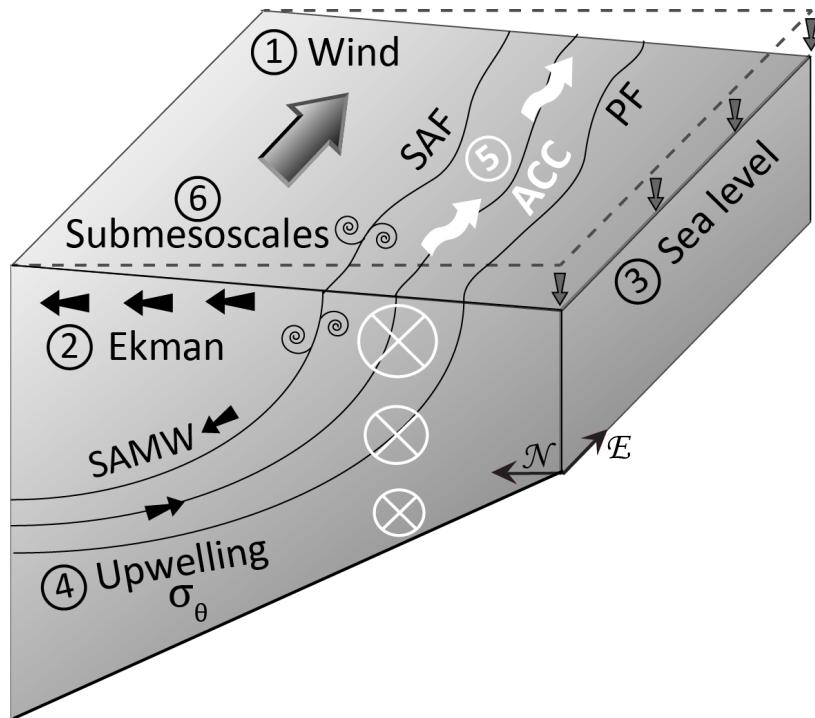
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579 from panel (b) shown in color. Vertical velocities and $\frac{\partial \bar{v}_E}{\partial y} < 0$ indicate subduction and
580 confluence, respectively. The duration of individual Seasoar legs is shaded in each panel. . . . 38

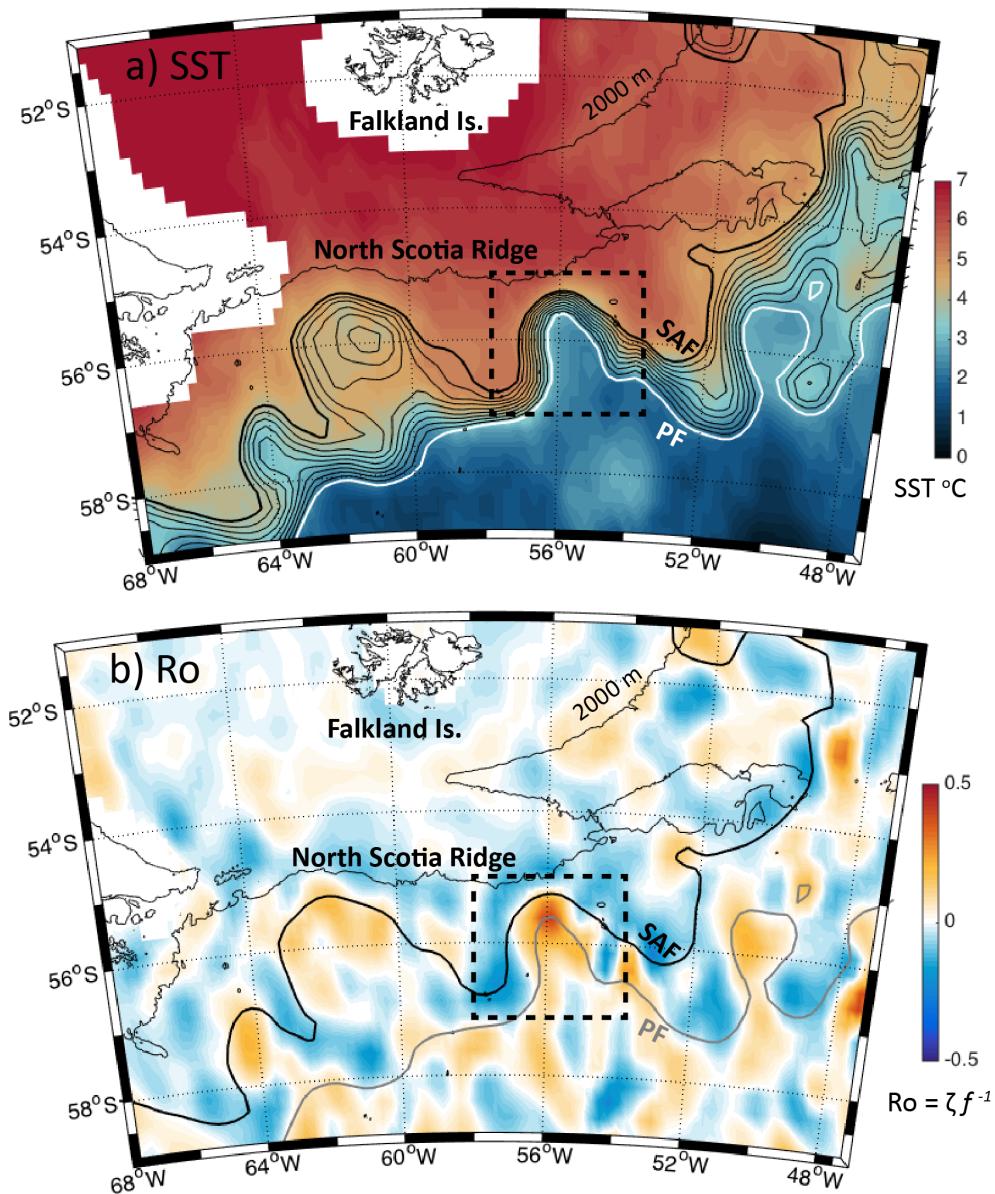
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585 **Fig. 10.** (a) Cross-front buoyancy gradient, b_y , (s^{-2}) calculated at 10-m water depth for Seasoar legs
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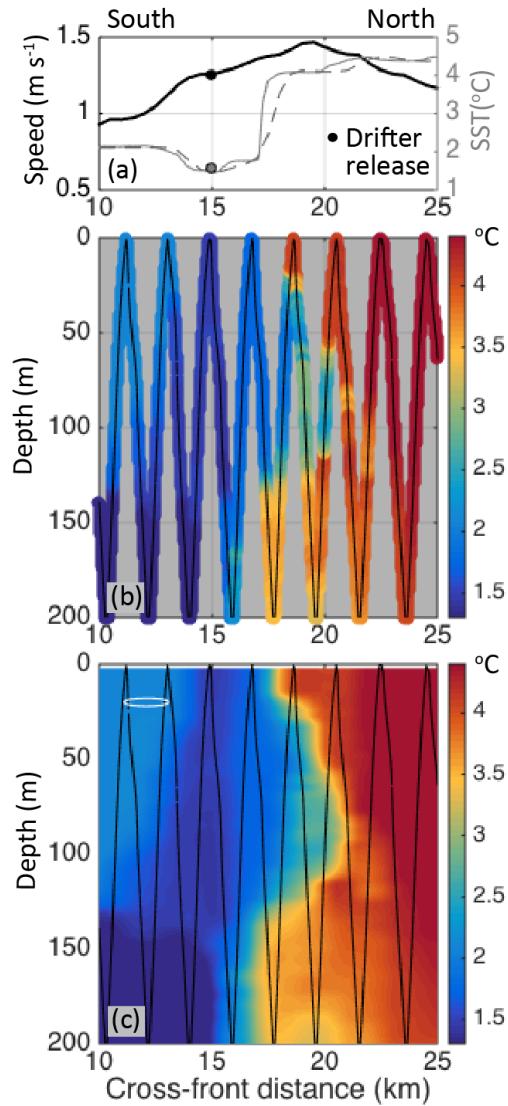
592 **Fig. 11.** Cartoon summarizing frontal circulation during eddy formation. The two cross-frontal sec-
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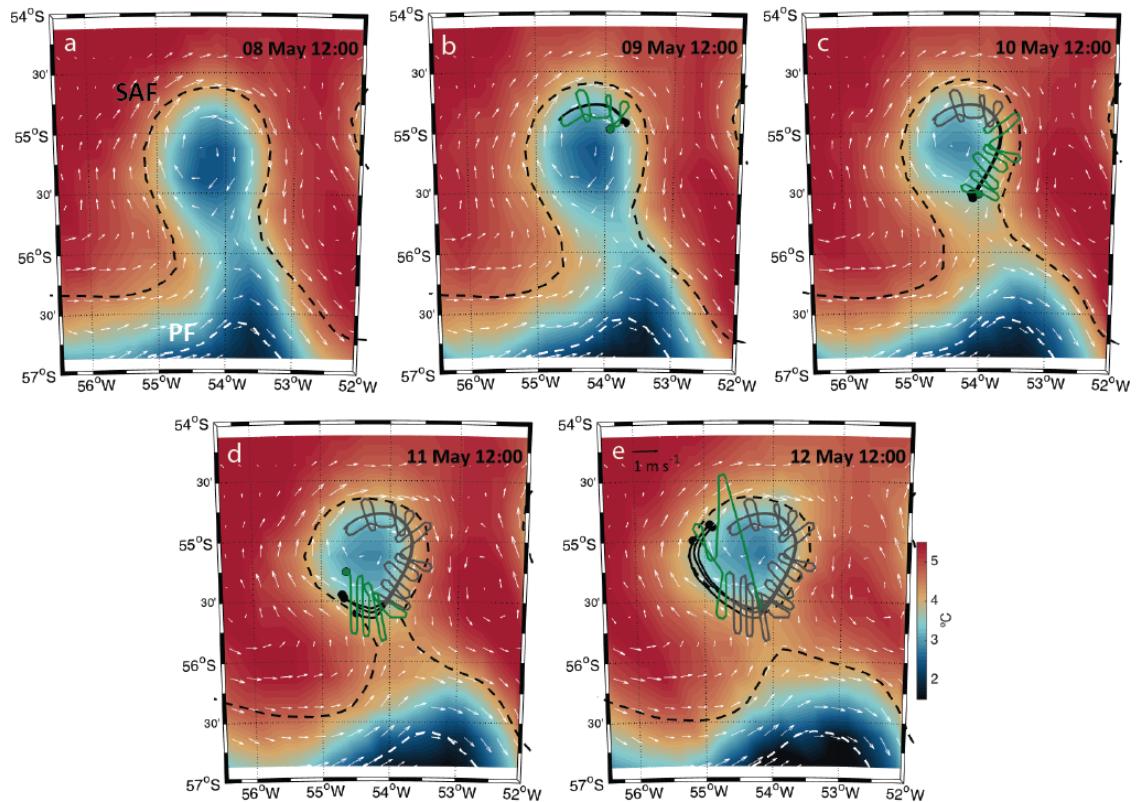
594 FIG. 1. Schematic of wind-driven upwelling in the Southern Ocean. The Antarctic Circumpolar Current
 595 (ACC), Subantarctic and Polar fronts (SAF, PF) and Subantarctic Mode water (SAMW) locations are labeled.



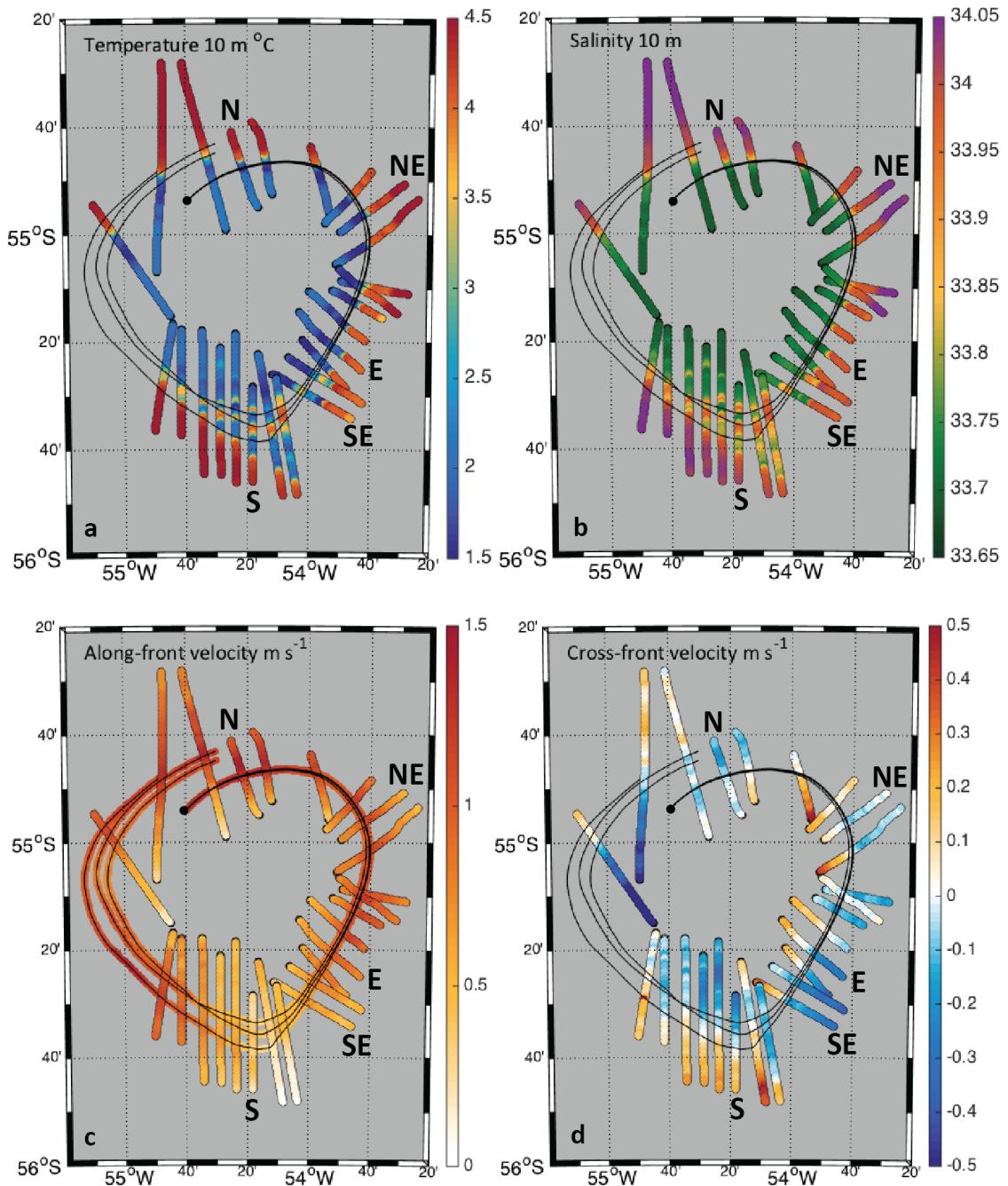
596 FIG. 2. a) A northward meander (dashed box) of the ACC in the Scotia Sea observed remotely on 20 April
 597 2015 is characterized by sharp horizontal gradients of SST ($^{\circ}\text{C}$; color) and SSH (m; contours). b) Same for
 598 vorticity Rossby number (color) calculated from altimetry-derived geostrophic surface currents for 20 April
 599 2015. SSH contours corresponding to the Subantarctic (SAF; -0.25 m) and Polar (PF; -0.70 m) fronts define the
 600 northern and southern edges of the meander, respectively. The 2000-m isobath from GEBCO outlines the North
 601 Scotia Ridge, the northern boundary of the Scotia sea.



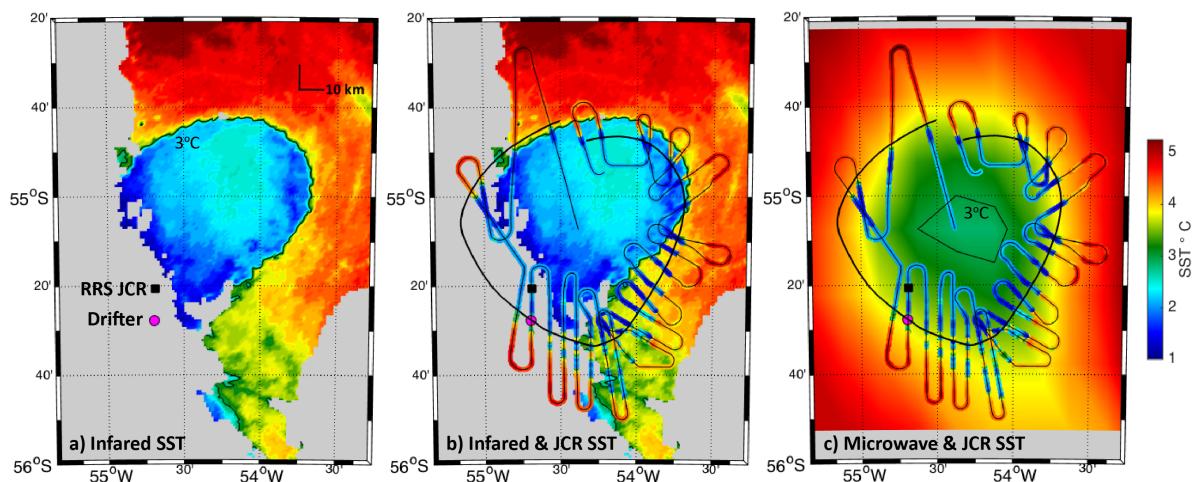
602 FIG. 3. a) Measured jet speed (m s^{-1}) at 50-m depth and underway SST ($^{\circ}\text{C}$) at 4-m depth during the first
 603 Seasoar leg in survey. Gridded Seasoar temperature at 5-m depth is dashed. Drifters were released in the
 604 cold filament (1.56°C) with jet speed $\sim 1.25 \text{ m s}^{-1}$, approximately 15 km from the start of the Seasoar leg. b)
 605 Temperature data binned into 0.5-m intervals for the first Seasoar leg. c) Same as (b) for gridded temperature data
 606 with the interpolation window, 2 km by 4 m, shown as an ellipse (white). The location of Seasoar measurements
 607 in (b) and (c) are black.



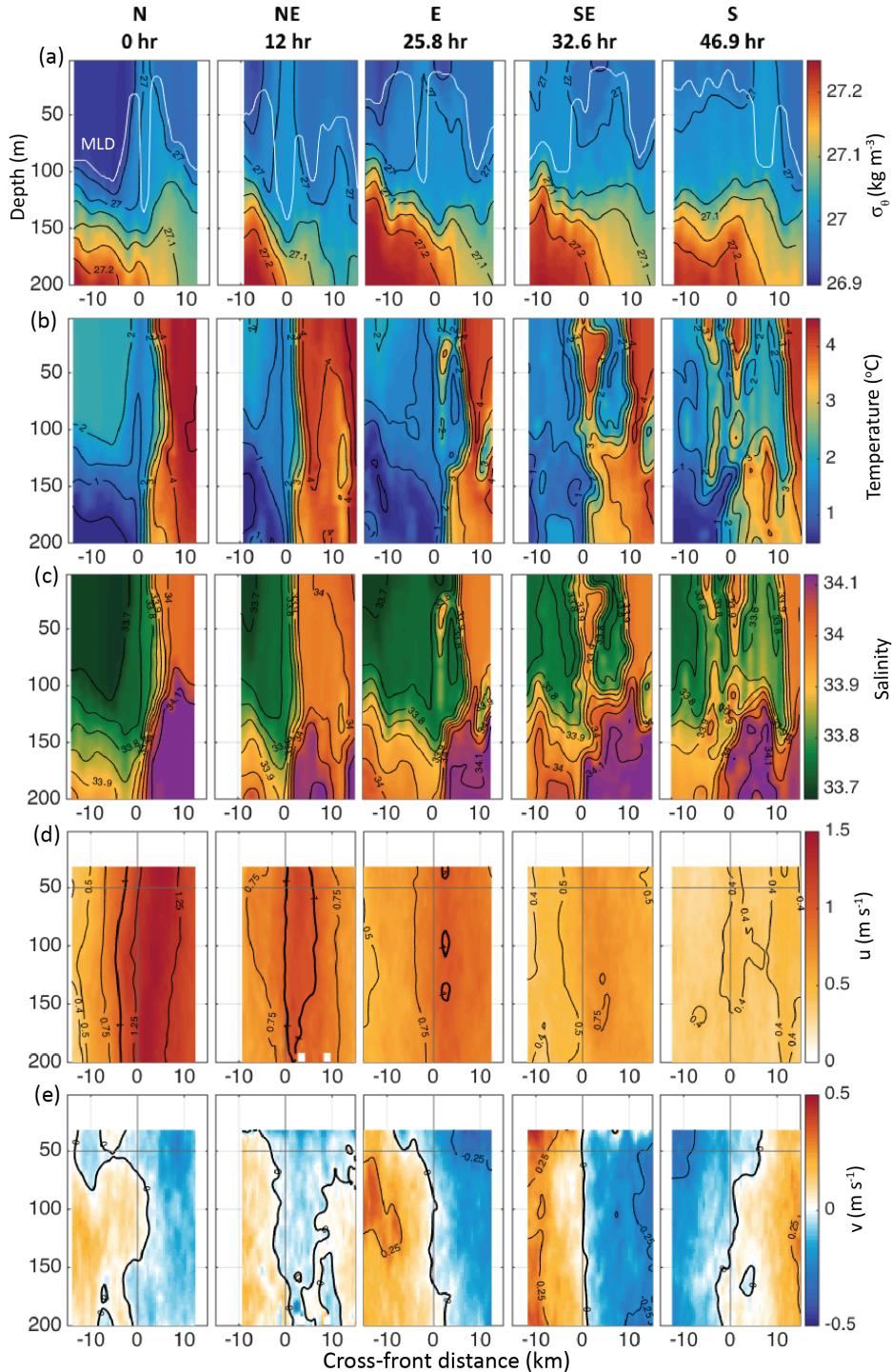
608 FIG. 4. Daily snapshots of microwave SST (REMSS) and altimetric geostrophic surface current vectors
 609 (AVISO) for 8 to 12 May 2015 capturing the formation of a mesoscale eddy from a northward meander along
 610 the ACC in the Scotia Sea (Figure 2). A drifter triplet shown in black was released on 08 May 20:00 GMT in the
 611 northwestern sector of the meander and followed whilst towing Seasoar with the RRS JCR (green). Positions of
 612 the SAF and PF, defined by the -0.25-m and -0.70-m SSH contours, are shown in black and white dashed lines,
 613 respectively.



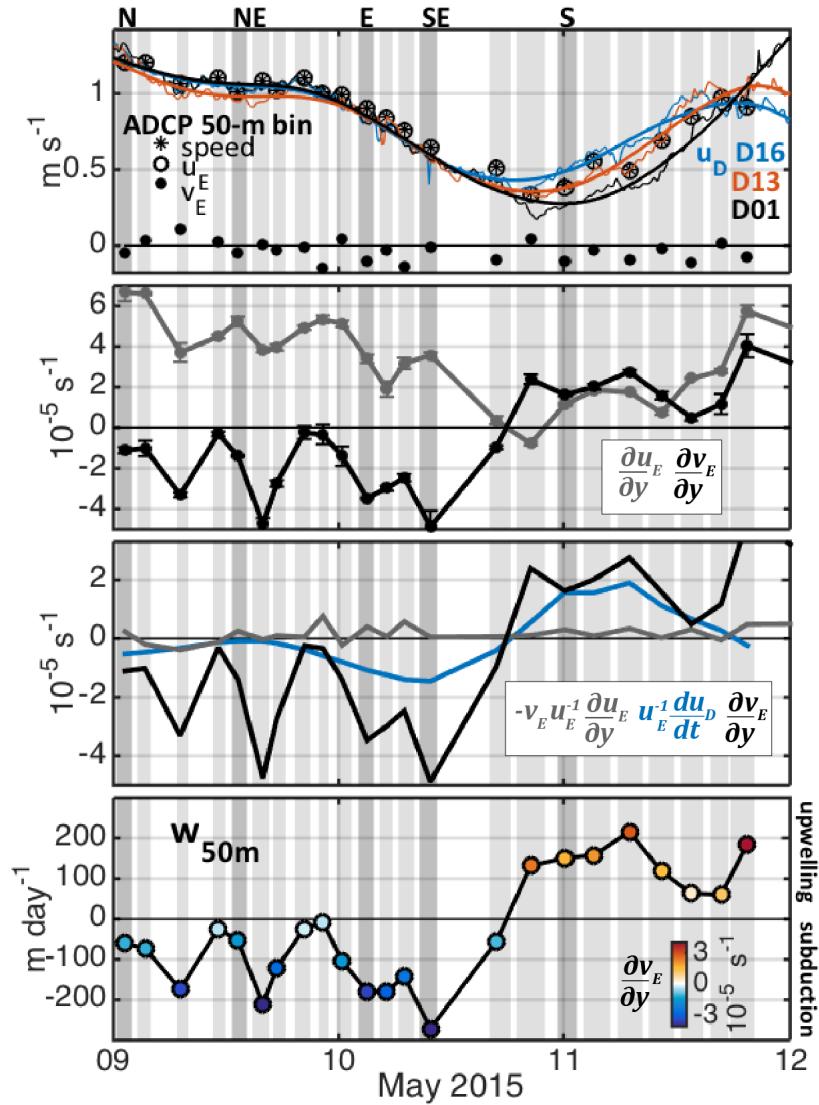
614 FIG. 5. Maps of 10-m depth (a) temperature and (b) salinity and 50-m depth (c) along-front and (d) cross-
 615 front velocity measurements from the drifter-following Seasoar survey introduced in Figure 4. A circle marks
 616 the starting position of the cyclonic survey. Drifter triplet tracks are shown in black except in (c) where drifter
 617 speed is also in color. Positive along-front velocities indicate a cyclonic (clockwise) direction where as positive
 618 cross-front velocities indicate flow out of the eddy. Labeled Seasoar legs, indicating the approximate location in
 619 the survey, are presented in Figure 7.



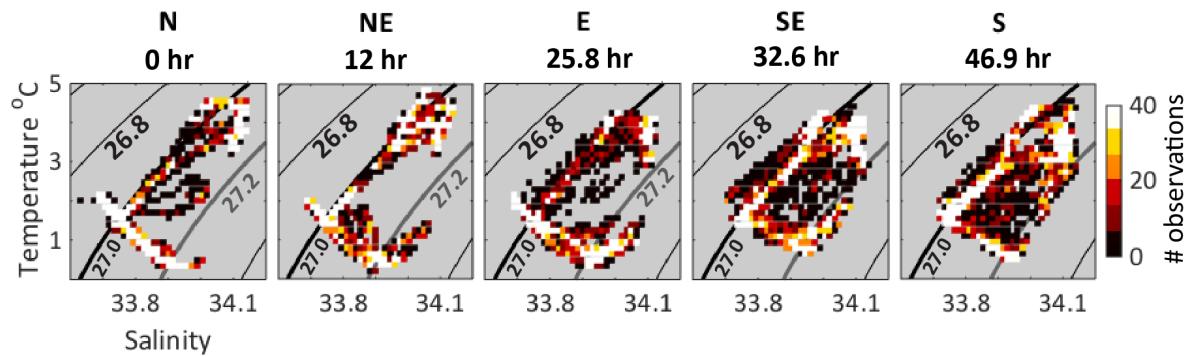
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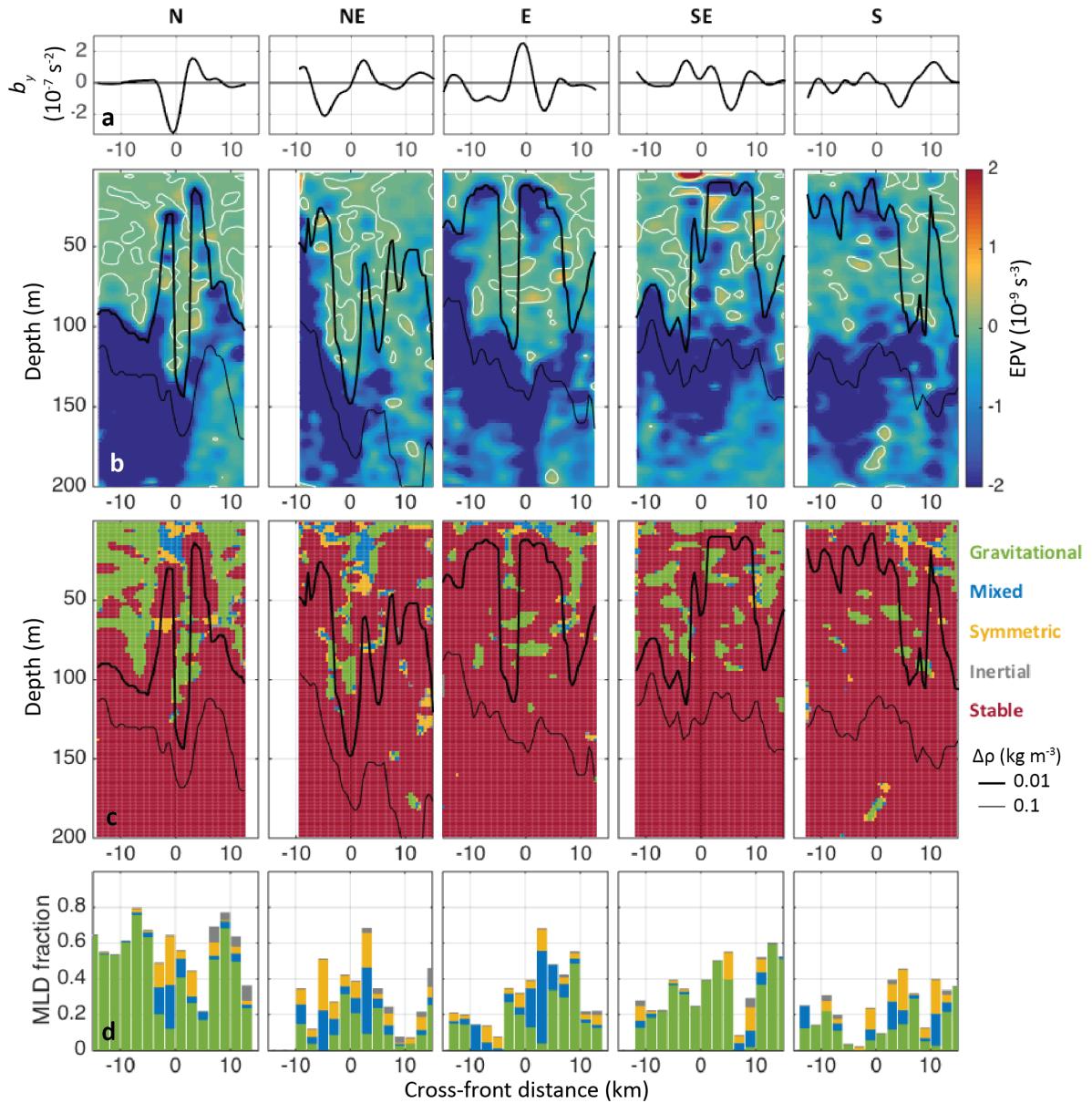
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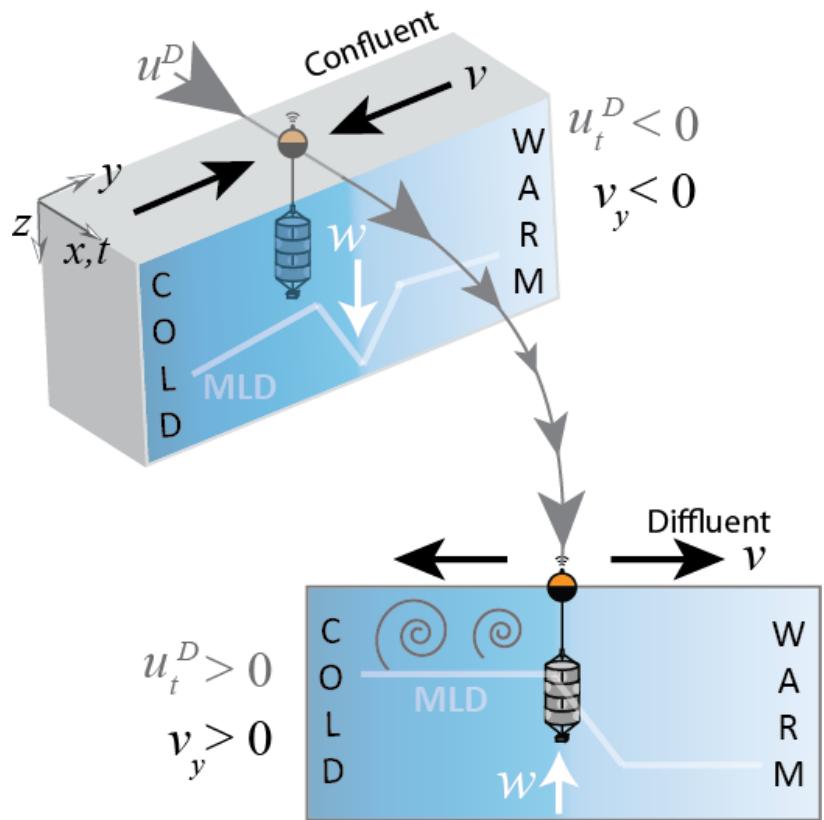
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