

Data processing of remotely sensed airborne hyperspectral data using the Airborne Processing Library (APL): Geocorrection algorithm descriptions and spatial accuracy assessment

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Abstract

Remote sensing airborne hyperspectral data are routinely used for applications including algorithm development for satellite sensors, environmental monitoring and atmospheric studies. Single flight lines of airborne hyperspectral data are often in the region of tens of gigabytes in size. This means that a single aircraft can collect terabytes of remotely sensed hyperspectral data during a single year. Before these data can be used for scientific analyses, they need to be radiometrically calibrated, synchronised with the aircraft's position and attitude and then geocorrected. To enable efficient processing of these large datasets the UK Airborne Research and Survey Facility has recently developed a software suite, the Airborne Processing Library (APL), for processing airborne hyperspectral data acquired from the Specim AISA Eagle and Hawk instruments. The APL toolbox allows users to radiometrically calibrate, geocorrect, reproject and resample airborne data. Each stage

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of the toolbox outputs data in the common Band Interleaved Lines (BIL) format, which allows its integration with other standard remote sensing software packages. APL was developed to be user-friendly and suitable for use on a workstation PC as well as for the automated processing of the facility; to this end APL can be used under both Windows and Linux environments on a single desktop machine or through a Grid engine. A graphical user interface also exists. In this paper we describe the Airborne Processing Library software, its algorithms and approach. We present example results from using APL with an AISA Eagle sensor and we assess its spatial accuracy using data from multiple flight lines collected during a campaign in 2008 together with in-situ surveyed ground control points.

Keywords: airborne remote sensing, geocorrection, georectification

1. Introduction

Remote sensing is an established area of science that can be used to capture information over large, potentially hazardous regions. Earth observation remote sensing is usually performed using systems borne on satellites or aircraft, the first such satellite systems going into orbit in the 1970s. The spatial coverage of earth observation instruments tends to be large (in some cases over 1000 square kilometres (km) per scene), and with an increase in spatial and spectral resolutions the volume of data collected can run into terabytes per instrument per year. This is the case for modern, high resolution airborne remote sensing instruments, and it is important to be able to process such data volumes in a timely and efficient manner.

Aircraft remote sensing is of particular importance for many reasons: it

13 allows both testing and calibration of expensive satellite systems before they
14 are launched (Baum et al., 2000) and after launch (Magruder et al., 2010);
15 environmental monitoring (Petchey et al., 2011) with rapid deployment ca-
16 pability with high temporal resolution for hazard mapping (Leifer et al.,
17 2012) and as supporting data for other scientific studies (e.g. Neill et al.
18 (2004)). In Europe and North America alone there are many agencies that
19 use airborne remotely sensed data to derive important information about
20 the Earth’s environment. Examples include the US National Oceanic and
21 Atmospheric Administration, NASA, European Space Agency, UK Environ-
22 ment Agency, the UK Natural Environment Research Council (NERC) and
23 the German Aerospace Centre (DLR). Typically these organisations fly with
24 multiple sensors on board, including both passive (such as thermal or hy-
25 perspectral scanning instruments) and active (such as lidar or radar). The
26 large spectral and spatial coverage of airborne remotely sensed data can have
27 many uses including: land classification (Liew et al., 2002), vegetation iden-
28 tification (Cochrane, 2000), habitat monitoring (Kooistra et al., 2008), algal
29 bloom detection (Hunter et al., 2010), mineral identification (Crosta, 1996),
30 pollution monitoring (Horig et al., 2001) and geological mapping (Kruse,
31 1998).

32 The UK NERC Airborne Research and Survey Facility (ARSF) operates
33 an aircraft that collects remotely sensed data which is disseminated for re-
34 search use. Two of the instruments are hyperspectral scanners, the Eagle
35 and Hawk, manufactured by Specim Spectral Imaging Ltd. (Specim, 2012).
36 Data collected from each instrument on a single flight mission can result in
37 very large raw data sets of the order of 200 GB, although on average the size

38 is 60-80 GB.

39 To accomplish efficient data processing, the Airborne Processing Library
40 (APL) has been developed by the ARSF Data Analysis Node based at Ply-
41 mouth Marine Laboratory (PML). This paper shall discuss the rationale
42 behind APL and how it is exploited within the computing systems at PML
43 including use on a multi-node Grid engine. The processes applied to the
44 hyperspectral data will be introduced and some of the algorithms employed,
45 in particular those for the geocorrection and resampling components, will be
46 discussed in detail. The paper finishes with a look at some example data
47 processing and an analysis on the geocorrection accuracy of a sample data
48 set.

49 **2. Airborne Hyperspectral Data Processing**

50 Typically, remote sensing data requires two broad stages of pre-processing
51 before it is usable for many topics of research. These are: data calibra-
52 tion (Ahern et al., 1987) and data resampling (Toutin, 2004). To compare
53 information collected by different sensors, by different methods, at differ-
54 ent locations or at different times the data must be calibrated in some way
55 (Ahern et al., 1987). Typically, remotely sensed data should also be atmo-
56 spherically corrected to remove scattering due to atmospheric transmission,
57 making them suitable for direct comparison with ground measurements. At-
58 mospheric correction is outside the scope of this paper and is not performed
59 by the APL software. However, the band interleaved by line (BIL) outputs
60 from APL can be imported into existing software such as the ATCOR4 at-
61 mospheric correction package (Richter and Schlapfer, 2002). APL outputs in

62 BIL rather than band interleaved by pixel (BIP) or band sequential (BSQ)
63 as a performance compromise for further processing, since some data users
64 will want to proceed with spatial processing (where BSQ is better suited)
65 and other spectral processing (where BIP is better suited).

66 Another problem with remotely sensed data is that it may be difficult to
67 analyse without geocorrecting first. For example the captured image is not
68 “North up” or may contain distortions due to platform movements, which
69 can lead to complications when comparing with data from other sources. If
70 this is corrected for, by geocorrecting the data to a well known coordinate
71 system, then it also opens the data up for generation of value-added products.
72 Examples of such being in agriculture and crop management (Seelan et al.,
73 2003) and disaster management (Tralli et al., 2005).

74 *2.1. Pre-development of the Airborne Processing Library*

75 In 2008 an overhaul of the airborne hyperspectral processing chain was
76 proposed so as to improve data processing efficiency and simplify end user
77 interaction. This was initiated with a review of existing software packages for
78 suitability of automated and user-controlled processing. Packages that were
79 considered included the Specim CaliGeo software (Spectral Imaging Ltd,
80 2004), ENVI software package (Exelis Visual Information Solutions, Boul-
81 der, Colorado), ReSe’s PARGE (Schlapfer and Richter, 2002) software and
82 the Azimuth System UK’s AZ tool package (Azimuth Systems UK, 2005),
83 which in 2008 was the current processing software. No package appeared
84 able to fulfil the requirements of both automated data processing (for exam-
85 ple being able to process multiple flight lines without user interaction) and
86 end-user data processing (i.e. simple to understand, licence-free software

87 that can be operated with or without a graphical user interface) - with li-
88 censing restrictions for end-users and the inability to freely access the source
89 code being the main disadvantages. The other major disadvantage of the
90 commercial packages is the long term maintenance and security, for example
91 changes in licensing conditions and cost or discontinued support for specific
92 features. Another important factor is transparency, being able to see what
93 is actually being done to the data. Further requirements were being able to
94 react instantly to software bugs and glitches, as well as being able to actively
95 improve and enhance the processing method. With these in mind, having
96 access to source code would be vital for this and played a large factor in the
97 decision to develop APL, which could be tailored for use for both internal,
98 automated processing and end-user data processing.

99 *2.2. Airborne Processing Library*

100 The Airborne Processing Library was developed with a dual remit; to
101 allow quick and efficient processing of the raw hyperspectral data and as a
102 simple, easy to use toolbox for end-users of the data. To reach these goals it
103 was important that the software adhered to the following points:

- 104 • Used under Linux operating systems with minimal human interaction
- 105 • Used under Windows operating systems
- 106 • Include a graphical user interface (GUI)
- 107 • Easy to maintain code base

108 To this end APL has been written using standard C++ (with an optional
109 Python GUI) using minimal third party libraries so as to make cross platform

110 building as simple as possible. Third party libraries involved are the PROJ4
111 API (PROJ4, 2009) for coordinate re-projections and Blitz++ (Blitz++,
112 2005) for matrix calculations. All executables are built, from the same source
113 directory, using a desktop PC running Linux (Fedora) using the GNU gcc or
114 mingw-gcc compilers (with the code being portable to other compilers). The
115 GUI has been written to operate on Python version 2.7 using the wxPython
116 graphical libraries. The APL software source code is available to download
117 from: <https://github.com/arsf/>.

118 **3. Processing Chain**

119 This section describes the data processing chain that employs the APL
120 software. Figure 1 shows a flow diagram of the processing chain including
121 the name of the software utility that performs each action. Details for each
122 action are given in the next sections.

123 [Figure 1 here.]

124 *3.1. Prior Information*

125 Some information employed in the processing chain exists prior to most
126 data processing and is explained in this section.

- 127 • **Boresight Correction:** this is the angular offset between nadir and the
128 true sensor look direction and is estimated at the start of the flying
129 season and each time the sensors are taken out and replaced into the
130 aircraft, using flight lines which have been collected in a suitable cali-
131 bration pattern.

- 132 • Instrument Calibration: pre- and post-season the hyperspectral sensors
133 go through a rigorous spectral and radiometric calibration to derive
134 a per-pixel gain file and identify spectral wavelength per band. See
135 Choi (2011) and Taylor et al. (2012) for further details including smear
136 correction, stray light and linearity.

- 137 • Digital Surface Model (DSM): required to get the best geocorrection
138 accuracy. A DSM is not strictly required as APL will default to an
139 ellipsoid surface, but for hilly and mountainous terrain especially, pro-
140 cessing without a DSM will result in large georeferencing errors.

141 *3.2. Radiometric Calibration*

142 The raw data need to be calibrated to give meaning to the values and
143 allow comparisons to other data. This procedure starts by normalising the
144 data using “dark” values - data collected with the shutter closed. This re-
145 moves noise due to electrical and system components (Oppelt and Mauser,
146 2007). The data are then scaled using gains calculated during the instrument
147 calibration. A separate mask file is created that contains information on the
148 quality status of each pixel and can be used at a later stage to mask the
149 calibrated data.

150 *3.3. Navigation Synchronisation*

151 The aircraft GPS position and inertial measurement unit (IMU) attitude
152 are post-processed to get a more accurate and smoother solution. This will
153 usually employ a carrier phase differential GPS method (Hoffman-Wellenhof
154 et al., 2001) using the NovaTel GrafNav software together with Leica IPAS

155 software to create a blended IMU/GPS solution. This post-processed naviga-
156 tion data must be synchronised to the image data by comparing instrument
157 and GPS time stamps, using spline interpolation to produce per scan line
158 position and attitude estimates.

159 *3.4. Masking*

160 The optional masking step allows data which have been adversely af-
161 fected during collection or calibration to be masked out (set to zero) so as
162 not to be used in later scientific analyses. These could be pixels that are
163 over-saturated, pixels that have negative values after dark current subtrac-
164 tion, pixels identified as poorly performing during sensor calibration, pixels
165 identified (by eye) as bad during quality checks, pixels affected due to the
166 smear correction of the Eagle sensor or entire missing scan lines.

167 *3.5. Georeferencing*

168 The georeferencing stage is concerned with computing a per-pixel latitude
169 and longitude map for the image. This is described in detail in section 4.1.

170 *3.6. Re-projection*

171 The optional re-projection phase of the processing transforms the lon-
172 gitude and latitude data into a specified coordinate system (e.g. Universal
173 Transverse Mercator). This is performed using the open source PROJ4 API
174 library, which currently supports more than 120 projections and 42 ellipsoid
175 models.

176 *3.7. Image Georectification*

177 The final stage of the processing is to apply the geocorrection to the
178 radiometrically calibrated data and resample to the desired grid. This is
179 described in detail in section 4.2.

180 *3.8. Automated Processing*

181 The airborne data processing at PML is performed using the Open Grid
182 Scheduler, where individual jobs are dispatched to particular computing
183 nodes on the network for serial batch processing. Each job is formed of
184 the full chain from radiometric calibration through to image resampling. Af-
185 ter the initial processing directory is set up no user interaction is required
186 during the processing, until the visual quality inspection of the final results.
187 If jobs need to be resubmitted, for example to correct possible timing errors
188 in the navigation synchronisation, then this is a simple task of editing a text
189 configuration file. In practice each job is submitted with a range of timing
190 offsets to apply to the navigation. This means the radiometric calibration
191 need only be performed once with the subsequent processing stages being
192 performed for each time offset.

193 To illustrate the processing overheads and storage requirements, a re-
194 cently collected data set from 2012 consisting of 28 lines (14 of Eagle and
195 14 of Hawk) was processed on the Grid with a single timing offset for each
196 flight line. The mean length of the flight lines processed was 13784 scan
197 lines, which equates to approximately 35 km at a flying speed of 75 metres
198 per second. The raw data amounts to 82 gigabytes (GB) and took a total
199 of 29 hours of processing time to generate 438 GB of processed, resampled
200 data. However, running in parallel on 22 machines took just 4 hours. Each

201 machine is running the Linux (Fedora 17) operating system and has 8 GB of
202 Random Access Memory (RAM) and a Core i3 processor. It should be noted
203 that the PML Grid is in constant use processing various non-related jobs,
204 some of which will take priority over the submitted airborne jobs. A table
205 showing more detailed data can be found in Appendix A. The table shows
206 that there is a wide variation in processing times that is not necessarily linear
207 with increasing line length. Processing two lines, Hawk_8 and Hawk_9, local
208 to a grid node took 23 minutes and 18 minutes respectively, which shows that
209 processing over the PML network can affect processing times by a factor of
210 at least 4 or 5.

211 **4. Algorithm details**

212 This section describes in detail the algorithms used within APL for the
213 georeferencing and georectification components.

214 *4.1. Georeferencing*

215 The georeferencing stage is concerned with assigning a latitude and lon-
216 gitude value to each pixel of the image data. The basic algorithm is shown
217 in Figure 2 and is described below.

218 [Figure 2 here.]

219 *4.1.1. Input data*

220 The input data to the algorithm consists of the synchronised navigation
221 information, a DSM (if available) and information about the image data
222 and sensor configuration, i.e. view vectors. The navigation data file is an

223 ENVI compatible binary BIL file with one record per image line. Each record
224 contains a time stamp and the sensor position (in WGS-84 latitude, longitude
225 and altitude) and attitude (roll, pitch and yaw). The sensor position is
226 constructed from the aircraft GPS position and the sensor lever arms - the
227 distance between the GPS antenna and the sensor origin. Similarly, the
228 attitude values also contain sensor boresight corrections.

229 The DSM is an elevation model that includes the same area as the scene
230 that is to be geocorrected. It is a binary single band BIL file which con-
231 tains the height values georeferenced to the WGS-84 latitude and longitude
232 geographic projection.

233 The sensor view vector file contains an angular vector describing the
234 sensor look angle from the centre of each pixel of the image capture device.
235 These have been calculated using the focal geometry of the sensor. The file
236 is again a binary BIL file.

237 *4.1.2. Algorithm*

238 The algorithm follows the general mathematical direct georeferencing
239 model such as described in Muller et al. (2002).

240 After initial parameter setup and checks on the input data, the algorithm
241 works on a per scan line basis starting with the earliest collected line. The
242 aircraft position is converted from longitude, latitude, height (LLH) into an
243 Earth Centred Earth Fixed (ECEF) Cartesian XYZ value. Next the sensor
244 view vectors and aircraft attitude are used to create look vectors in ECEF
245 XYZ with the origin at the aircraft position. This is demonstrated in Figure
246 3.

247

[Figure 3 here.]

248 If no DSM is used then these view vectors are projected down on to the
249 ellipsoid surface and the intersection point is stored. This is repeated for each
250 sensor look vector of the scan line. Finally, the intersect points are converted
251 to LLH and written out to a BIL file. The algorithm then moves onto the
252 next scan line.

253 If a DSM is available then the surface is read into memory at the start
254 of the algorithm, cropped to an over estimate of the predicted cover of the
255 hyperspectral data in order to reduce memory usage. The closest-to-nadir-
256 looking vector is detected and used as the start point for the scan line pro-
257 cessing, with the processing continuing for each sensor look vector to the
258 starboard of nadir followed by those port of nadir. The aircraft position in
259 (longitude, latitude) is selected as a ‘seed point’ for the intersection algorithm
260 as it is assumed that this is close to the nadir view vector intersection. The
261 three nearest DSM points to the seed position are found and a planar surface
262 created, bounded by the 3 DSM vertices. The intersect point between the
263 ECEF XYZ look vector and planar surface is calculated, using basic vector
264 geometry, and if it is contained within the area defined by the 3 DSM ver-
265 tices then the intersect is stored and the seed point is updated to this new
266 position, ready for the next sensor look vector. If the intersection is outside
267 of the triangle formed by the 3 DSM vertices then 3 new vertices are selected
268 such that they form the opposite triangle which would complete a square.
269 The procedure is repeated and if no intersect is found then the next 3 vertices
270 are selected using a spiral algorithm employed on the seed position such that
271 it is updated as shown in Figure 4. This will be made more efficient in future

272 by deriving the quadrant containing the intersect point (from the look vector
273 direction) and only checking DSM vertices in that quadrant.

274 The procedure is repeated for each sensor look vector using the updated
275 seed point each time.

276 [Figure 4 here.]

277 *4.2. Image georectification*

278 The georectification stage is concerned with applying a transformation to
279 the image data and resampling it to a regular grid. The basic algorithm is
280 shown in Figure 5 and is described below.

281 [Figure 5 here.]

282 *4.2.1. Inputs*

283 The input data required are the outputs from previous stages of the pro-
284 cessing. The image data BIL file that is output by the radiometric calibration
285 or masking stage of APL is required. The geolocation file is also required as
286 this contains the pixel location information. To create the output grid it is
287 also required to have information about the desired pixel resolution. Other
288 inputs may be given depending on how the user wishes the georectified im-
289 age to be created, such as: restricting the output to a particular coverage,
290 selection of image bands to resample, selection of interpolation method to
291 use etc. The output georectified image is an ENVI compatible binary BIL
292 file.

293 *4.2.2. Algorithm*

294 The algorithm has three main steps to it, which can be described as:

- 295 • **Restructuring of the input data:** to allow efficient searching of the
296 geolocation file
- 297 • **Constructing a Map object:** to define the output image and meth-
298 ods to use for the resampling
- 299 • **Creating the resampled image:** perform the resampling and write
300 out the resulting image

301 The first step is to take the input geolocation data and construct a tree-
302 like structure (called a treegrid from here on), similar to a quadtree, where
303 each node has fixed dimensions rather than number of ‘children’. This tree-
304 grid groups the points by geographic proximity in order to accelerate neigh-
305 bourhood searches for the interpolation methods. Figures 6 and 7 show the
306 organisation and conceptual model of the treegrid structure. Since the typ-
307 ical amount of image data is large, in some cases >10 GB, it is not feasible
308 to insert the sensor image data into the treegrid as this is stored in RAM.
309 Instead, only the row and column information describing the pixel location
310 within the data file is inserted into the treegrid. From the row and column
311 indices it is possible to identify both the geolocation and the image data
312 from respective data stores (i.e. files or arrays). Each cell, or node, of the
313 treegrid is known as a ‘collection’, where each collection has the same fixed
314 size in X and Y, defined by a multiple of the average separation of nadir
315 points. A multiplier of 5 is used as this results in a “middle ground” between
316 the efficient searching within the collections and overheads in searching the

317 treegrid as a whole, with each collection containing approximately 5^2 items.
318 Therefore, for example, if nadir data points are separated by an average of
319 1 m in the X direction and 2 m in the Y direction, then each collection will
320 have spatial dimensions of 5 m x 10 m.

321 [Figure 6 here.]

322 [Figure 7 here.]

323 The geolocation data file is iterated over and the collection that each pixel
324 belongs to is determined. The information that is inserted into each collection
325 is in the form of an ‘item’ object. Each item contains the corresponding row
326 and column of the geolocation file, identifying a pixel, and a pointer to an
327 ‘ItemData’ object, which in turn contains information on where the X, Y
328 geolocation data are stored and methods to read them. When searches are
329 made in the treegrid, all collections within a user-defined radius are searched,
330 to ensure the nearest items are found regardless of which collection contains
331 them.

332 The second step in the algorithm is to construct a ‘Map’ object that
333 defines the grid to output data to. This is the main ‘work horse’ object as it
334 also contains the definitions for interpolating, filling in the grid and writing
335 out the final resampled image. The output grid is constructed based upon
336 the pixel size, the coverage of data (calculated from the tree structure) and
337 the number of bands to output. The Map object also decides how many
338 segments it needs to split the uncorrected image data up into to process
339 efficiently without running low on RAM. By default it allows 1 GB of RAM

340 for holding image data although this can be increased or decreased as the
341 user wishes.

342 Once this step has completed, the third step of the algorithm is to iterate
343 through each segment in turn, on a row by row basis, and fill the output
344 grid cells with data. By the end of the first segment the full size output
345 file should have been written to disk, zero padded for data yet to be filled
346 in. This allows processing to be done in the order of the uncorrected image
347 data file, irrespective of flight direction or where North is. Further data are
348 inserted on a row by row basis only between the bounds in which the data
349 are contained. For each column of the row to be written, items are found
350 from the tree and passed to the interpolator. The interpolator takes these
351 data and returns the interpolated image value for insertion into the grid. If,
352 however, one of these items contains the ‘masked’ data value for a band being
353 resampled then it is ignored (for that band only) and the next nearest non-
354 masked item is used. If there are none within the search radius then a value
355 of zero is returned from the interpolator for that band. Further information
356 on the interpolation methods can be found in Appendix B.

357 **5. Results**

358 *5.1. Data products*

359 An example of APL processed Eagle data products, for an area over the
360 River Thames in London, can be seen in Figure 8. The Eagle data shown are
361 (a) prior to applying radiometric calibration, (b) after applying radiometric
362 calibration and (c) shows the data after georectification. Also shown in the
363 figure are two spectral plots from the same green vegetation feature, one

364 from the raw data and one from the calibrated and georectified data. As no
365 atmospheric correction has been performed on the data, any effects due to
366 the atmosphere will still remain in the data, where these errors will have a
367 direct effect on the amplitude of the reflectance signal but the general shape
368 of the spectra should be unaffected. In Figure 8(e) it can be seen that the
369 calibrated spectra clearly shows the “red edge” at around 700 nano-metres
370 (nm) that one expects to find in vegetation data. In contrast there are two
371 peaks in the raw uncorrected data (Figure 8(d)) illustrating that uncorrected
372 data cannot be relied upon for spectral information.

373 [Figure 8 here.]

374 A second example showing the geocorrection results of APL can be seen
375 in Figure 9. The data in the sensor geometry can be seen at the top in
376 Figure 9(a), and in the main image after georectification into the Ordnance
377 Survey National Grid projection in Figure 9(b). The image background
378 includes Ordnance Survey VectorMap District OpenData to illustrate the
379 geocorrected data. The top left of Figure 9(b) shows a zoomed view to
380 highlight the geocorrection at one of the motorway junctions.

381 [Figure 9 here.]

382 5.2. *APLCORR Georeferencing analysis*

383 The accuracy of the georeferencing of the data has been tested using hy-
384 perspectral data collected in 2008 over a calibration site in Cambridgeshire,
385 UK. The site contains seven GPS surveyed targets which are visible in the
386 image data. Eight flight lines from the Eagle sensor were processed with

387 APL and the seven targets were identified from the images prior to resam-
388 pling. The georeferencing output were re-projected into a Universal Trans-
389 verse Mercator projection (Zone 30) for ease of dealing with errors in metres
390 (m) rather than degrees. Not all GPS control points were visible in each
391 dataset. Figure 10 shows the calibration site with the targets identified. The
392 post-processed navigation solution file contains data at 200 Hz, and the im-
393 age data is recorded at 40 frames per second. A digital surface model has
394 been used generated from the NEXTMap 5 m resolution product (Intermap
395 Technologies, 2007).

396 [Figure 10 here.]

397 Appendix C shows the full dataset. The Easting and Northing errors
398 have been converted to along and across track errors by rotation using the
399 mean heading of the aircraft for each section covering the GCPs for each
400 flight line. The mean absolute along track error from the 7 targets and 8
401 flight lines (42 samples in total) for the Eagle sensor is $0.74 \text{ m} \pm 0.58 \text{ m}$.
402 The mean absolute across track error is $0.39 \text{ m} \pm 0.25 \text{ m}$. We expect larger
403 measurement errors in the along track since the spatial resolution is lower in
404 this direction. At nadir the along track pixel separation is approximately 1.9
405 m whereas the across track pixel separation is approximately 0.60 m. This
406 would lead us to expect a higher reported error in the along track direction
407 as the centre of the pixel is being used as the identified location, and this
408 is observed in the results. We can take the ratio of the error versus the
409 pixel separation to approximate the error in terms of pixel size, giving the
410 following mean absolute along track error (at nadir): 0.39 ± 0.31 and across

411 track error (at nadir): 0.65 ± 0.42 reported in pixel size. However, it should
412 be noted that the pixel size will vary along and across track due to the surface
413 topography, aircraft altitude and velocity and target swath position.

414 **6. Conclusions**

415 The Airborne Processing Library (APL) toolbox has been developed and
416 in operational use since 2011. It allows users to radiometrically calibrate,
417 geocorrect, re-project and re-sample remotely sensed optical airborne data. It
418 can be operated on Windows or Linux systems via command line, a graphical
419 user interface (GUI) or through a Grid Engine. The core geocorrection and
420 resampling algorithms have been discussed. The absolute along and across
421 track spatial geocorrection accuracy have been assessed and reported. The
422 reduced along track accuracy is likely due to the lower spatial resolution
423 (larger spatial coverage) of the sensor configuration in this direction. A high
424 spatial accuracy is important when analysing large volumes of data as it
425 allows much easier dataset integration within Geographic Information System
426 (GIS) applications and other tools used for post-processing and analysing
427 such data.

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537 **Appendix A. Processing performance**

538 [Table 1 here.]

539 **Appendix B. Interpolation of treegrid data**

540 There are currently 4 interpolation methods used in the APL resampling:

- 541 • Nearest neighbour
- 542 • Inverse distance weighted
- 543 • Bi-linear

544 • Cubic

545 The interpolator takes input from a treegrid search - of which there are
546 two types: ‘nearest points’ or ‘nearest quadrant points’. The difference be-
547 tween the two being that ‘nearest points’ search just returns the nearest N
548 items to the given search point, ordered by distance, whereas ‘nearest quad-
549 rant points’ returns the nearest N points ordered by quadrant centred on
550 the search point. For example, in Eastings and Northings, using a ‘nearest
551 quadrant points’ search for one point, will return four points: one to the
552 North-East, one to the South-East, one to the South-West and one to the
553 North-West of the given search point. This search is used for the bilinear and
554 cubic interpolators. The nearest neighbour and inverse distance weighted in-
555 terpolators use the ‘nearest points’ search. Graphical representations of the
556 interpolation methods are shown in Figure 11.

557 [Figure 11 here.]

558 *Appendix B.1. Nearest Neighbour*

559 The nearest neighbour interpolator simply takes the image data value
560 from the nearest item to the search point.

561 *Appendix B.2. Inverse Distance Weighted*

562 The inverse distance weighted method follows the basic Shepard method
563 (Shepard, 1968), defined as:

$$564 \quad w_i = \text{distance}_i^{-2} / \sum \text{distance}_j^{-2}$$
$$565 \quad f(x) = \sum w_i * f(i)$$

566 where w_i are weights and $f(x)$ is the image data value of item x .

567 *Appendix B.3. Bilinear*

568 Bilinear interpolation takes the 4 nearest items (A, B, C and D) to the
569 search point, X, such that the items form a quadrilateral containing the
570 search point (see Figure 12). Using the geolocation information of each item
571 the following formulae can be solved for the scalars U and V:

572
$$P = A + U * (B - A)$$

573
$$Q = D + U * (C - D)$$

574
$$X = P + V * (Q - P)$$

575 [Figure 12 here.]

576 The values of U and V, which are within the range 0-1, are then used to
577 weight the item data values in the interpolation formula:

578
$$f(X) = f(A) * (1 - V) * (1 - U) + f(B) * (1 - V) * U + f(D) * (1 - U) * \\ 579 V + f(C) * U * V$$

580 where $f(x)$ is the image data value of cell x .

581 *Appendix B.4. Cubic*

582 Cubic interpolation uses 16 nearest items such that there are 4 in each
583 quadrant surrounding the centre of the cell. Using a series of 1-dimensional
584 cubic Catmull-Rom splines (Catmull and Rom, 1974) these data are inter-
585 polated. The final result is obtained by interpolating with 4 splines in the X
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587 **Appendix C. Geocorrection analysis results**

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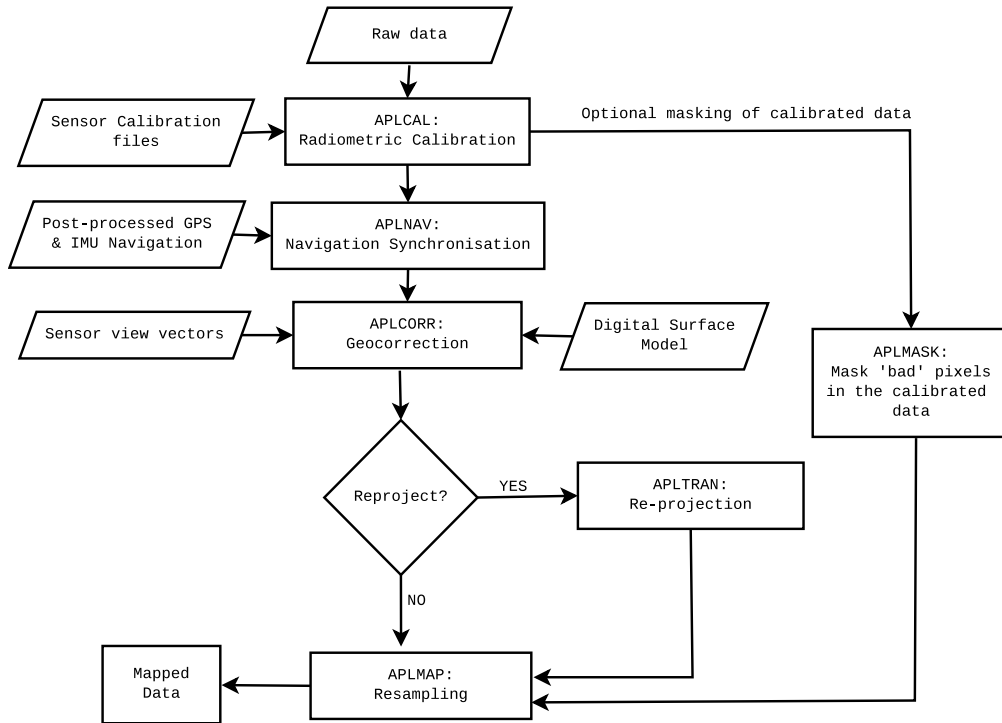


Figure 1: Flow diagram of the hyperspectral processing chain.

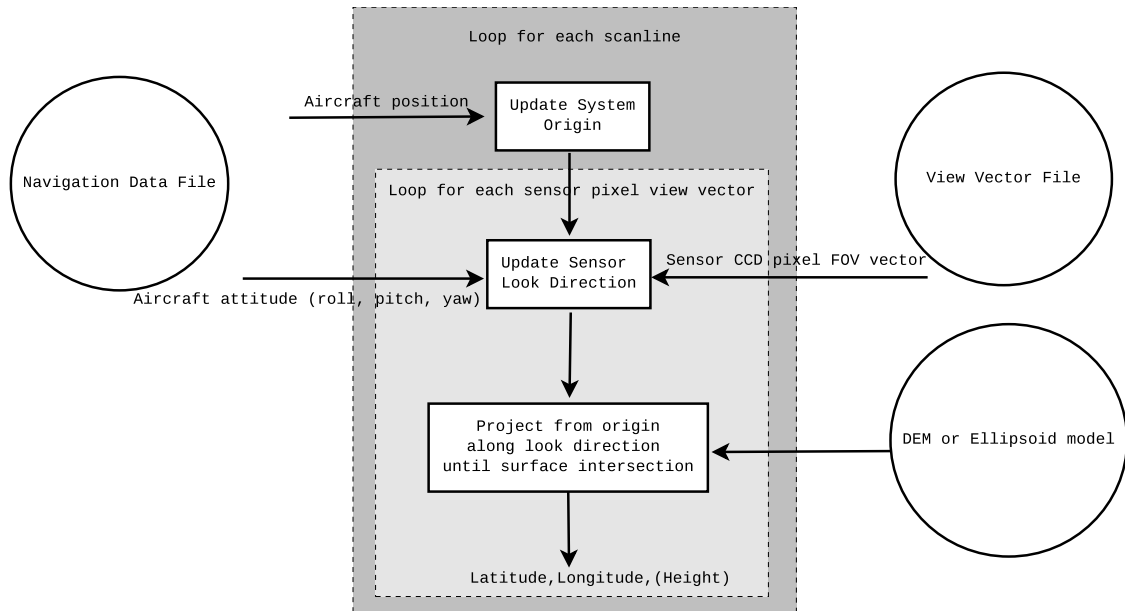


Figure 2: Flow diagram of the APL georeferencing algorithm, where FOV is the sensor field of view.

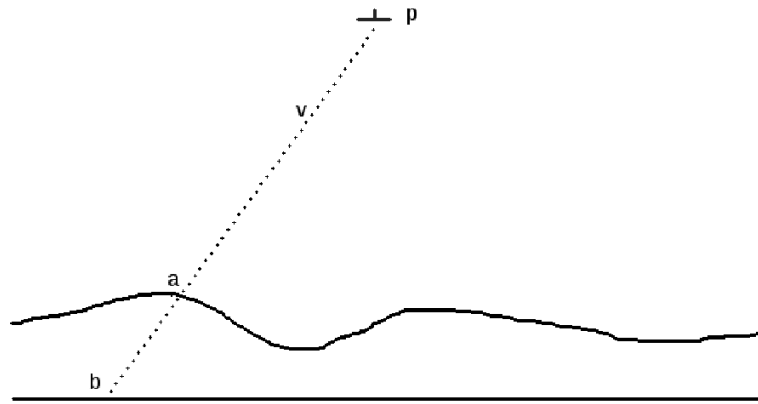


Figure 3: Intersection of view vector to find geolocation of image pixel. Using the position of aircraft p and the sensor view vector v , the intersection point with the surface model can be found. In this example, intersection point a is found when using a DSM whereas intersection point b is found if using the ellipsoid surface model.

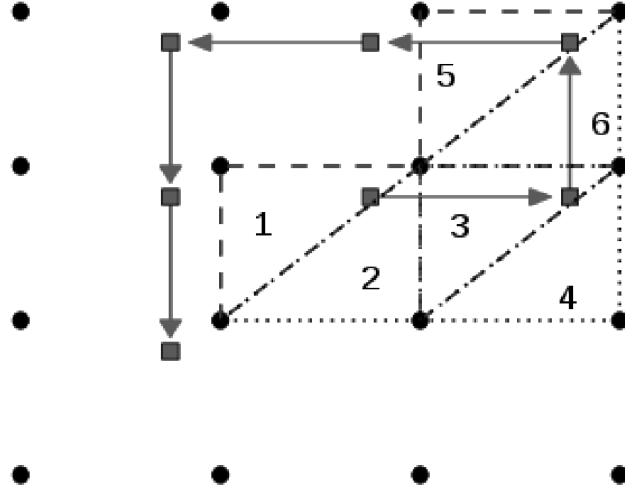


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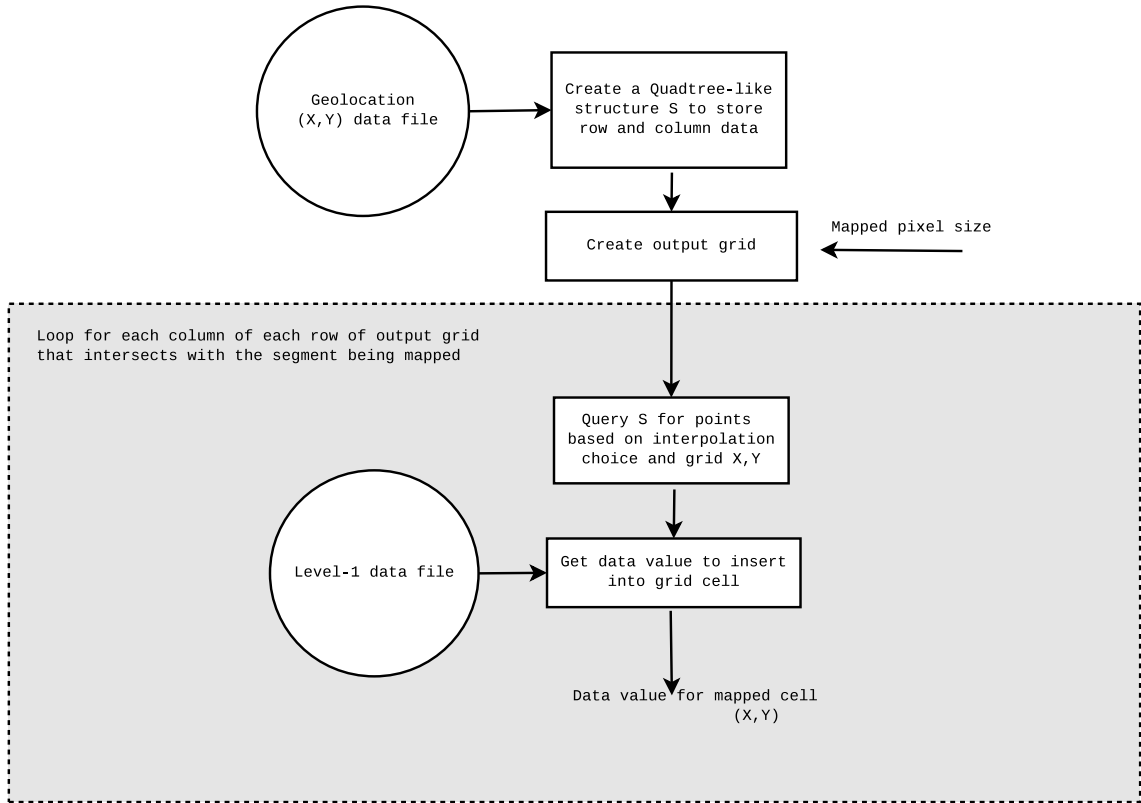


Figure 5: Flow diagram of the APL georectification algorithm.

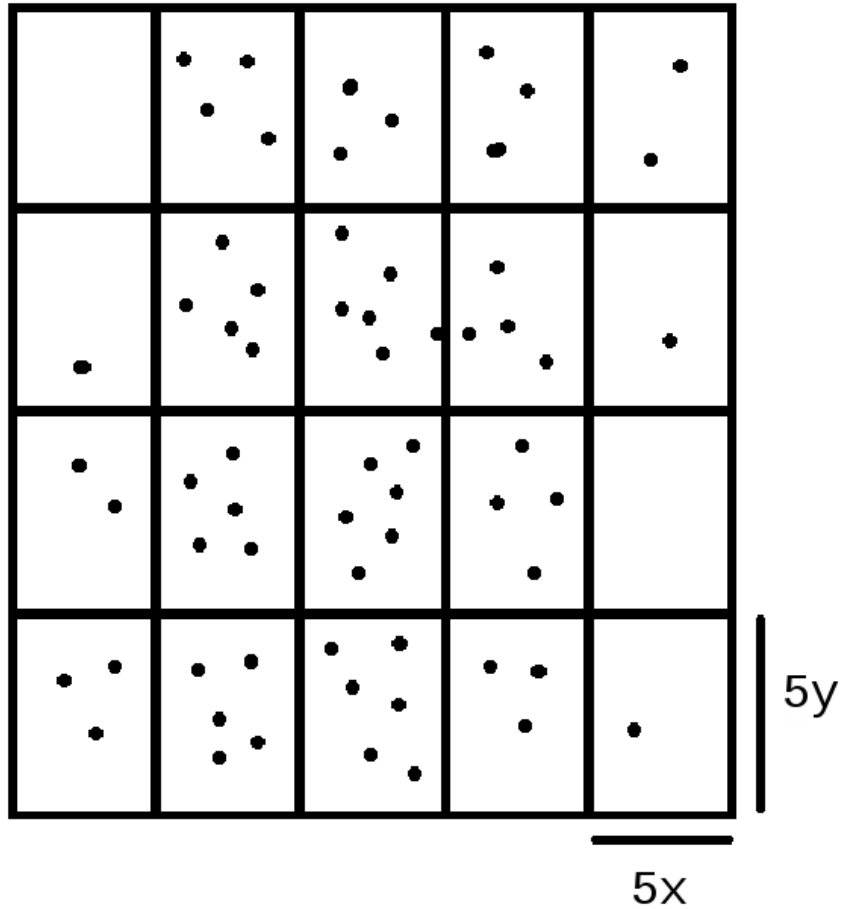


Figure 6: Tree-like structure shown as a 2-dimensional grid overlaying the data points. Each cell of the grid is a 'collection' containing the data points, known as 'items'. Each collection has dimensions in X and Y (e.g. Eastings and Northings) equal to five times the mean spacing of data points at nadir. Items are inserted into the collection which bounds the item X,Y position. This will typically result in 25-30 items per collection at nadir, with fewer items per collection at the edge (the number of items in the diagram have been reduced for simplification).

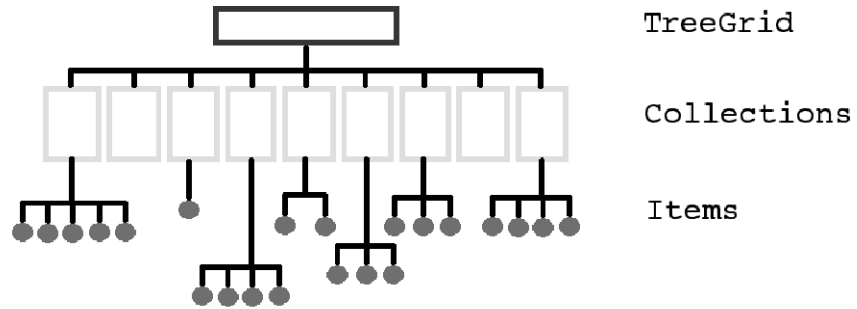


Figure 7: Organisational overview of the treegrid. The treegrid contains a series of collections (defined by geographic region) which in turn contain items (references to image data points). The organisation of data points in a tree like this allows for efficient searching based on the X,Y position.

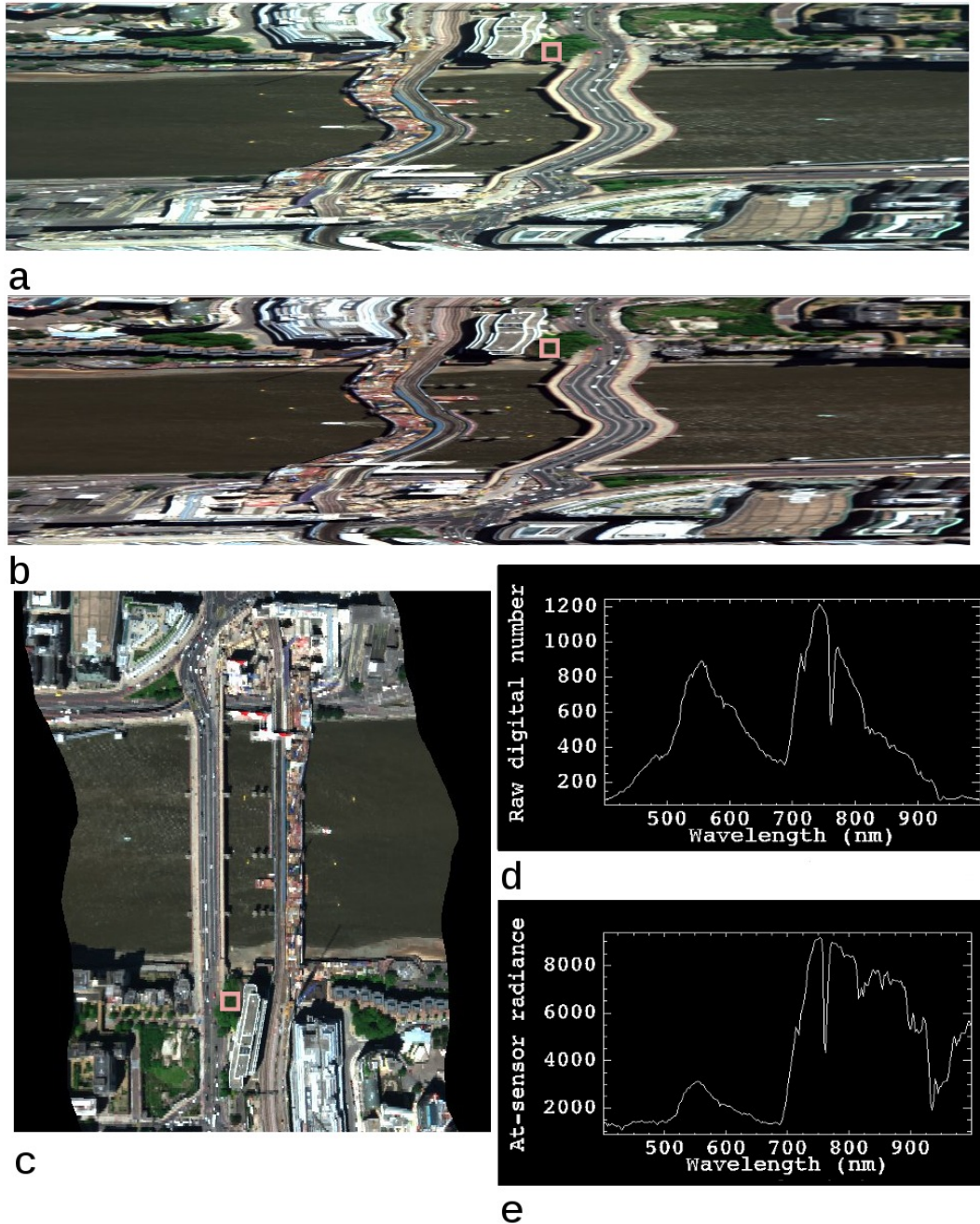
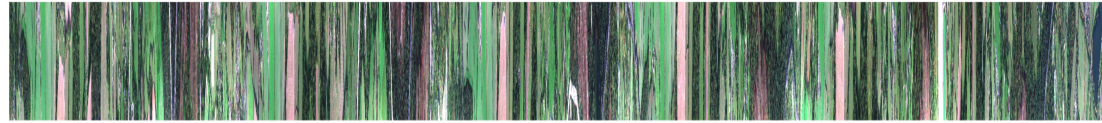
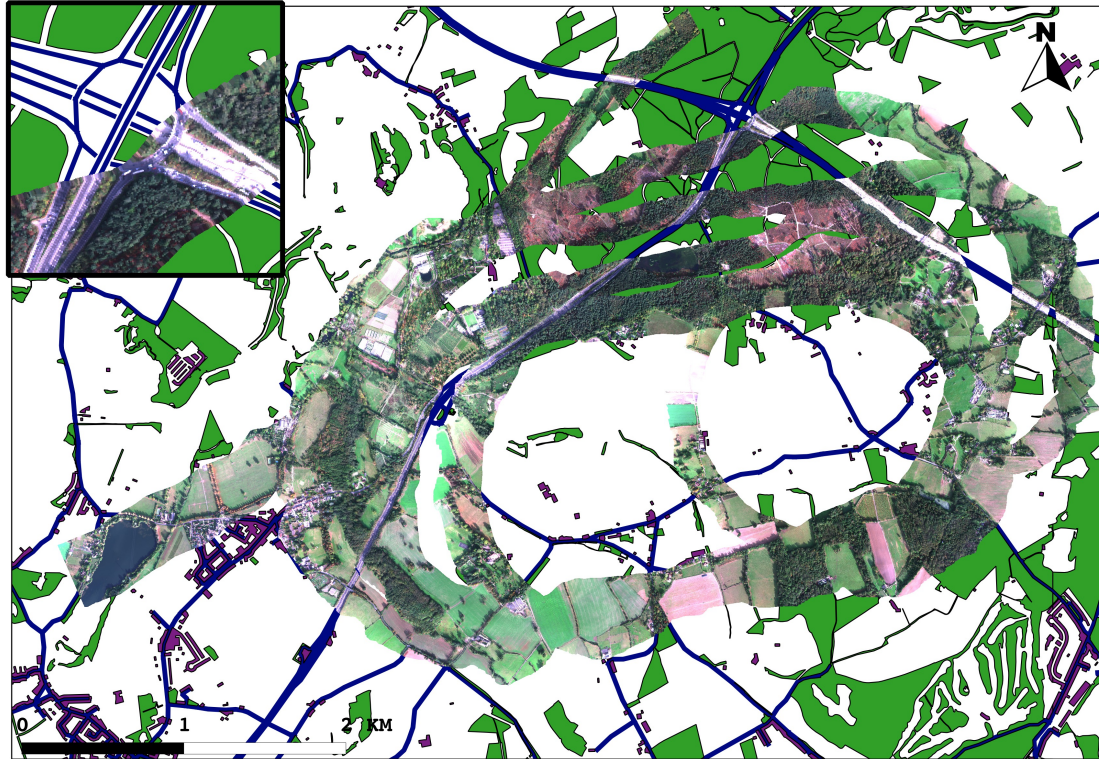


Figure 8: Example Eagle sensor (a) raw data, (b) radiometrically calibrated data and (c) georeferenced and resampled data. Spectral plots of green vegetation in raw and calibrated data have been plotted to show differences in these data, and shown in (d) and (e) respectively. This feature is highlighted in (a), (b) and (c) by a pink square. Note 'red edge' at 700 nm becomes much more apparent in calibrated data than raw data.



a



b

Figure 9: Example Eagle data that are (a) prior to geocorrection and (b) after geocorrection and resampling. Also shown are Ordnance Survey OpenData vectors with roads in blue, woodland in green and buildings in purple. Top left of (b) shows a zoom window of the junction to highlight the geocorrection. Eagle data is a spiral flight line collected near the south west of the M25 motorway in 2011.

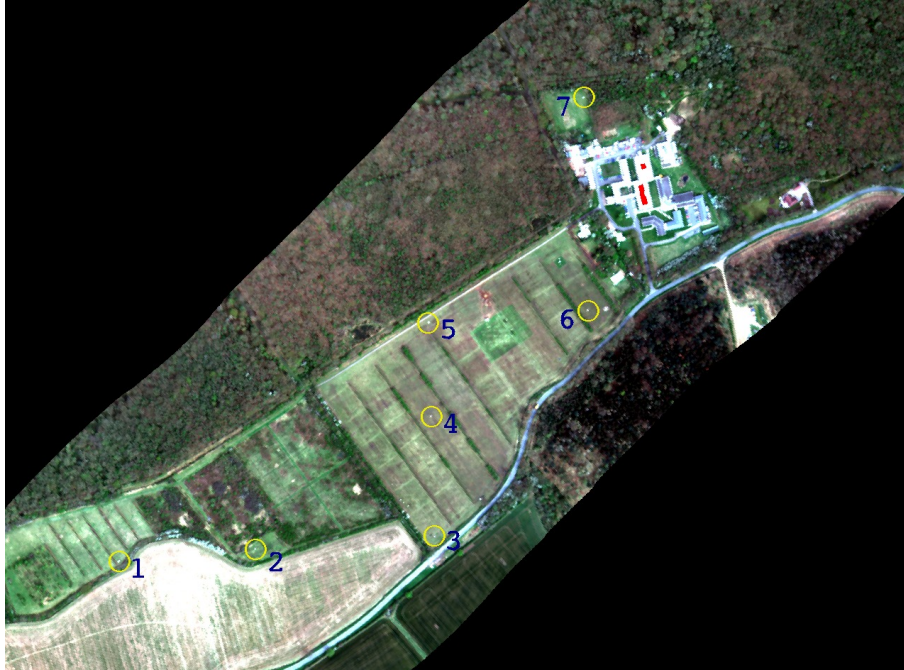


Figure 10: The Monks Wood calibration site Cambridgeshire, UK. The seven surveyed GPS targets are circled and numbered.

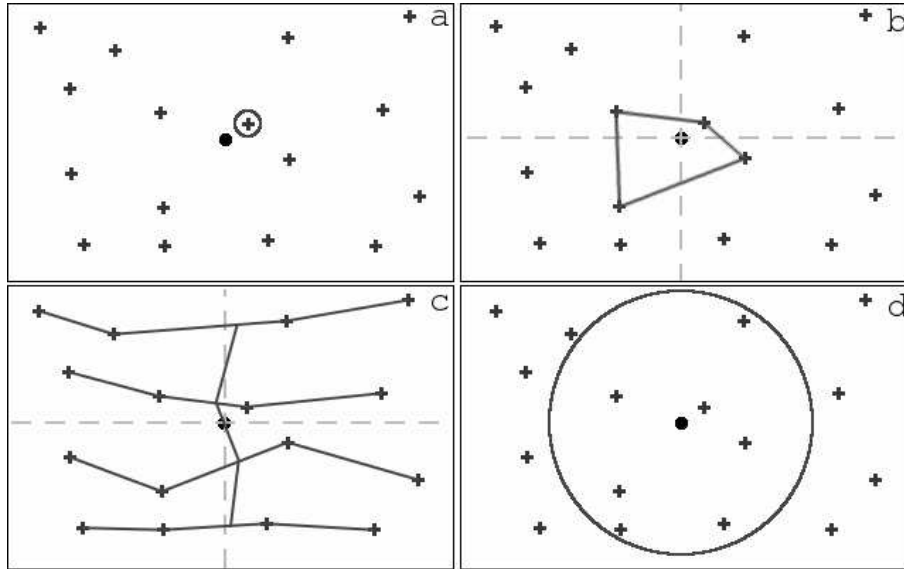


Figure 11: Illustration of the 4 interpolation methods; the filled circle is the cell point to be interpolated and crosses are treegrid items. a) Nearest neighbour interpolation selects the item nearest to the cell to be interpolated. b) For bi-linear interpolation, the nearest item from each quadrant centred on the cell to be interpolated is selected, forming a quadrilateral surrounding the cell. A product of two linear interpolations is performed to determine the interpolated value at the cell. c) Cubic interpolation finds the nearest 4 items in each quadrant centred on the cell to be interpolated. These 16 items are then used to form a series of Catmull-Rom splines to interpolate the value at the cell. d) Inverse distance weighted interpolation finds up to the nearest N items within a search radius and takes a weighted average, where the weights are based on the inverse of the distance of each item from the cell to be interpolated.

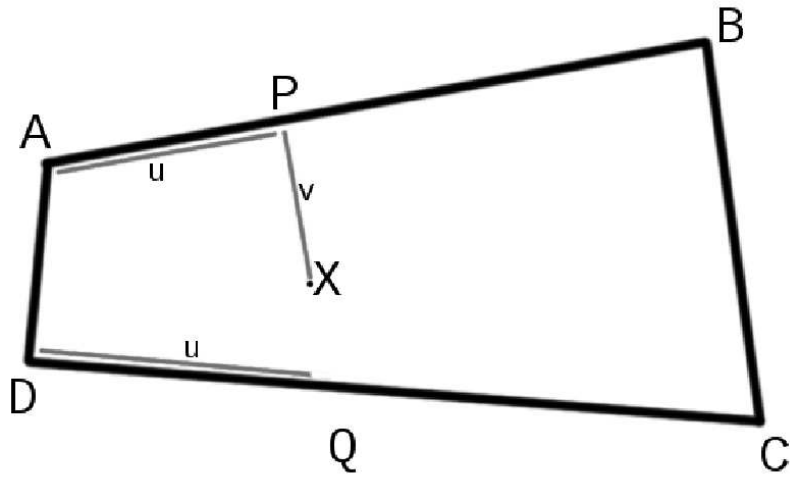


Figure 12: The calculation of the position of point X in terms of U and V based on 4 surrounding points. U and V are scalars which are used to weight the data values in the bilinear interpolation algorithm.

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661		reported in Eastings, Northings and converted to along track,	
662		across track.	45

Line	Process time (hh:mm:ss)	Flight length (scan lines)	Number of bands
Eagle.-1	00:26:32	16245	126
Eagle.-2	00:01:18	1881	126
Eagle.-3	01:47:31	15321	126
Eagle.-4	00:32:42	18098	126
Eagle.-5	02:58:43	15646	126
Eagle.-6	01:18:35	16868	126
Eagle.-7	01:15:55	16153	126
Eagle.-8	01:09:05	15693	126
Eagle.-9	00:46:33	13492	126
Eagle.-10	00:56:02	14219	126
Eagle.-11	00:50:24	12323	126
Eagle.-12	00:29:54	12047	126
Eagle.-13	00:34:06	8643	126
Eagle.-14	00:25:03	6909	126
Hawk.-1	01:32:31	16247	233
Hawk.-2	01:31:23	16539	233
Hawk.-3	01:25:32	15322	233
Hawk.-4	01:23:33	18099	233
Hawk.-5	01:22:43	15646	233
Hawk.-6	01:24:46	16868	233
Hawk.-7	01:24:14	16155	233
Hawk.-8	02:00:16	15694	233
Hawk.-9	01:08:51	13492	233
Hawk.-10	00:50:58	14221	233
Hawk.-11	00:21:39	12324	233
Hawk.-12	00:27:45	12049	233
Hawk.-13	00:08:17	8645	233
Hawk.-14	00:05:23	6910	233

Table 1: Table showing processing performance statistics for processing on the Grid.

Flight line	Target	Abs E	Abs N	Abs Along	Abs Across
1	3	0.098	0.334	0.302	0.174
1	4	0.265	0.710	0.682	0.331
1	5	0.467	0.790	0.883	0.249
1	6	0.105	0.436	0.225	0.388
2	3	0.392	0.404	0.439	0.353
2	4	0.465	0.730	0.684	0.531
2	5	0.727	0.400	0.439	0.687
2	6	0.205	1.264	1.278	0.087
2	7	0.355	0.404	0.369	0.391
3	1	1.310	1.765	2.166	0.373
3	2	0.109	0.437	0.223	0.391
3	3	0.558	0.464	0.083	0.721
3	4	0.615	0.170	0.562	0.302
3	5	1.633	1.220	2.024	0.245
3	6	1.375	0.726	1.496	0.424
3	7	1.025	1.456	1.747	0.346
4	1	0.750	0.885	0.764	0.873
4	2	1.621	0.653	1.631	0.627
4	3	0.422	0.576	0.431	0.569
4	4	0.875	0.430	0.882	0.416
4	5	0.197	0.570	0.206	0.567
4	6	0.005	0.546	0.004	0.546
5	3	0.568	1.286	0.534	1.301
5	4	0.395	0.030	0.396	0.020
5	5	2.093	0.270	2.099	0.215
5	6	1.335	0.016	1.334	0.051
6	1	0.250	0.935	0.795	0.552
6	2	0.441	0.017	0.347	0.272
6	3	0.278	0.424	0.062	0.503
6	4	0.045	0.060	0.004	0.075
6	5	0.997	0.150	0.858	0.530
6	6	0.205	0.114	0.230	0.046
6	7	1.105	0.076	0.794	0.772
7	3	0.352	0.936	0.950	0.313
7	4	0.055	0.370	0.323	0.189
7	5	0.183	0.410	0.434	0.115
7	6	0.395	0.264	0.453	0.142
8	3	0.038	1.076	1.071	0.106
8	4	0.385	0.430	0.454	0.357
8	5	0.343	0.180	0.201	0.331
8	6	0.285	0.974	0.990	0.223
8	7	0.445	1.206	1.175	0.521
	Mean	0.566	0.586	0.739	0.386
	St Dev	0.499	0.429	0.579	0.254

Table 2: Absolute errors (in metres) between GPS and target identification from geocorrection data (prior to resampling). Errors reported in Eastings, Northings and converted to along track, across track.